

### Homework assignment 4

*This exercise set is due December 2, 2008*

1. Consider the diffeomorphism  $Q_\lambda$  of the plane given by

$$\begin{aligned}x_1 &= e^x - \lambda \\y_1 &= -\frac{\lambda}{2} \arctan y\end{aligned}$$

where  $\lambda$  is a parameter.

- Find all fixed points and periodic points of period 2 for  $Q_\lambda$ .
  - Classify each of these periodic points as sinks, sources, or saddles.
  - If the point is a saddle, identify and sketch the stable and unstable manifolds.
2. Let

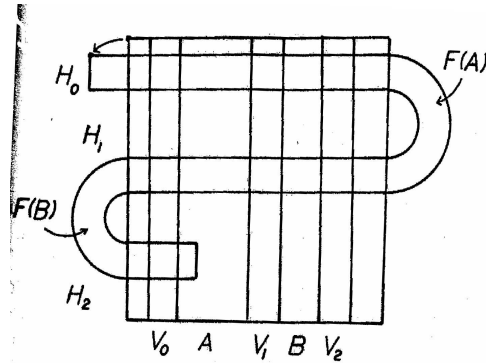
$$A = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}.$$

Construct a Markov partition for the corresponding map  $L_A$  of the torus.

3. Consider the map  $F$  on  $D$  defined geometrically as in the picture. Assume that  $F$  linearly contracts vertical lengths and linearly expands horizontal lengths in  $S$  exactly as in the case of Smale's horseshoe. Let

$$\Lambda = \{p \in D \mid F^n(p) \in S \text{ for all } n \in \mathbb{Z}\}.$$

Show that  $F$  on  $\Lambda$  is topologically conjugate to a two-sided subshift of finite type generated by a  $3 \times 3$  matrix  $A$ . Identify  $A$ . Discuss the dynamics of  $F$  off  $\Lambda$ .



4. *Linear automorphisms of the sphere.* Let  $S^2$  denote the two-dimensional sphere in  $\mathbb{R}^3$ , i.e.

$$S^2 = \{x \in \mathbb{R}^3 \mid |x| = 1\}.$$

Let

$$A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{pmatrix}$$

and define the map

$$F(x) = F_A(x) = \frac{Ax}{|Ax|}.$$

$F_A$  is called a linear automorphism of  $S^2$ .

- Prove that  $F$  maps  $\mathbb{R}^3 - \{0\}$  onto  $S^2$ .
- Prove that the restriction of  $F$  to  $S^2$  is a diffeomorphism of the sphere.
- Let  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 2, 0)$ ,  $e_3 = (0, 0, 3)$ . Prove that the  $\pm e_j$  are the fixed points of  $F$ .
- Compute the Jacobi matrices  $DF(\pm e_j)$ . Prove that  $DF(\pm e_j)$  has an eigenvalue equal to 0 with corresponding eigenvector  $e_j$ .
- Prove that each of the other vectors  $e_i$ ,  $i \neq j$ , are also eigenvectors for  $DF(\pm e_j)$ . Evaluate the corresponding eigenvalues.
- Conclude that  $\pm e_1$  is a source,  $\pm e_2$  is a saddle, and  $\pm e_3$  is a sink.
- Define  $\phi : S^2 \rightarrow \mathbb{R}$  by  $\phi(x) = |A^{-1}x|^2$ . Prove that  $\phi(F(x)) = \phi(x)$  if and only if  $x = \pm e_j$  for some  $j$ . The function  $\phi$  is called a gradient function since it decreases along the orbits of  $F$  except the fixed points.  $F$  itself is called *gradient like*.
- Use the information above (including the gradient function) to sketch the phase portrait of  $F$ .