

# Simplicial manifolds, bistellar flips and a 16-vertex triangulation of the Poincaré homology 3-sphere

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## Abstract

We present an algorithm based on bistellar operations that provides a useful tool for the construction of simplicial manifolds with few vertices. As an example, we obtain a 16-vertex triangulation of the Poincaré homology 3-sphere; we construct an infinite series of non- $PL$   $d$ -dimensional spheres with  $d+13$  vertices for  $d \geq 5$ ; and we show that if a  $d$ -manifold admits any triangulation on  $n$  vertices, then it admits a non-combinatorial triangulation on  $n+12$  vertices ( $d \geq 5$ ).

## 1 Introduction

In the early days of topology, manifolds were often studied via a triangulation. The combinatorial structure makes the computation of various invariants possible, and theorems can be proved based on the assumption of a suitable triangulation. See e.g. [28], [38] and [47] for accounts of some main lines in the historical development. Since the manifolds themselves, and not their combinatorial structure, are the real objects of interest in topology, there was a growing desire to get away from triangulations. In the 1930's and 40's algebraic tools gradually replaced the combinatorial ones, and to the extent that from this time on there still was an interest in decomposing a manifold, the more economical CW complexes gained popularity.

While triangulations always remained of interest to discrete geometers and geometric and  $PL$  topologists, the emergence of computers has subtly changed the general situation. It is now possible (at least in principle) to study compact manifolds and compute their invariants on a machine. But a fundamental question naturally arises: *How do you present the manifold to a computer?* It is clear that some finite combinatorial encoding must be used. A decomposition as a CW complex may be elegant and also economical in terms of the number of cells, but it is in general difficult to explain the attaching maps to a computer. One needs something like a regular CW complex, where the attaching maps are determined by the combinatorics of inclusion of closed

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cells. However, the conceptually easiest presentation is as a simplicial complex, say, given as the list of its facets (maximal faces). Such an encoding is clear and simple, as long as it is not too large. Thus, the matter of the *size* of a triangulation has taken on practical significance. It is of interest to say something about the number of vertices, or the total number of faces, of a triangulation, and also to explicitly construct minimal or otherwise optimal triangulations.

For earlier work on the topic of minimal triangulations we refer to the papers [2], [3], [5], [9], [10], [26], [27], [49]; and for algorithmic approaches to recognition problems for 3-manifolds to the papers [36] and [48].

The work reported in this paper grew out of a desire to have a *computer tool for experimentation with triangulations*. We had three purposes in mind:

1. *To be able to start with some triangulation of a manifold and let the computer search for smaller triangulations.*
2. *To be able to determine, via a heuristic, the homeomorphism type of a manifold and, in particular, to recognize (combinatorial) spheres.*
3. *To be able to search for counterexamples to conjectures, where such examples might be hard to find due to their size or complexity.*

Since to determine the homeomorphism type of a manifold is a delicate and much studied matter, the second point needs immediate clarification. What we have in mind is a procedure for heuristically comparing a given test manifold with reference manifolds having similar invariants from a library of standard manifolds on few vertices, with no guarantee for success. In future work the combinatorial ideas of this paper can hopefully be expanded and combined with algorithms for computing topological invariants (not only homology, but also fundamental group, characteristic classes, intersection forms, multiplicative structure of cohomology, ...) to create a truly versatile tool for manipulation and identification of manifolds.

A computer program, BISTELLAR [33], was written which repeatedly modifies a triangulation by local so called “bistellar operations”. Such operations for dimensions 2 and 3 are illustrated in Figures 1 and 2, we defer the formal definition to the following section. The algorithm accepts as input a simplicial manifold  $M$  (or any pure simplicial complex) presented via the list of its facets. It then searches through other triangulations of  $M$  via bistellar moves, using randomness controlled by a “simulated annealing” type strategy, to be explained in Section 3.

The program has turned out to be quite useful for the first two purposes. For reasons that will be explained later (searching for counterexamples to the “g-conjecture for spheres”) we needed non- $PL$  triangulations of the  $d$ -sphere ( $d \geq 5$ ) of manageable size. As a stepping stone in the construction we gave BISTELLAR the task to compute a small triangulation of what Rolfsen [41, p. 308] calls “the ubiquitous Poincaré homology sphere”. As reported in Section 5 the program produced a triangulation on 16 vertices, which seems to be the smallest known triangulation of this manifold. It follows from work of Walkup [49] that any triangulation must have at least 11 vertices. Thus, it is at the moment impossible to say where between 11 and 16 the truth about the optimal number of vertices lies. However, after having run our program

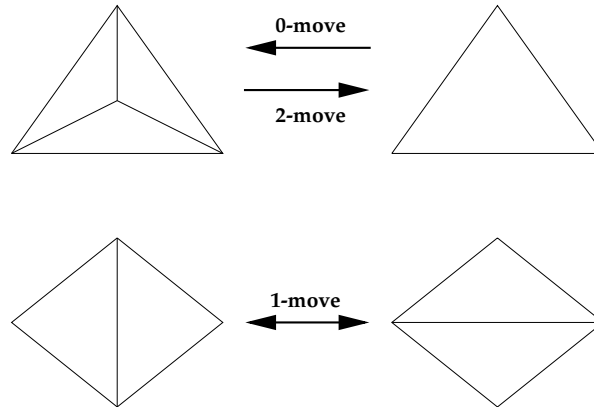


Figure 1: Bistellar moves for  $d = 2$ .

over millions of triangulations, we are prepared to believe that 16 vertices might in fact be best possible for this manifold.

The 16-vertex triangulation of the Poincaré space is the starting point for a proof that there exist non- $PL$  triangulations of the  $d$ -sphere on  $d + 13$  vertices for all  $d \geq 5$ . This is in turn used to show that if an arbitrary  $d$ -manifold admits some triangulation on  $n$  vertices, then it admits a non- $PL$  triangulation on  $n + 12$  vertices ( $d \geq 5$ ). Also, the  $(d + 13)$ -vertex non- $PL$  spheres complement earlier theorems of Barnette and Gannon [5] and Brehm and Kühnel [9], see Section 6.

The search for minimal triangulations using our program has been continued by one of us (Lutz), and has led to several new results. They will be presented elsewhere (see [25], [30], and [31]), but let us summarize the main findings.

Combinatorial triangulations were found for

- $S^2 \times S^2$  on 11 vertices,
- $S^3 \times S^2$  on 12 vertices,
- $S^3 \times S^3$  on 13 vertices,
- $(S^2 \times S^2) \# (S^2 \times S^2)$  on 12 vertices,
- $\mathbb{RP}^4$  on 16 vertices.

In all these cases, the theoretically minimal numbers of vertices for combinatorial triangulations of these manifolds are achieved.

The triangulations of  $S^3 \times S^2$  on 12 and of  $S^3 \times S^3$  on 13 vertices are of particular interest, since they attain the minimal numbers of vertices that any (non-spherical) combinatorial 5- or 6-manifold can have. They therefore establish that the Brehm-Kühnel [9] lower bound for the number of vertices of combinatorial  $d$ -manifolds is sharp in dimensions 5 and 6. For a statement of this bound, see Theorem 8 and the sentence following it.

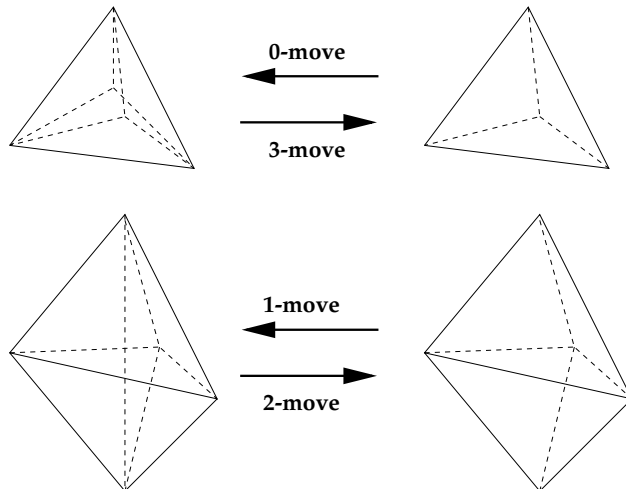


Figure 2: Bistellar moves for  $d = 3$ .

An extended version of the program, `BISTELLAR_EQUIVALENT` [34], was used to determine the homeomorphism type of a large number of manifolds, e.g. of all triangulated 3-manifolds that have a vertex-transitive automorphism group on  $n \leq 15$  vertices (cf. [25]). The idea behind this is to first construct reference triangulations of interesting manifolds with few vertices. If then a test object has the same homology as a particular reference manifold (this can be checked with the computer program `HOMOLOGY` by Heckenbach [18]) it was possible in many cases to find a *bistellar equivalence* between the two manifolds, and thus to show that they are *PL* homeomorphic. For this we first searched for a small triangulation of the test object, and then applied further bistellar flips until, eventually, we were able to show that the modified test object is combinatorially isomorphic to the reference manifold.

Naturally, this works particularly well for manifolds with a unique minimal triangulation, such as *PL*  $d$ -spheres that can be minimally triangulated as the boundary complex of the  $(d + 1)$ -dimensional simplex. Therefore the program can be used, at least as a heuristic, to determine whether a given simplicial complex is a combinatorial manifold (i.e., whether all vertex links are *PL* spheres). Other manifolds that have a unique minimal triangulation are e.g. the twisted sphere product (or 3-dimensional Klein bottle)  $S^2 \times S^1$  (cf. [49], [2], [3]) and the complex projective plane  $\mathbb{C}P^2$  [27], in both cases on 9 vertices.

The program has not yet achieved any success for the third purpose, that of finding counterexamples. At the end of the following section we report on some experiments of this kind.

The paper is structured as follows. In the next section we review some definitions and some general facts about triangulations of manifolds, bistellar flips and the counting of faces. Section 3 presents the algorithm. In Section 4 we discuss the Poincaré homology 3-sphere and construct some highly symmetric triangulations for input into

BISTELLAR. Section 5 presents the 16 vertex triangulation that was found. In Section 6 we derive via multiple suspensions the non- $PL$   $d$ -spheres on few vertices and discuss how their existence relates to the existing theoretical bounds for such objects. In the brief Section 7, finally, we construct a highly symmetric triangulation of  $\mathbb{R}P^3$  using the same general technique as in Section 4.

## 2 Review of definitions and background

We collect here some definitions and discuss a bit more the background to this paper, including some general facts concerning triangulations of manifolds. For the general notions of topology we refer to Stillwell [47] and for  $PL$  topology to Glaser [17] and Hudson [19].

All manifolds in this paper are compact, connected and closed. Since  $PL$  concepts play such a role here, we recall the following definitions. A  $PL$  sphere is a simplicial complex which is piecewise linearly homeomorphic to the boundary of a simplex. A *combinatorial manifold* (or  $PL$  manifold) is a triangulation of a topological manifold such that the link at every vertex is a  $PL$  sphere.

For  $d \neq 4$ , a triangulation of the  $d$ -sphere is  $PL$  in the first sense if and only if it is a  $PL$  manifold in the second sense. For  $d \leq 3$  this follows from the work of Moise [37], and for  $d \geq 5$  from the work of Kirby and Siebenmann [22]; namely, there is a unique  $PL$  structure for spheres in these dimensions. For  $d = 4$  this question is not fully understood: Is a combinatorial manifold homeomorphic to the 4-sphere necessarily a  $PL$  sphere? Since in dimension 4 the category of  $PL$  manifolds is equivalent to the smooth category, the question is equivalent to if there exists an “exotic” 4-sphere. (We are grateful to M. Kreck for clarifying this distinction.)

It was shown by Rado (in 1924) that all 2-manifolds and by Moise (in 1952) that all 3-manifolds can be triangulated [37], [38], [47]. Since the link of a vertex in a triangulated 2-manifold is a polygon and the link of a vertex in a triangulated 3-manifold is a 2-sphere (and all 2-spheres are  $PL$ ), 2- and 3-dimensional manifolds are always  $PL$ .

The situation is much more subtle in dimension 4. Freedman constructed in 1982 a non-differentiable analogue of the complex projective plane (see [16, Sect. 8.3 and 10.1], [15]) and this *fake*  $\mathbb{C}P^2$  provides an example of a 4-manifold that cannot be triangulated as a combinatorial manifold. Combining work of Casson with that of Freedman (see [1, p. xvi]) examples are obtained of topological 4-manifolds that *cannot be triangulated at all*. For expositions of these triangulation questions and related matters, see e.g. [22, Annex 2 and 3], [28], [29], [35], [38], and [47].

In 1963 Milnor (cf. Lashof [29]) listed seven problems that he thought of as the toughest and most important problems in geometric topology. Among them is the question whether every topological manifold can be triangulated, now known to have a negative answer. Also on the list is the double suspension problem that asks whether the double suspension of a homology 3-sphere is a topological sphere. This problem was settled by Edwards [14] in 1974 for the double suspension of the Mazur homology 3-sphere, which he proved is a topological 5-sphere (see [12, Ch. 12]). The theorem

has later been generalized.

**Theorem 1** (Cannon [11]) *The double suspension  $\mathcal{S}^2 H^d$  of any  $d$ -dimensional homology sphere  $H^d$  is homeomorphic to  $S^{d+2}$ .*

It follows that  $\mathcal{S}^2 H^d$ , although homeomorphic to  $S^{d+2}$ , has a non- $PL$  structure, since  $H^d$  appears as the link of some 1-simplex in  $\mathcal{S}^2 H^d$ . This fact will be of importance in Section 6.

We now specialize the discussion to the concepts and tools that will be needed in this paper.

**Definition 2** [39] *Let  $M$  be a simplicial  $d$ -manifold (or any pure  $d$ -dimensional simplicial complex). If  $A$  is a  $(d - i)$ -face of  $M$ ,  $0 \leq i \leq d$ , such that  $\text{link}_M(A)$  is the boundary  $Bd(B)$  of an  $i$ -simplex  $B$  that is not a face of  $M$ , then the operation  $\Phi_A$  on  $M$  defined by*

$$\Phi_A(M) := (M \setminus (A * Bd(B))) \cup (Bd(A) * B)$$

*is called a **bistellar  $i$ -move**.*

Alternatively, we say *bistellar operations* or *bistellar flips* for bistellar moves. Bistellar  $i$ -moves with  $i > \lfloor \frac{d}{2} \rfloor$  are also called *reverse  $(d - i)$ -moves*. Note that a 0-move adds a new vertex to a triangulation, while a reverse 0-move deletes a vertex, see Figures 1 and 2. Two pure simplicial complexes are *bistellarly equivalent* if there exists a finite sequence of bistellar operations leading from one triangulation to the other (and vice versa).

It is easy to see that bistellar equivalence implies being  $PL$  homeomorphic, for any simplicial manifolds. For combinatorial triangulations the converse is also true.

**Theorem 3** (Pachner [39, Thm. 1]) *Two combinatorial manifolds are bistellarly equivalent if and only if they are  $PL$  homeomorphic.*

Define the *bistellar flip graph* of a triangulable manifold  $M$  to have as nodes the triangulations of  $M$  (or, more precisely, their combinatorial isomorphism classes), and an edge between two nodes if one triangulation can be obtained via a single bistellar flip from the other (and vice versa). If the dimension of  $M$  is at most 3, then this graph is connected, as shown by the work of Moise [37] together with Theorem 3. We will show in Section 6 that if  $d \geq 5$  then this graph has infinitely many connected components. Of course, the manifolds within each connected component of the bistellar flip graph are pairwise  $PL$  homeomorphic. If  $M$  can be triangulated as a combinatorial manifold, then by Pachner's theorem the (infinite) space of all combinatorial triangulations of  $M$  is divided into equivalence classes of pairwise  $PL$  homeomorphic triangulations which coincide with the connected components of the bistellar flip graph.

We now consider counting faces of *all* dimensions, not just vertices (dimension zero). For more details and references to this area see the survey [6], and for triangulations of spheres and polytopes [46].

Let  $f_i$  be the number of  $i$ -dimensional faces of a triangulated  $d$ -manifold  $M$  (with  $f_{-1} = 1$ ), and define numbers  $h_i$  by

$$\sum_{i=0}^{d+1} h_i x^{d+1-i} = \sum_{i=0}^{d+1} f_{i-1} (x-1)^{d+1-i}. \quad (1)$$

The sequence  $(f_0, \dots, f_d)$  is called the  $f$ -vector of  $M$ , and  $(h_0, \dots, h_{d+1})$  its  $h$ -vector. The corresponding  $g$ -vector  $(g_0, \dots, g_{\lfloor (d+1)/2 \rfloor})$  is defined by  $g_0 = 1$  and  $g_i = h_i - h_{i-1}$ , for  $i \geq 1$ .

It was shown by Klee [24] for any triangulated manifold  $M$  that the face numbers  $(f_0, \dots, f_{\lfloor (d-1)/2 \rfloor})$  determine the remaining numbers  $(f_{\lfloor (d+1)/2 \rfloor}, \dots, f_d)$  via linear relations. From (1) we see that this means that  $(h_0, \dots, h_{\lfloor (d+1)/2 \rfloor})$ , and thus also  $(g_0, \dots, g_{\lfloor (d+1)/2 \rfloor})$ , determine the complete  $f$ -vector. In other words, the  $g$ -vector of a triangulated manifold contains complete information about its  $f$ -vector.

The relevance of this for our algorithm is the following.

**Theorem 4** (Pachner [39, p. 83]) *If  $M'$  is obtained from  $M$  via a bistellar  $k$ -move,  $0 \leq k \leq \lfloor (d-1)/2 \rfloor$ , then*

$$\begin{aligned} g_{k+1}(M') &= g_{k+1}(M) + 1 \\ g_i(M') &= g_i(M) \quad \text{for all } i \neq k+1. \end{aligned}$$

Furthermore, if  $d$  is even and  $k = \frac{d}{2}$ , then  $g_i(M') = g_i(M)$  for all  $i$ .

This means that it is very easy to follow and control the successive  $f$ -vectors during a sequence of bistellar flips. In our program we compute and store the initial  $g$ -vector, which is then updated with a  $+1$  (or  $-1$ ) in position  $k+1$  for each  $k$ -move (or, reverse  $k$ -move). (*Remark:* In the case of odd-dimensional manifolds the result implies that the bistellar flip graph is bipartite – it can be colored by the sum (mod 2) of the entries of the  $g$ -vector. In even dimensions,  $\frac{d}{2}$ -moves do not change the  $g$ -vector and sometimes even lead to a combinatorially isomorphic triangulation of a manifold; that is, the bistellar flip graph may have loops.)

The linear relations of Klee take on a particularly attractive form if  $M$  triangulates a sphere (the Dehn-Sommerville relations):

$$h_i = h_{d+1-i}. \quad (2)$$

If furthermore  $M$  is *polytopal* (i.e., combinatorially isomorphic to the boundary complex of a simplicial convex polytope), then by a theorem of Stanley [45]

$$(g_0, \dots, g_{\lfloor (d+1)/2 \rfloor}) \text{ is an M-sequence.} \quad (3)$$

This combinatorial condition is defined as follows, showing that it can easily be tested by machine. For integers  $k, n \geq 1$  there is a unique way of writing

$$n = \binom{a_k}{k} + \binom{a_{k-1}}{k-1} + \dots + \binom{a_i}{i}$$

so that  $a_k > a_{k-1} > \cdots > a_i \geq i \geq 1$ . Then define

$$\partial^k(n) = \binom{a_k - 1}{k - 1} + \binom{a_{k-1} - 1}{k - 2} + \cdots + \binom{a_i - 1}{i - 1}.$$

Also let  $\partial^k(0) = 0$ . A sequence  $(n_0, n_1, \dots)$  of nonnegative integers is called an *M-sequence* if

$$n_0 = 1 \text{ and } \partial^k(n_k) \leq n_{k-1}, \text{ for all } k \geq 2.$$

Note that a nontrivial consequence of (3) is that  $g_i \geq 0$  for polytopal spheres. The “*g-theorem*” states that the conditions (2) and (3) together characterize the *f*-vectors of polytopal spheres. The sufficiency of these conditions was proved by Billera and Lee [7].

The conjecture to which we wanted BISTELLAR to search for counterexamples is the so called “*g-conjecture for spheres*”, which states that condition (3) is valid for *all* triangulated spheres, not just polytopal ones. If correct, this would imply a characterization of the *f*-vectors of spheres.

The *g*-conjecture can be deduced from known results for all *d*-spheres up to dimension 4, but is open for  $d \geq 5$ . Attempts during the last 20 years to prove it have so far been without success. It therefore seemed to us that the possibility of its falsity should be considered and tested.

In order to look for counterexamples we started with non-*PL* triangulations of the *d*-sphere for  $d = 5$  and 6 and let the bistellar flip program search through thousands of triangulations. This purpose is what originally made us look for small triangulations of the Poincaré 3-sphere and its suspensions, see Section 6 for a description of the spheres we used to start the computer search. The bistellar flip algorithm guarantees by Theorem 3 that all triangulations visited during the search are non-*PL*, and in particular that they are not polytopal. At each step the *g*-vector is updated, as described in Theorem 4, and tested for being an M-sequence. The parameters for the algorithm can be set to put priority on creating a *g*-vector that is not an M-sequence (if possible), e.g. a *g*-vector with some negative entry.

So, what was the result? No counterexamples to the *g*-conjecture were found. Although no conclusions can be drawn, let us hope that this is an indication that the conjecture is correct.

### 3 The bistellar flip algorithm

The algorithm that will now be explained performs walks on the bistellar flip graph of triangulations of a manifold *M*. By necessity we must restrict attention to some connected component of this graph. For a particular triangulation of *M* from this component (the input) we want to perform bistellar modifications with the objective to obtain “small” (hopefully even minimal), or otherwise sought-after, triangulations of *M* (within the component). As an objective function that we want to optimize, we could take for example the total number of faces of a triangulation. Nevertheless, the sum *G* of the entries of the *g*-vector seems to be a more appropriate objective

function, since any up-move (i.e.,  $i$ -move with  $0 \leq i \leq \lfloor \frac{d-1}{2} \rfloor$ ) increases  $G$  by one and any down-move (reverse up-move) decreases  $G$  by one, so that we have good control over  $G$ . (If  $d$  is even,  $\frac{d}{2}$ -moves do not change  $G$ .) In addition to the goal of minimizing the objective function  $G$  we perform moves according to *priority rules*. Reverse 0-moves are given the highest priority as they delete a vertex, then come reverse 1-moves, reverse 2-moves, etc. If no further reverse moves are available, this might be due to the fact that we have achieved a global minimum for  $G$  within our component of triangulations. But we can as well have gotten stuck in some local minimum.

A concept that is very useful in such situations is *simulated annealing* [23]. In a continuous version of simulated annealing (see e.g. [20]) one wants to find a global minimum  $x_* \in \mathbb{R}^n$  for a real valued objective function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ , i.e.,  $x_* \in \mathbb{R}^n$  such that  $f(x_*) \leq f(x)$  for all  $x \in \mathbb{R}^n$ . Starting at some point  $y$  one moves to a random neighboring point  $y'$  if  $\Delta f = f(y') - f(y) \leq 0$ , or with probability  $\exp(-\Delta f/\beta)$  if  $\Delta f > 0$ . In the next step one moves to another point  $y''$  and so on. The *cooling parameter*  $\beta > 0$  describes how likely it is to move “uphill” and is usually decreased with time (the number of steps).

We will describe now an appropriate simulated-annealing-type strategy for bistellar flips. As soon as we are trapped in a ‘local’ minimum, we perform an up-move. (Up-moves are also performed according to priority rules, such as ‘perform a  $(k+1)$ -move before a  $k$ -move’.) Sometimes, this already paves the way for further reverse moves that lead away from the local minimum. But we might also fall back into the same local minimum in the following *round*. After a certain number of up-moves has become necessary (we call this the *relaxation parameter*) we start “heating up” the function  $G$ , i.e., for a number of steps given by the *heating parameter* we perform only up-moves (as long as this is possible), with the exception that we usually do not perform 0-moves, since this would blow up the size of the complex too quickly. Then we let the system relax until we have to heat up again. If there is more than one option for moves of a certain priority, we pick one of these options randomly and then execute the move.

#### AN IMPLEMENTATION OF THE BISTELLAR FLIP ALGORITHM

We start with some triangulation of a  $d$ -manifold, represented by the list of its facets, and determine all its faces and compute its  $f$ - and  $g$ -vector. Next, we check for every  $(d-i)$ -face of the triangulation whether it is contained in precisely  $i+1$  facets. The collection of these faces (together with their respective links) form the *raw options* for bistellar  $i$ -moves. If we want to consider *proper* options for  $i$ -moves, we include only those raw options for  $i$ -moves for which in addition the links satisfy the condition of being the boundary of an  $i$ -simplex that is *not* a face of the triangulation. This last condition is easy to check.

When we determine the raw options at the beginning, we have to check for all  $f_i$   $i$ -faces how often they are included in one of the  $f_d$  facets. This amounts to  $f_i \cdot f_d$  operations. Nevertheless, in the following rounds we do not have to recompute the raw options from scratch, since with any bistellar flip we simply cut out a ball locally and replace it by another ball. All raw options for faces in the interior of the ball that

we remove have to be deleted and raw options for the faces in the interior of the new ball have to be included. Raw options for faces on the common boundary of the balls might also change. But altogether, there is only a constant number of faces involved in updating the raw options. Finally, to find out which of the raw options of a given priority are proper options, we have to test the condition on links mentioned above.

We wrote the program BISTELLAR in GAP [43], as all operations for sets and lists that we need are available in this computer algebra package. For dimension 3, the listing of the main part of the program is as follows. Complete information about BISTELLAR is best obtained by downloading the program (<http://www.math.TU-Berlin.de/diskregeom/stellar/>).

```

1  ## initial settings ##
2
3  InputFacets;
4  Compute_RawOptions;
5  Compute_f_and_g_vector;
6  g_min:=g;
7
8  ## parameters ##
9
10 rounds:=1;
11 relaxation:=0;
12 heating:=0;
13
14 while rounds <= 50000 do
15
16     ## strategy for options ##
17
18     options:=[];
19
20     if heating > 0 then
21         Include_MoveOptions(1);
22         if options = [] then
23             Include_ReverseMoveOptions(1);
24             heating:=0;
25         fi;
26         heating:=heating-1;
27     else
28         Include_ReverseMoveOptions(0);
29         if options = [] then
30             Include_ReverseMoveOptions(1);
31             if options = [] then
32                 Include_MoveOptions(1);
33                 if options = [] then

```

```

34         Include_MoveOptions(0);
35         fi;
36         relaxation:=relaxation+1;
37         if relaxation = 10 then
38             heating:=15;
39             relaxation:=0;
40         fi;
41     fi;
42 fi;
43 fi;
44
45 ## perform Move or ReverseMove ##
46
47 ChooseOptionAtRandom;
48 ExecuteOption;
49 Update_RawOptions;
50 Update_f_and_g_vector;
51 Print(rounds," ",g,"\n");
52 if g < g_min then
53     g_min:=g;
54     Print("f-vector = ",f,"\n");
55     Print(facets,"\n");
56 fi;
57
58 rounds:=rounds+1;
59
60 od;

```

In higher dimensions, the strategy for the options can easily be adapted, although it takes time and experiments to figure out reasonable parameters for heating and relaxation. (This is a common problem with simulated annealing algorithms.)

## 4 The ubiquitous Poincaré homology 3-sphere

The original example by Poincaré of a non-simply-connected manifold with the same homology as the ordinary 3-sphere appeared in [40]. It was constructed by him from two solid double tori identified along their boundary surfaces of genus 2. For this and other constructions of this space see [41, pp. 244–250 and 308–311], [47, pp. 263–266] or [50, p. 245]. This manifold, whose existence prompted the still open 3-dimensional Poincaré conjecture, has had an enormous influence on the subsequent development of topology. It is discussed in many places in the literature; in addition to the already mentioned sources, see also e.g. [13], [21] and [44]. We want to particularly mention the paper [21], where eight different constructions of this space are given and

proved to be equivalent. Also, several of the given references discuss the fact that the fundamental group of the Poincaré homology 3-sphere is the “binary icosahedral group” of order 120.

Triangulations of the Poincaré homology 3-sphere on 17 and 18 vertices were constructed by Ulrich Brehm. This is mentioned in the proof of Proposition 3.28 of [26, p. 55], but no details are given. The first task for our bistellar flip algorithm was to try to improve on this.

In order to have a starting triangulation for the algorithm at hand, we first constructed a “small” triangulation of the Poincaré homology 3-sphere. For this, we considered the description of the Poincaré sphere as the *spherical dodecahedron space*, that is the cell decomposition of the solid dodecahedron where opposite pentagons on the boundary are identified by a coherent twist of  $\pi/5$  radians. See Seifert and Threlfall [44, pp. 223–225] or Weber and Seifert [50].

We triangulate the boundary of the dodecahedron by introducing a midpoint for every pair of identified opposite pentagons (see Figure 3). Into the interior of the

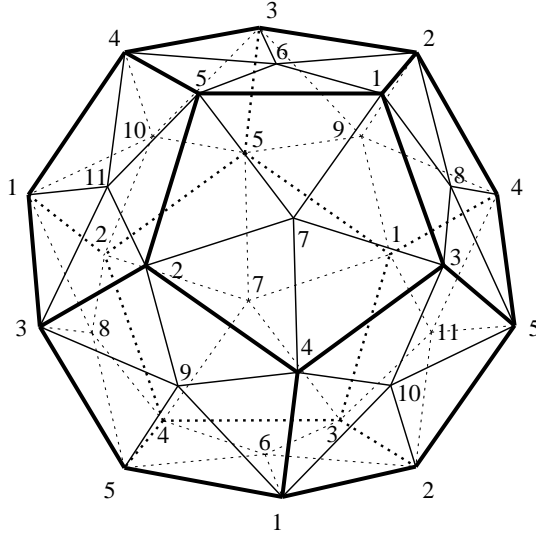


Figure 3:  $A_5$ -invariant triangulation of the Poincaré 3-sphere.

dodecahedron we place an icosahedron in such a way that every vertex of the icosahedron corresponds to a copy of a midpoint of a pentagon. For every vertex of the icosahedron we form the cone over the respective pentagon. For every edge of the icosahedron we include the tetrahedron that is determined by this edge and the edge that separates the two corresponding neighboring pentagons. Similarly, for any triangle on the boundary of the icosahedron we take the tetrahedron that is made up by the triangle and the intersection-vertex of the three corresponding neighboring pentagons. Finally, we triangulate the interior of the icosahedron by introducing a center point and we take the cone over the boundary of the icosahedron with respect to the center point. The resulting triangulation of the Poincaré homology 3-sphere has  $5 + 6 + 12 + 1 = 24$  vertices and is invariant under the 60-element group  $A_5$  of rotations of the icosahedron and the dodecahedron.

Instead of an icosahedron, we could also place a bipyramid over a pentagon into the interior of the dodecahedron. In this case, the north and south pole of the bipyramid are joined to the dark shaded subcomplexes of Figure 4. Then take one vertex of the equatorial pentagon of the bipyramid and let it correspond to the light shaded subcomplex of Figure 4. By rotations of the cyclic group  $\mathbb{Z}_5$  we obtain four additional equatorial subcomplexes, and the seven described subcomplexes cover the boundary of the dodecahedron. Now, triangulate the space between the bipyramid and the

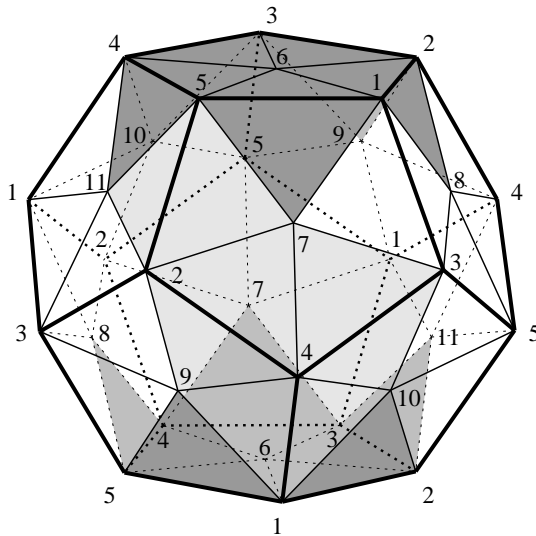


Figure 4:  $\mathbb{Z}_5$ -invariant triangulation of the Poincaré 3-sphere.

(identified) boundary of the dodecahedron similarly as before. For the interior of the bipyramid we introduce an edge connecting north and south pole and then slice the bipyramid like an orange. This provides us with a  $\mathbb{Z}_5$ -invariant 18-vertex triangulation of the Poincaré sphere. As was mentioned, such a triangulation was previously found by Ulrich Brehm. By some modification of the identified boundary it is not too difficult to obtain non-symmetric 17-vertex triangulations, but we were unable to reach 16 vertices by hand.

## 5 A non-symmetric triangulation $\Sigma_{16}^3$ on 16 vertices

We applied the bistellar flip algorithm to both the above 18-vertex or the 24-vertex triangulation. After some running time we obtained a 16-vertex triangulation.

**Theorem 5** *There exists a triangulation (without any symmetries) of the Poincaré homology 3-sphere on 16 vertices with  $f$ -vector  $f = (16, 106, 180, 90)$ .*

**Proof:** The list of facets

1249	124 15	126 14	126 15	129 14	134 12
134 15	137 10	137 12	13 10 15	149 12	156 13
156 14	158 11	158 13	15 11 14	16 13 15	178 10
178 11	17 11 12	18 10 13	19 11 12	19 11 14	1 10 13 15
235 10	235 11	237 10	237 13	23 11 13	249 13
24 11 13	24 11 15	258 11	258 12	25 10 12	26 10 12
26 10 14	26 12 15	279 13	279 14	27 10 14	28 11 15
28 12 15	345 14	345 15	34 12 14	35 10 15	35 11 14
37 12 13	3 11 13 14	3 12 13 14	4567	456 14	457 15
467 11	46 10 11	46 10 14	47 11 15	489 12	489 13
48 10 13	48 10 14	48 12 14	4 10 11 13	567 13	579 13
579 15	589 12	589 13	59 10 12	59 10 15	67 11 12
67 12 13	6 10 11 12	6 12 13 15	78 10 14	78 11 15	78 14 15
79 14 15	8 12 14 15	9 10 11 12	9 10 11 16	9 10 15 16	9 11 14 16
9 14 15 16	10 11 13 16	10 13 15 16	11 13 14 16	12 13 14 15	13 14 15 16

determines a 3-dimensional (pure) simplicial complex  $\Sigma_{16}^3$  on 16 vertices with  $f$ -vector  $f = (16, 106, 180, 90)$ . Since this simplicial complex was obtained via bistellar flips starting from a triangulation of the Poincaré sphere, it is homeomorphic to this space.

Alternatively, we can assemble the 90 tetrahedra in the interior of the dodecahedron. Once again, we obtain a triangulation of the solid dodecahedron where opposite pentagons on the boundary are identified by a coherent twist of  $\pi/5$  radians. In Figure 5 we depict the corresponding triangulation of the boundary with the respective identifications. The vertices 1–11 lie on the boundary of the dodecahedron whereas the vertices 12–16 lie in the interior.

If a combinatorial manifold has a (combinatorial) symmetry, then the links of the vertices that are mapped onto each other must be combinatorially equivalent. For  $\Sigma_{16}^3$  the links of the vertices  $\{3, 6\}$ ,  $\{10, 13, 14\}$ , and  $\{2, 4, 5, 7, 12\}$  are pairwise combinatorially equivalent within each group, and there are no other such equivalences. Thus, the automorphism group of  $\Sigma_{16}^3$  is a subgroup of  $S_2 \times S_3 \times S_5$ . Nevertheless, none of these 1440 permutations, apart from the identity, is in fact a symmetry, and therefore  $\Sigma_{16}^3$  has trivial automorphism group.  $\square$

What about a 15-vertex triangulation of the Poincaré homology 3-sphere? It follows from work of Walkup [49, Theorem 4] that at least 11 vertices are needed. (We are grateful to R. Forman for pointing this out to us.) We have let our bistellar flip algorithm run for up to  $10^6$  moves with changing relaxation and heating parameters. From time to time the triangulation  $\Sigma_{16}^3$  appeared or other triangulations on 16 vertices with larger  $f$ -vector but never any smaller triangulation or any non-equivalent triangulation with the same  $f$ -vector.

**Conjecture 6** *The triangulation  $\Sigma_{16}^3$  of the Poincaré homology 3-sphere has the component-wise minimal  $f$ -vector  $f = (16, 106, 180, 90)$  for a triangulation of this manifold and is the unique triangulation with this  $f$ -vector.*

The boundary of the identified dodecahedron is a  $\mathbb{Z}$ -acyclic space with the same fundamental group as the Poincaré homology 3-sphere [8, p. 57]. In particular, this 2-dimensional space is not contractible. What is the minimal number of vertices of a simplicial complex that is  $\mathbb{Z}$ -acyclic but not contractible?

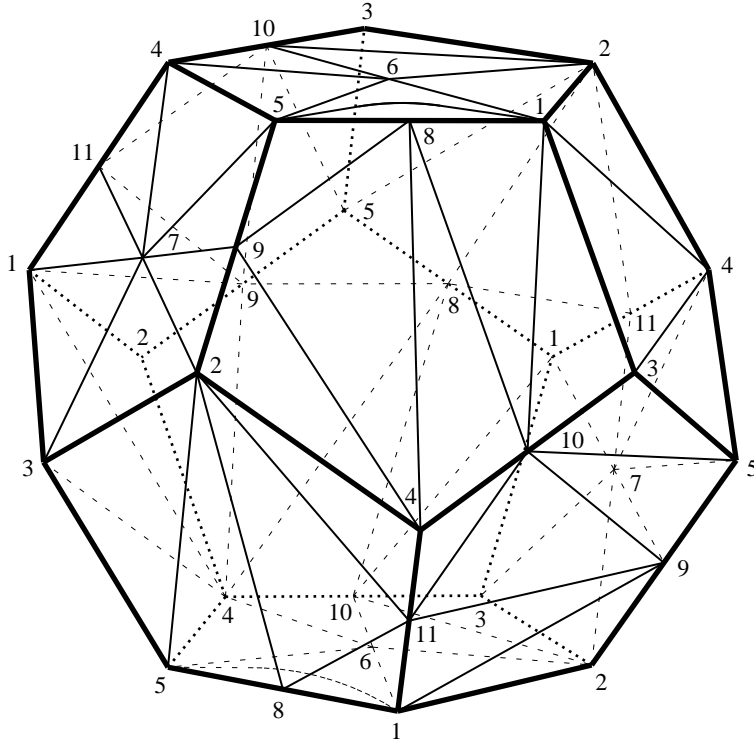


Figure 5: 16-vertex triangulation of the Poincaré 3-sphere.

By taking the restriction of  $\Sigma_{16}^3$  to the boundary of the identified dodecahedron we obtain a triangulation on 11 vertices. The bistellar flip algorithm brought this number down to 10. The corresponding  $f$ -vector is  $f = (10, 40, 31)$ . Subsequently another triangulation on 10 vertices, shown in Figure 6, was found by hand.

Here is the list of its facets:

124	125	136	138	13 10	148	149	157
15 10	167	169	235	237	238	246	24 10
267	268	28 10	356	359	379	37 10	456
457	458	479	47 10	589	58 10	689.	

We do not know if 10 vertices is best possible for a complex with these properties.

REMARK: Taking instead the restriction of  $\Sigma_{24}^3$  (described in Section 4, see Figure 3) to the boundary of the identified dodecahedron we obtain a triangulation on 11 vertices, on which  $A_5$  acts transitively on facets and without stationary points. Its nerve complex provides an 11-dimensional  $A_5$ -invariant vertex-transitive  $\mathbb{Z}$ -acyclic simplicial complex on 30 vertices [32].

## 6 A series of non-PL $d$ -spheres on $d + 13$ vertices for $d \geq 5$

It follows from Theorem 1 that if we suspend  $\Sigma_{16}^3$  twice, then we obtain a non-PL 5-sphere. If we suspend further, we obtain non-PL spheres of higher dimensions.

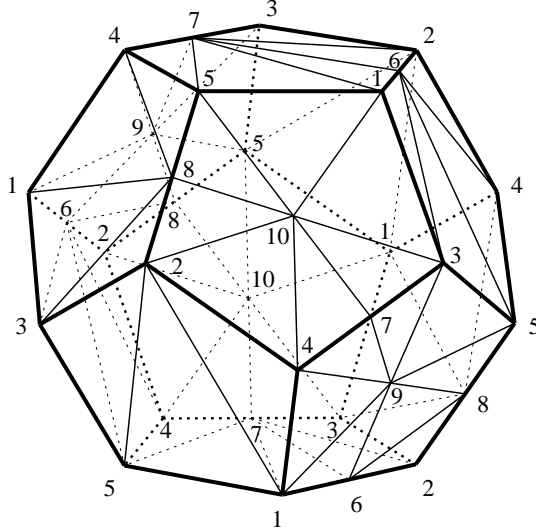


Figure 6:  $\mathbb{Z}$ -acyclic non-contractible complex on 10 vertices.

**Theorem 7** *Let  $d \geq 5$ . Then there are non-PL triangulations of the  $d$ -dimensional sphere on  $d + 13$  vertices.*

**Proof:** Let us first show that for  $d \geq 5$  there exist particularly simple non-PL triangulations of the  $d$ -dimensional sphere on  $d + 14$  vertices. For this, we suspend  $\Sigma_{16}^3$   $(d - 3)$ -times, i.e. we form  $(d - 3)$ -times the join product of  $\Sigma_{16}^3$  with the zero-dimensional 2-point sphere  $S^0$ . By the associativity of the join product with respect to the PL-structure (cf. [42, 2.24(1)]),

$$((\dots((\Sigma_{16}^3 * S^0) * S^0) * \dots * S^0) * S^0) = \Sigma_{16}^3 * (S^0 * S^0 * \dots * S^0 * S^0) = \Sigma_{16}^3 * S^{d-4}.$$

If we take for  $S^{d-4}$  the boundary complex of the  $(d - 3)$ -simplex, the latter simplicial complex has  $16 + (d - 2)$  vertices. Note also that it has  $90 \cdot (d - 2)$  facets, and that the list of its facets is easily compiled by concatenation from the list in Section 5 of the 90 facets of  $\Sigma_{16}^3$  with the list of all  $(d - 3)$ -subsets of a  $(d - 2)$ -set.

An improvement of the number of vertices by one can be obtained if we use *Datta's trick* to construct one-point suspensions of triangulated manifolds  $M$ . The Datta construction is as follows. Suspend  $M$  by using two vertices  $w_1$  and  $w_2$ . Then pick a vertex  $v$  of  $M$  and replace the collection of facets that contain  $v$  by the facets that we obtain from the  $(d - 1)$ -facets of the link of  $v$  by adding as an extra vertex either  $w_1$  if  $w_2$  is already contained in the respective  $(d - 1)$ -facet, or otherwise  $w_2$  if  $w_1$  is already contained. The reverse procedure to this operation is called *starring a vertex in 'an edge'* in an article by Bagchi and Datta [4, Def. 9]. The two authors remark in that paper that this generalized bistellar operation does not change the PL homeomorphism type of the suspension if  $M$  is a manifold (or a pseudomanifold). (We thank W. Kühnel for pointing out Datta's trick to us.) If we take  $(d - 3)$ -times the one point Datta-suspension of  $\Sigma_{16}^3$  we obtain a non-PL  $d$ -sphere with  $d + 13$  vertices.  $\square$

Theorem 7 complements the following two results, which show that triangulated manifolds with “few” vertices must be *PL* spheres.

**Theorem 8** *Let  $M$  be a triangulated  $d$ -manifold on  $n$  vertices.*

- (a) (Barnette and Gannon [5]) *If  $n < d + 6$  and  $d \geq 5$ , then  $M$  is a *PL* sphere.*
- (b) (Brehm and Kühnel [9]) *If  $n < 3\lceil \frac{d}{2} \rceil + 3$  and  $M$  is combinatorial, then  $M$  is a *PL* sphere.*

Brehm and Kühnel [9] also show that if  $n = 3\lceil \frac{d}{2} \rceil + 3$ , then  $M$  is either a *PL*  $d$ -sphere or a “manifold like a projective plane” (the latter case can occur only for  $d = 2, 4, 8,$  or  $16$ ). The following consequence of Theorem 7 shows that the assumption “combinatorial” can not be removed from the Brehm-Kühnel theorem.

**Corollary 9** *There exist non-*PL*  $d$ -spheres with  $n \leq 3\lceil \frac{d}{2} \rceil + 3$  vertices for  $d \geq 19$ .*

**Question 10** *Do there exist non-*PL*  $d$ -spheres for  $d \geq 5$  with less than  $d+13$  vertices?*

We next show that for  $d \geq 5$  there exists to any triangulation of a  $d$ -manifold  $M$  a non-*PL* triangulation of  $M$  with few additional vertices.

**Theorem 11** *Let  $M$  be a topological  $d$ -manifold,  $d \geq 5$ , that can be triangulated with  $n$  vertices. Then there are non-*PL* triangulations of  $M$  with  $n + 12$  vertices.*

**Proof:** Let  $M$  be a simplicial  $d$ -manifold with  $n$  vertices and  $d \geq 5$ . If the triangulation of  $M$  is non-*PL* nothing has to be done. So assume that  $M$  is combinatorial. Let (by Theorem 7)  $\Sigma^d$  be a simplicial non-*PL* sphere on  $d + 13$  vertices. Then there exists a vertex  $v$  of  $\Sigma^d$  for which the corresponding link is not a combinatorial sphere. Choose a facet of  $\Sigma^d$  that is not contained in the star of  $v$  and delete this facet from  $\Sigma^d$ . Also delete some facet from  $M$  and glue the remaining complexes together along the boundaries of the deleted simplices. The resulting manifold is the connected sum  $\Sigma^d \# M$ . Topologically,  $\Sigma^d \# M$  is homeomorphic to  $M$ , but on the *PL* level it provides a non-*PL* triangulation of  $M$  since  $\text{link}_{\Sigma^d}(v) = \text{link}_M(v)$ . Let us count the vertices of  $\Sigma^d \# M$ . The complexes  $M$  and  $\Sigma^d$  contributed  $n$  and  $d + 13$  vertices respectively. By the identification of the boundaries of the two  $d$ -simplices, we lost  $d + 1$  vertices. Thus,  $\Sigma^d \# M$  has  $n + (d + 13) - (d + 1) = n + 12$  vertices.  $\square$

Finally, we prove the result on connected components of the bistellar flip graph referred to in Section 2.

**Theorem 12** *Let  $M$  be a triangulable manifold of dimension  $d \geq 5$ . Then there are infinitely many connected components of the bistellar flip graph of  $M$ .*

**Proof:** Let  $H$  be any homology 3-sphere with non-trivial fundamental group  $\pi_1(H)$ , e.g. let  $H$  be the Poincaré homology 3-sphere. We construct in three steps infinitely many triangulations of  $M$  that cannot pairwise be reached from one another by bistellar flips.

First, we form  $k$ -fold connected sums of  $H$ . These connected sums are again homology spheres, nevertheless they are pairwise non-homeomorphic for different values

of  $k$ . This is due to the fact that the fundamental group of a connected sum  $M\#N$  of two manifolds  $M$  and  $N$ , with (non-trivial) fundamental groups  $\pi_1(M)$  and  $\pi_1(N)$  respectively, is the free product  $\pi_1(M) * \pi_1(N)$ . Thus the connected sums  $H^{\#k}$  and  $H^{\#l}$  have distinct fundamental groups if  $k \neq l$ .

In the second step, we take the join of the boundary complex of a  $(d-3)$ -simplex with  $H^{\#k}$  and  $H^{\#l}$ , for  $k \neq l$ . The resulting simplicial complexes,  $S_k^d$  respectively  $S_l^d$ , are non- $PL$  spheres (as in the proof of Theorem 7) that have the homology spheres  $H^{\#k}$  and  $H^{\#l}$  sitting in their respective triangulations as the links of some  $(d-4)$ -faces. From the combinatorics of the join construction it is easy to see that the links of  $(d-4)$ -faces in  $S_k^d$  are all non-homeomorphic to  $H^{\#l}$ , and the links of  $(d-4)$ -faces in  $S_l^d$  are all non-homeomorphic to  $H^{\#k}$ . Now, focus on a copy of  $H^{\#k}$  that sits in  $S_k^d$  as the link of a  $(d-4)$ -face  $F$ . If we apply any bistellar flip to  $S_k^d$ , then this operation may alter but not delete this copy of  $H^{\#k}$ . This is so, because the definition of bistellar flips shows that the face  $F$ , or any subface of  $F$ , cannot be the pivot face of a bistellar move, and the link of  $F$  will itself be altered at most by a bistellar move and thus its homeomorphism type is preserved. The same argument used in reverse shows that the bistellar flip will not produce  $H^{\#l}$  as the link of some  $(d-4)$ -faces in  $S_k^d$ . It thus follows, that  $S_l^d$  cannot be reached from  $S_k^d$  via bistellar flips, and vice versa.

Finally, we will use the infinite number of examples of pairwise non-bistellarly equivalent triangulations of  $d$ -spheres  $S_k^d$  to obtain an infinite number of pairwise non-bistellarly equivalent triangulations of  $M$ . For this, let  $\Phi$  be the set of those spheres  $S_k^d$  such that  $H^{\#k}$  is not homeomorphic to the link of any of the  $(d-4)$ -faces of  $M$ . The set  $\Phi$  is infinite, since there are only finitely many links in  $M$ . Then, just as in the proof of Theorem 11, form connected sums  $S_k^d\#M$  of the spheres  $S_k^d \in \Phi$  with  $M$  in a way that guarantees that  $H^{\#k}$  remains as the link of some  $(d-4)$ -face of  $S_k^d\#M$ . By the same argument as in the second step,  $S_k^d\#M$  and  $S_l^d\#M$  cannot be reached from one another via bistellar flips.  $\square$

## 7 An $A_5$ -invariant triangulation of $\mathbb{RP}^3$ with 29 vertices

The idea of coherent twists on the dodecahedron can be used to create other interesting 3-manifolds besides the spherical dodecahedron space. For instance, Weber and Seifert [50] constructed a *hyperbolic dodecahedron space*, a manifold with homology  $H_* = (\mathbb{Z}, \mathbb{Z}_5^3, 0, \mathbb{Z})$ , by again identifying the boundary of the solid dodecahedron, this time with a coherent twist of  $3\pi/5$  instead of  $\pi/5$  radians.

If we twist by  $5\pi/5$ , we obtain  $\mathbb{RP}^3$ . Figure 7 gives a triangulation of the identified boundary for the latter manifold (where the identified boundary is the non-orientable surface  $\mathbb{RP}^2$ ). As was done previously for the spherical dodecahedron space, we place an icosahedron with additional center point into the interior of the dodecahedron. This yields an  $A_5$ -invariant triangulation of  $\mathbb{RP}^3$  with 29 vertices. Moreover, there is also an  $A_5$ -invariant triangulation of  $\mathbb{RP}^3$  on  $6 + 12 + 1$  vertices, that is defined by placing an icosahedron with center point into the interior of an outer icosahedron with identifications on the boundary by reflection at the origin. For a vertex-minimal triangulation of  $\mathbb{RP}^3$  on 11 vertices see [10], [25] and [49].

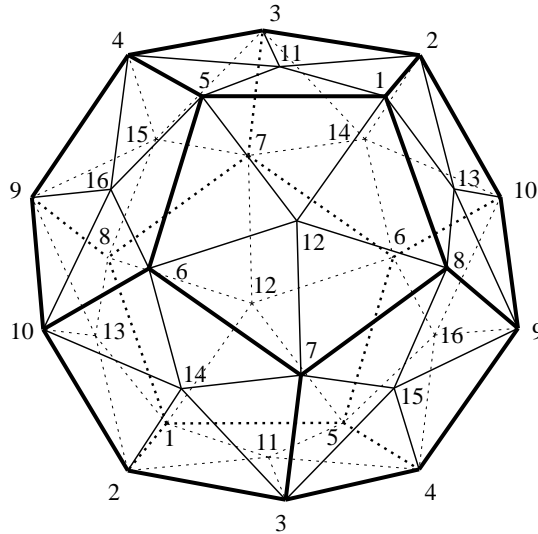


Figure 7: 29-vertex triangulation of  $\mathbb{RP}^3$ .

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