

# Galois theory, lecture 2

## lecture notes

28 januari 2013

### Examination

The course webpage is [www.math.kth.se/math/GRU/2012.2013/SF2732/](http://www.math.kth.se/math/GRU/2012.2013/SF2732/) The examination consists of two homework problems and an exam. The homework problem are worth 12 points, ... The book is *Algebra* by Serge Lang.

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## 1 Summary of last lecture

Recall that  $r$  is *irreducible* if  $r = ab \implies a$  is a unit or  $b$  is a unit.

**Theorem 1.** • If  $R$  is an UFD, then a non zero element  $r \in R$  is prime iff  $r$  is irreducible.

- If  $R$  is a UFD, then so is  $R[x]$ . (This can be proved by Gauss lemma.)
- If  $R$  is a PID, then  $R$  is a UFD.
- $R[x]$  is PID iff  $R$  is a field. ( $R$  - field  $\implies R[x]$  PID is easy, the proof  $R[x]$  - PID  $\implies R$  - field is below:

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Assume  $R[x]$  is PID, let  $0 \neq r \in R$ . We will show that  $r$  is invertible.<sup>1</sup>

*To give a concrete example, why is  $\mathbb{Z}[X]$  not a PID? Easy - just find an ideal minimally generated by at least two elements. After some experimentation, the simplest I could come up with was  $(2, X)$ .*

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*For a general PID, we are to prove that every nonzero  $r$  with  $\deg r = 0$  is invertible. We will show that if  $r$  is not invertible, we would get an ideal minimally generated by two elements. Let  $r$  be a nonzero prime  $\iff$  irreducible element of degree 0 (it is enough to prove that the primes are invertible, then everything else is invertible to). Then consider the ideal  $(r, X) = \{a_0 + a_1X + \dots + a_nX^n : r|a_0\}$ . We have  $(r) \subset (r, X)$ . By assumption,  $R[x]$  is a PID, so there is  $a \in R[x]$  with  $(a) = (r, X)$ . But then  $\deg a = 0$ , and  $a|r$ . It follows that  $a$  and  $r$  are associates, so  $(r) = (r, X)$ . The polynomial  $r + X \in (r, X)$ , so  $r + X = (\alpha + \beta x)r$  and  $\beta$  has to be the inverse of  $r$ . This proves that every prime element  $r$  is invertible, and since every element is a product of primes, every element is invertible.*

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<sup>1</sup>The red boxes are my own notes from after the lecture that explains something I haven't seen earlier, or had trouble understanding, or trouble to follow or something that the lecturer skipped during the lecture

**Theorem 2.** If  $F$  is a field, then  $F[x]$  is PID,  $F[X, Y]$  is UFD.

$F[x]$  is PID:

Let  $I \subset F[X]$  be an ideal, let  $p(x)$  be a polynomial of minimal degree in  $I$ . Then by the division algorithm, every polynomial  $s(x)$  in  $I$  can be written as  $s = pq + r$  with  $r = 0$  or  $\deg r < \deg p$ . But then  $r \in I$  so  $r = 0$  and  $I = (p)$ .

The second claim follows from  $R$  - UDF  $\implies R[X]$  - UDF and from the fact that  $F[X]$  is UFD.

## 2 Lecture 2

**Proposition 1.** Let  $r \in R$  be a prime element (equivalently  $R/(r)$  is a domain or  $r|a \cdot b \implies r|a$  or  $r|b$ .) Then  $R/(r)$  is a field.

*Bevis.* Take  $S + (r) \in R/(r) \neq 0$  (that is  $r \nmid s$ ) Consider the ideal  $(r, s) = (t) \subset R$ . Then  $t|r$  and  $t|s$ . From this we get  $r = t \cdot w$ . We know  $r \nmid t$ , so  $r|w$ . We get  $r|w \implies r = tvr \implies$ . We want to find  $ar + bs = 1$ , then  $(b + (r))(s + (r)) = 1 + (r)$ .  $\square$

Take  $\mathbb{Z}$  and a prime  $p \in \mathbb{Z}$  Then the above theorem tells us that  $\mathbb{Z}/(p)$  is a field.

Another example is when  $F$  is a field,  $f \in F[X]$  is an irreducible element. Then  $F[X]/(f)$  is a field.

**Note:** The whole course is about constructing new fields from other fields. One way to do this is to quotient a PID by irreducible element. To be able to do this, we need methods to determine when an element of a ring is irreducible. This is a hard problem. Consider this example:

**Example 1.** Is the polynomial  $X^2 + 1$  irreducible in  $\mathbb{Q}[X], \mathbb{R}[X], \mathbb{C}[X], (\mathbb{Z}/(2))[X]$ ?

- In  $\mathbb{Q}[X]$ ,  $X^2 + 1$  is irreducible.
- In  $\mathbb{R}[X]$ , it is irreducible.
- In  $\mathbb{C}[X]$ ,  $x^2 + 1 = (x - i)(x + i)$
- in  $(\mathbb{Z}/(2))[x]$ ,  $x^2 + 1 = (x + 1)^2$

Let  $R$  be a domain, recall that its field of fractions can be constructed from all pairs  $(r_1, r_2) \in R^2$  with  $r_2 \neq 0$ . On these pairs, we define an equivalence relation,

$$(a, b) \sim (c, d) \text{ if } ad = bc$$

We denote the equivalence of  $(a, b)$  by  $\frac{a}{b}$ . The definition of  $\sim$  tells us that

$$\frac{a}{b} = \frac{c}{d} \text{ if } ad = bc$$

so these 'fractions' behave just as usual fractions in  $\mathbb{Q}$  or  $\mathbb{R}$ . These fractions form a ring under addition

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$

and multiplication

$$\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$$

The class of  $(0, 1)$ , the fraction  $\frac{0}{1}$ , acts as 0, and  $\frac{1}{1}$  has the role of 1.

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To verify that the construction works, we have to check that if  $s = \frac{a}{b}$ , and  $s' = \frac{a'}{b'}$ , then  $s = s' \implies s + t = s' + t$ . This translates into

$$ab' + a'b = 0 \implies \frac{ad + bc}{bd} = \frac{a'd + b'c}{b'd}$$

and this can be proven by doing some algebraic calculations. This construction is called the **field of fractions** of  $R$ .

### Eisenstein's criterion

Let  $R$  be a UFD and  $K$  its field of fractions. Let  $f = a_0 + a_1x + \dots + a_nx^n \in R[x] \subset K[x]$  (coefficients in  $R$ ). We want to know when  $f$  is irreducible in  $K[x]$ .

**Theorem 3.** *Assume that there is a prime  $p \neq 0 \in R$  such that  $p \nmid a_n, p \mid a_i, 0 \leq i < n, p^2 \nmid a_0$ . Then  $f$  is irreducible in  $K[x]$ .*

First, an example:

**Example 2.** *Is the polynomial  $g = x^4 + x^3 + x^2 + x + 1 \in \mathbb{Q}[x]$  irreducible?*

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*By Eisenstein's criterion,  $f = x^4 + 5x^3 + 10x^2 + 10x + 5$  is irreducible. But  $g(x+1) = f(x)$ , so  $g$  is irreducible.*

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### Homomorphisms of polynomial rings

**Theorem 4.** *Let  $f : R \rightarrow S$  be a ring homomorphism. (By ring homomorphism we mean a map that maps  $0 \mapsto 0, 1 \mapsto 1$ , preserves addition and multiplication.) Then for every  $s \in S$ , there is a unique ring homomorphism  $\bar{f} : R[x] \rightarrow S$  such that  $\bar{f}(r) = f(r)$  and  $\bar{f}(x) = s$ .*

### Field extensions

We will study the case  $F \hookrightarrow E$  or (denoted  $E$  vertical line down to  $F$ )

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Let  $f \subset E, \alpha_1 \dots \alpha_n \in E$ . Then construct  $F \hookrightarrow F[\alpha_1, \dots, \alpha_n] \hookrightarrow F(\alpha_1, \dots, \alpha_n) \subset E$ . By  $F[\alpha_1, \dots, \alpha_n]$ , we mean the smallest ring containing  $F$  and  $\alpha_1, \dots, \alpha_n$ . By  $F(\alpha_1, \dots, \alpha_n)$ , we mean the smallest field that contains  $F, \alpha_1, \dots, \alpha_n$ .  $F[\alpha_1, \dots, \alpha_n]$  contains all linear combinations of monomials  $\alpha_1^{k_1} \dots \alpha_n^{k_n}$ .  $F(\alpha_1, \dots, \alpha_n)$  also contains all inverses of the  $\alpha_i$ 's.

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**Definition 1.**  $F \subset E$  is **finitely generated** if there are  $\alpha_1 \dots \alpha_n$  in  $E$  such that  $E = F(\alpha_1, \dots, \alpha_n)$

A natural question we might ask is if a composition of finite generated extensions is finitely generated. This is a rather hard question.

**Definition 2.**  $F \subset E$  is **finite extension** if, as a vector space over  $F$ ,  $E$  is finite-dimensional. In this case, we denote  $\dim_F(E) = [E : F]$ .

The standard question here is again, if  $E$  is a finite extension of  $F$  and  $K$  is a finite extension of  $E$ , is  $K$  a finite extension of  $F$ ? and if it is, what is  $[K : F]$ ? This is a relatively easy question to answer.

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assume  $e_1, \dots, e_n$  is a base of  $E$  over  $F$  and  $k_1, \dots, k_m$  is a finite base of  $K$  over  $E$ . We claim that  $\{e_i \cdot k_j\}_{1 \leq i \leq n, 1 \leq j \leq m}$  is a base of  $K$  over  $F$ . We have to prove that everything in  $K$  is a linear combination of the  $\{e_i \cdot k_j\}$  and that the  $\{e_i \cdot k_j\}$  are linearly independent. We write  $k = a_1 k_1 + \dots + a_m k_m$  with  $a_i \in E$ . Now we write each  $a_i = (a_{i,1} e_1 + \dots + a_{i,n} e_n)$ , expand and are done.

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But are the  $\{e_i k_j\}$  linearly independent? Assume there is a sum  $\sum_{i,j} a_{i,j} e_i k_j = 0$  with coefficients  $a_{i,j} \in F$ . We write the sum as  $\sum_j k_j (\sum_i a_{i,j} e_i) = 0$ . The  $k_j$  are linearly independent over  $E$ , so all the coefficients  $\sum_i a_{i,j} e_i$  are 0. But the  $e_i$  are linearly independent over  $F$ , so all  $a_{i,j} = 0$  which is what we wanted.

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We proved that if  $F \subset E \subset K$ , then  $[K : F] = [E : F][K : E]$ . We also showed that if  $\{e_i\}$  is a basis for  $E$  as a vector space over  $F$ , and  $\{k_j\}$  is a basis for  $K$  as a v-space over  $E$ , then  $\{e_i k_j\}$  is a basis for  $K$  over  $F$ .

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Other standard questions:

If  $F \subset E \subset K$ , and  $F \subset K$  is finite, is  $F \subset E, E \subset K$  finite? The answer is that everything is finite and that  $[E : F][K : F] = [K : E][K : F]$ ,  $[K : F] = [K : E][E : F]$ .

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When we know  $[K : F]$ , there are not many choices for the dimension of  $F \hookrightarrow E \hookrightarrow K$

## Algebraic extensions

**Definition 3.** Let  $F \hookrightarrow E$  be a field extension and  $\alpha \in E$ . We consider

$$F \hookrightarrow F[\alpha] \hookrightarrow F(\alpha) \subset E$$

Look at  $F \hookrightarrow F[x] \longrightarrow F[\alpha]$  where  $\phi : F[x] \longrightarrow F[\alpha]$  is the unique surjective ring homomorphism where  $x \mapsto \alpha$ . If  $\phi$  is an isomorphism, we call  $\alpha$  **transcendental**. If  $\ker \phi = (f)$ , we call  $\alpha$  **algebraic** and the irreducible polynomial  $f$  the **minimal polynomial** of  $\alpha$  over  $F$ . When  $\alpha$  is algebraic,  $F[\alpha]$  is a field and  $[F[\alpha] = F(\alpha) : F] = \deg f$ .

**Definition 4.**