

# ONE POSITIVE AND TWO NEGATIVE RESULTS FOR DERIVED CATEGORIES OF ALGEBRAIC STACKS

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ABSTRACT. Let  $X$  be a quasi-compact and quasi-separated scheme. There are two fundamental and pervasive facts about the unbounded derived category of  $X$ : (1)  $D_{\text{qc}}(X)$  is compactly generated by perfect complexes and (2) if  $X$  is noetherian or has affine diagonal, then the functor  $\Psi_X: D(\text{QCoh}(X)) \rightarrow D_{\text{qc}}(X)$  is an equivalence. Our main results are that for algebraic stacks in positive characteristic, the assertions (1) and (2) are typically false.

## 1. INTRODUCTION

Fix a field  $k$  and an algebraic group  $G$  over  $k$ . Ben-Zvi posed the following question [BZ09]: if  $k$  has positive characteristic, then is the unbounded derived category of representations of  $G$  compactly generated?

The second author recently answered Ben-Zvi's question negatively in the case of  $\mathbb{G}_a$  [Nee14, Rem. 4.2]. We establish a much stronger version of this result: in the unbounded derived category of representations of  $\mathbb{G}_a$  in positive characteristic, there are no compact objects besides 0 (Proposition 3.1).

We say that  $G$  is *poor* if  $k$  has positive characteristic and  $\overline{G} = G \otimes_k \overline{k}$  has a subgroup isomorphic to  $\mathbb{G}_a$ , or, equivalently, if  $\overline{G}_{\text{red}}^0$  is not semi-abelian (Lemma 4.2). Examples of poor groups are  $\mathbb{G}_a$  and  $\text{GL}_n$ . The results of this article imply that in positive characteristic, the derived category of representations of  $G$  is not compactly generated if  $G$  is poor. Conversely, when  $G$  is not poor, the first and third author show that its derived category of representations is compactly generated [HR15, Thm. A]. Ben-Zvi's question is thus completely resolved.

A somewhat subtle point that we have suppressed so far is that there are two potential ways to look at the unbounded derived category of representations of  $G$ . First, there is  $D(\text{Rep}(G))$ ; second, there is  $D_{\text{qc}}(BG)$ , the unbounded derived category of lisse-étale  $\mathcal{O}_{BG}$ -modules with quasi-coherent cohomology. There is a natural functor  $D(\text{Rep}(G)) \rightarrow D_{\text{qc}}(BG)$  and if  $G$  is affine, then this functor induces an equivalence on bounded below derived categories.

In the present article, we will show that in positive characteristic if  $G$  is affine and poor, then this functor is not full. We also prove that if  $G$  is poor, then neither  $D(\text{Rep}(G))$  nor  $D_{\text{qc}}(BG)$  is compactly generated.

The results above are actually special cases of some general results for unbounded derived categories of quasi-coherent sheaves on algebraic stacks. We say that an algebraic stack is *poorly stabilized* (see §4) if it has a point with poor stabilizer group. Our first main result is the following.

**Theorem 1.1.** *Let  $X$  be an algebraic stack that is quasi-compact, quasi-separated and poorly stabilized.*

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- (1) *The triangulated category  $D_{\text{qc}}(X)$  is not compactly generated.*
- (2) *Assume in addition that  $X$  has affine diagonal or is noetherian. If  $X$  is of global type, then  $D(\text{QCoh}(X))$  is not compactly generated.*

An algebraic stack  $X$  is of *global type* if there exists a quasi-compact, étale, representable, and surjective morphism  $[U/\text{GL}_n] \rightarrow X$ , where  $U$  is a quasi-affine scheme. More colloquially,  $X$  is of global type if it has affine stabilizers and étale-locally has the resolution property [Tot04, Gro13]. By Sumihiro’s Theorem and its recent generalization due to Brion [Sum74, Bri15], many quotient stacks are of global type [HR14b, Prop. 9.1], as are stacks admitting good moduli spaces and, more generally, those with linearly reductive stabilizers at closed points [AHR15].

We wish to point out that Theorem 1.1 is counter to the prevailing wisdom. Indeed, let  $X$  be a quasi-compact and quasi-separated algebraic stack. If  $X$  is a scheme, then it is well-known that  $D_{\text{qc}}(X)$  is compactly generated by perfect complexes [BB03, Thm. 3.1.1(b)]. More generally, recent work of Krishna [Kri09, Lem. 4.8], Ben-Zvi–Francis–Nadler [BZFN10, §3.3], Toën [Toë12, Cor. 5.2], and the first and third authors [HR14b], has shown that the unbounded derived category  $D_{\text{qc}}(X)$  is compactly generated by perfect complexes if  $X$  is a Deligne–Mumford stack or is of equicharacteristic zero and of s-global type.

Also recall that if  $X$  is a scheme that is either quasi-compact with affine diagonal or noetherian, then the functor  $\Psi_X: D(\text{QCoh}(X)) \rightarrow D_{\text{qc}}(X)$  is an equivalence of triangulated categories—see [BN93, Cor. 5.5] for the separated case (the argument adapts trivially to the case of affine diagonal) and [Stacks, Tags 08H1 & 09TN] in the setting of algebraic spaces. Our second main result is a partial extension of this to algebraic stacks.

**Theorem 1.2.** *Let  $X$  be an algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed. If  $D_{\text{qc}}(X)$  is compactly generated, then the functor  $\Psi_X: D(\text{QCoh}(X)) \rightarrow D_{\text{qc}}(X)$  is an equivalence of categories.*

An algebraic stack  $X$  is *affine-pointed* if every morphism  $\text{Spec } k \rightarrow X$ , where  $k$  is a field, is affine. If  $X$  has quasi-affine or quasi-finite diagonal, then  $X$  is affine-pointed [HR14a, Lem. 4.5].

In particular,  $\Psi_X$  is an equivalence for every Deligne–Mumford stack with affine diagonal, every noetherian Deligne–Mumford stack, and every stack in characteristic zero with affine diagonal that étale-locally has the resolution property [HR14b]. This is a vast extension of work of Lieblich [Lie04, Prop. 2.2.4.6] and Krishna [Kri09, Cor. 3.7]. Lieblich gives a sketch of the proof of the equivalence of  $\Psi_X$  when  $X$  is an Artin stack with affine diagonal, the resolution property, and a good moduli space which is a scheme. Krishna treats the special case when  $X$  is a Deligne–Mumford stack that is separated, of finite type over a field of characteristic 0, has the resolution property and whose coarse moduli space is a scheme.

It is natural to ask whether  $\Psi_X$  is always an equivalence of categories. On the positive side, we prove that the restricted functor  $\Psi_X^+: D^+(\text{QCoh}(X)) \rightarrow D_{\text{qc}}^+(X)$  is an equivalence of triangulated categories when either  $X$  is quasi-compact with affine diagonal or noetherian and affine-pointed (Theorem C.1, also see [Lur04, Thm. 3.8] and [SGA6, Prop. II.3.5]). On the negative side, we have the following result.

**Theorem 1.3.** *Let  $X$  be an algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed. If  $X$  is poorly stabilized, then the functor  $\Psi_X: D(\text{QCoh}(X)) \rightarrow D_{\text{qc}}(X)$  is not full.*

We were unable to determine whether the functor  $\Psi_X$  in Theorem 1.3 is faithful or not. For stacks with non-affine stabilizer groups the situation is even worse: if  $X = BE$ , where  $E$  is an elliptic curve over  $\mathbb{C}$ , then the functor  $\Psi_X^b: D^b(\text{Coh}(X)) \rightarrow D_{\text{Coh}}^b(X)$  is neither essentially surjective nor full.

Note that when  $X$  has affine diagonal or is noetherian and affine-pointed, the first claim in Theorem 1.1 is a trivial consequence of Theorems 1.2 and 1.3.

**Left-completeness.** In the course of proving Theorem 1.3, we will prove that the triangulated category  $D(\mathrm{QCoh}(X))$  is not left-complete whenever  $X$  is poorly stabilized with affine diagonal. This generalizes an example of Neeman [Nee11] and amplifies some observations of Drinfeld–Gaitsgory [DG13, Rem. 1.2.10].

In Appendix B, we will prove that  $D_{\mathrm{qc}}(X)$  is left-complete for all algebraic stacks  $X$ . An analogous assertion in the context of derived algebraic geometry has been addressed by Drinfeld–Gaitsgory [DG13, Lem. 1.2.8]. In the Stacks Project [Stacks, Tag 08IY] a similar result has been proved, albeit in a different context.

As remarked to us by Bhatt [Bha12] and a reviewer, if  $X$  is quasi-compact with affine diagonal or noetherian and affine-pointed, then  $D_{\mathrm{qc}}(X)$  can be identified with the left-completion of  $D(\mathrm{QCoh}(X))$  (in the sense of [Lur16, §1.2.1])—see Remark C.4.

**Well generation.** In Appendix A we show that if  $\mathcal{A}$  is a Grothendieck abelian category and  $\mathcal{M} \subseteq \mathcal{A}$  is a weak Serre subcategory that is closed under coproducts and is Grothendieck abelian, then  $D_{\mathcal{M}}(\mathcal{A})$  is a well generated triangulated category—a result we expect to be of independent interest. We prove this using the Gabriel–Popescu Theorem.

Since the inclusion  $\mathrm{QCoh}(X) \subseteq \mathrm{Mod}(X)$  has these properties, this establishes that the triangulated category  $D_{\mathrm{qc}}(X)$  is well generated. This result is applied extensively in the article. It is used in the construction of adjoint functors (e.g., the derived quasi-coherator) and infinite products.

As remarked by a reviewer, the well generation of  $D_{\mathcal{M}}(\mathcal{A})$  also follows from some general results in the theory of presentable  $\infty$ -categories (Remark A.4). We also wish to point out that while [KS06, Prop. 14.2.4] is quite general, it does not apply in our situation. Indeed, they require that the embedding  $\mathcal{M} \subseteq \mathcal{A}$  is closed under  $\mathcal{A}$ -subquotients (i.e.,  $\mathcal{M}$  is a Serre subcategory of  $\mathcal{A}$ ), which is not the case for  $\mathrm{QCoh}(X) \subseteq \mathrm{Mod}(X)$ .

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## 2. PRELIMINARIES

Let  $\phi: X \rightarrow Y$  be a quasi-compact and quasi-separated morphism of algebraic stacks. Then the restriction of the functor  $(\phi_{\mathrm{lis-ét}})_*: \mathrm{Mod}(X) \rightarrow \mathrm{Mod}(Y)$  to  $\mathrm{QCoh}(X)$  factors through  $\mathrm{QCoh}(Y)$  [Ols07, Lem. 6.5(i)], giving rise to a functor  $(\phi_{\mathrm{QCoh}})_*: \mathrm{QCoh}(X) \rightarrow \mathrm{QCoh}(Y)$ . Since the categories  $\mathrm{Mod}(X)$  and  $\mathrm{QCoh}(X)$  are Grothendieck abelian [Stacks, Tag 0781], the unbounded derived functors of  $(\phi_{\mathrm{lis-ét}})_*$  and  $(\phi_{\mathrm{QCoh}})_*$  exist [Stacks, Tags 079P & 070K], and we denote these as  $R(\phi_{\mathrm{lis-ét}})_*$  and  $R(\phi_{\mathrm{QCoh}})_*$ , respectively. By [Ols07, Lem. 6.20], the restriction of  $R(\phi_{\mathrm{lis-ét}})_*$  to  $D_{\mathrm{qc}}^+(X)$  factors uniquely through  $D_{\mathrm{qc}}^+(Y)$ . If, in addition,  $\phi$  is concentrated (e.g., representable), then the restriction of  $R(\phi_{\mathrm{lis-ét}})_*$  to  $D_{\mathrm{qc}}(X)$  factors through  $D_{\mathrm{qc}}(Y)$  (see [Hal14, Lem. 2.1] for the representable case and [HR14b, Thm. 2.6(2)] in general).

For an algebraic stack  $W$  let  $\Psi_W: D(\mathrm{QCoh}(W)) \rightarrow D_{\mathrm{qc}}(W)$  denote the natural functor. The universal properties of right-derived functors provide a diagram:

$$\begin{array}{ccc} D(\mathrm{QCoh}(X)) & \xrightarrow{R(\phi_{\mathrm{QCoh}})_*} & D(\mathrm{QCoh}(Y)) \\ \Psi_X \downarrow & & \downarrow \Psi_Y \\ D_{\mathrm{qc}}(X) & \xrightarrow{R(\phi_{\mathrm{lis-ét}})_*} & D_{\mathrm{qc}}(Y), \end{array}$$

together with a natural transformation of functors:

$$(2.1) \quad \epsilon_\phi: \Psi_Y \circ R(\phi_{\mathrm{QCoh}})_* \Rightarrow R(\phi_{\mathrm{lis-ét}})_* \circ \Psi_X.$$

The following result, for schemes, is well-known [TT90, B.8]; for algebraic spaces, see [Stacks, Tags 09TH & 08GX].

**Proposition 2.1.** *Let  $\phi: X \rightarrow Y$  be a morphism of algebraic stacks. Suppose that both  $X$  and  $Y$  are quasi-compact with affine diagonal or noetherian and affine-pointed. If  $M \in D^+(\mathrm{QCoh}(X))$ , then the morphism induced by (2.1):*

$$\epsilon_\phi(M): \Psi_Y \circ R(\phi_{\mathrm{QCoh}})_*(M) \rightarrow R(\phi_{\mathrm{lis-ét}})_* \circ \Psi_X(M)$$

is an isomorphism. In particular, since  $\Psi_Y^+: D^+(\mathrm{QCoh}(Y)) \rightarrow D_{\mathrm{qc}}^+(Y)$  is an equivalence (Theorem C.1), it follows that there is a natural isomorphism for each  $M \in D^+(\mathrm{QCoh}(X))$ :

$$R(\phi_{\mathrm{QCoh}})_*(M) \rightarrow (\Psi_Y^+)^{-1} \circ R(\phi_{\mathrm{lis-ét}})_* \circ \Psi_X^+(M).$$

*Proof.* The functors  $(\phi_{\mathrm{QCoh}})_*$  and  $(\phi_{\mathrm{lis-ét}})_*$  are left-exact, thus the functors  $R(\phi_{\mathrm{QCoh}})_*$  and  $R(\phi_{\mathrm{lis-ét}})_*$  are bounded below. Since  $M$  is assumed to belong to the bounded below derived category, we may employ standard “way-out” arguments to reduce proving the isomorphism above in the case when  $M \simeq N[0]$ , where  $N \in \mathrm{QCoh}(X)$ . The isomorphism, in this case, reduces to proving that if  $N \in \mathrm{QCoh}(X)$ , then the natural morphism  $R^i(\phi_{\mathrm{QCoh}})_* N \rightarrow R^i(\phi_{\mathrm{lis-ét}})_* N$  is an isomorphism for all integers  $i \geq 0$ , where  $R^i(\phi_{\mathrm{QCoh}})_*$  (resp.  $R^i(\phi_{\mathrm{lis-ét}})_*$ ) denotes the  $i$ th right-derived functor of  $(\phi_{\mathrm{QCoh}})_*$  (resp.  $(\phi_{\mathrm{lis-ét}})_*$ ). A standard  $\delta$ -functor argument shows that it is sufficient to prove that  $R^i(\phi_{\mathrm{lis-ét}})_* I = 0$  for every  $i > 0$  and injective  $I$  of  $\mathrm{QCoh}(X)$ . But  $X$  and  $Y$  are assumed to be either quasi-compact with affine diagonal or noetherian and affine-pointed, so this vanishing claim follows from Lemma C.3(2).  $\square$

We briefly recall the following definitions from [HR14b, §2]. Let  $\phi: X \rightarrow Y$  be a quasi-compact and quasi-separated morphism of algebraic stacks. Then  $\phi$  has *finite cohomological dimension* if there exists an integer  $n > 0$  such that  $R^m(\phi_{\mathrm{lis-ét}})_* M = 0$  for all  $m \geq n$  and  $M \in \mathrm{QCoh}(X)$ . If for every morphism of algebraic stacks  $Z \rightarrow Y$ , where  $Z$  is quasi-compact and quasi-separated, the morphism  $X \times_Y Z \rightarrow Z$  is finite cohomological dimension, then we say that  $\phi$  is *concentrated*.

If  $Y$  is quasi-compact with quasi-affine diagonal, then finite cohomological dimension is equivalent to concentrated [HR14b, Lem. 2.5(5)]. Also, if  $\phi$  is representable, then it is concentrated [HR14b, Lem. 2.5(3)].

Concentrated morphisms are the natural ones to consider for unbounded derived categories of quasi-coherent sheaves. Indeed, if  $\phi$  is concentrated, then  $R(\phi_{\mathrm{lis-ét}})_*$  sends  $D_{\mathrm{qc}}(X)$  to  $D_{\mathrm{qc}}(Y)$ , is compatible with flat base change and preserves small coproducts [HR14b, Thm 2.6]. In the next corollary, we see that this is also often the case for  $R(\phi_{\mathrm{QCoh}})_*$ .

**Corollary 2.2.** *Let  $\phi: X \rightarrow Y$  be a concentrated morphism of algebraic stacks. If  $X$  and  $Y$  are quasi-compact with affine diagonal or noetherian and affine-pointed,*

then there exists an integer  $r \geq 0$  such that for all  $M \in \mathbf{D}(\mathbf{QCoh}(X))$  and integers  $n$  the natural map:

$$\tau^{\geq n} \mathbf{R}(\phi_{\mathbf{QCoh}})_* M \rightarrow \tau^{\geq n} \mathbf{R}(\phi_{\mathbf{QCoh}})_* \tau^{\geq n-r} M$$

is a quasi-isomorphism. It follows that

- (1)  $\mathbf{R}(\phi_{\mathbf{QCoh}})_*$  preserves small coproducts;
- (2) for all  $M \in \mathbf{D}(\mathbf{QCoh}(X))$  the natural morphism induced by (2.1):

$$\epsilon_\phi(M): \Psi_Y \circ \mathbf{R}(\phi_{\mathbf{QCoh}})_* M \rightarrow \mathbf{R}(\phi_{\text{lis-ét}})_* \circ \Psi_X(M)$$

is an isomorphism;

- (3) the formation of  $\mathbf{R}(\phi_{\mathbf{QCoh}})_*$  is compatible with flat base change on  $Y$ ; and
- (4) if a left adjoint  $\mathbf{L}\phi_{\mathbf{QCoh}}^*$  to  $\mathbf{R}(\phi_{\mathbf{QCoh}})_*$  exists (see Lemma 4.3, e.g.,  $\phi$  is flat), then  $\mathbf{L}\phi_{\mathbf{QCoh}}^*$  sends compact objects to compact objects.

*Proof.* The claims (1)–(3) are all simple consequences of the main claim, Proposition 2.1 and [HR14b, Thm 2.6]. Since  $\phi$  is a concentrated morphism and  $Y$  is quasi-compact and quasi-separated, there exists an integer  $r \geq 0$  such that if  $N \in \mathbf{QCoh}(X)$ , then  $\mathbf{R}^i(\phi_{\text{lis-ét}})_* N = 0$  for all  $i > r$ . By Proposition 2.1 it follows that  $\mathbf{R}^i(\phi_{\mathbf{QCoh}})_* N = 0$  for all  $i > r$  too. The claim now follows from [Stacks, Tag 07K7]. Finally, (4) follows from (1) and [HR14b, Ex. 3.8].  $\square$

**Corollary 2.3.** *Let  $X$  be an algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed. If  $C$  is a compact object of either  $\mathbf{D}(\mathbf{QCoh}(X))$  or  $\mathbf{D}_{\text{qc}}(X)$ , then  $C$  is perfect. Moreover if  $X$  is noetherian, then  $C$  is quasi-isomorphic to a bounded complex of coherent sheaves on  $X$ .*

*Proof.* Let  $C$  be a compact object of  $\mathbf{D}_{\text{qc}}(X)$ . By [HR14b, Lem. 4.4(1)],  $C$  is a perfect complex and in particular belongs to  $\mathbf{D}_{\text{qc}}^b(X) \subseteq \mathbf{D}_{\text{qc}}^+(X)$ . By Theorem C.1, it follows that  $C \simeq \Psi_X(\tilde{C})$  for some  $\tilde{C} \in \mathbf{D}(\mathbf{QCoh}(X))$ . If  $X$  is noetherian,  $\tilde{C}$  even belongs to  $\mathbf{D}_{\text{Coh}(X)}^b(\mathbf{QCoh}(X))$ . Combining [LMB, Prop. 15.4] with [SGA6, II.2.2], we deduce that  $C$  belongs to the image of  $\mathbf{D}(\mathbf{Coh}(X)) \rightarrow \mathbf{D}_{\text{qc}}(X)$ .

Now let  $C$  be a compact object of  $\mathbf{D}(\mathbf{QCoh}(X))$ . Let  $p: U \rightarrow X$  be a smooth surjection from an affine scheme  $U$ . By Corollary 2.2(4),  $\mathbf{L}p_{\mathbf{QCoh}}^* C \in \mathbf{D}(\mathbf{QCoh}(U))$  is compact. Since  $U = \text{Spec } A$  is affine, it follows that  $\mathbf{QCoh}(U) \cong \text{Mod}(A)$  and so  $\mathbf{L}p_{\mathbf{QCoh}}^* C$  is a perfect complex [Stacks, Tag 07LT]. If  $X$  is noetherian, then  $C \in \mathbf{D}_{\text{Coh}(X)}^b(\mathbf{QCoh}(X))$ . Arguing as before, we deduce that  $C$  belongs to the image of  $\mathbf{D}(\mathbf{Coh}(X)) \rightarrow \mathbf{D}(\mathbf{QCoh}(X))$ .  $\square$

In the following Lemma we will give a sufficient condition for compactness of a perfect object in  $\mathbf{D}(\mathbf{QCoh}(X))$ . We do not know if this condition is necessary. The analogous condition in  $\mathbf{D}_{\text{qc}}(X)$  is necessary [HR14b, Lem. 4.5].

**Lemma 2.4.** *Let  $X$  be an algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed. Let  $P \in \mathbf{D}(\mathbf{QCoh}(X))$  be a perfect complex. Consider the following conditions*

- (1)  $P$  is a compact object of  $\mathbf{D}(\mathbf{QCoh}(X))$ .
- (2) There exists an integer  $r \geq 0$  such that  $\text{Hom}_{\mathcal{O}_X}(P, N[i]) = 0$  for all  $N \in \mathbf{QCoh}(X)$  and  $i > r$ .
- (3) There exists an integer  $r \geq 0$  such that the natural map

$$\tau^{\geq j} \mathbf{R}\text{Hom}_{\mathbf{D}(\mathbf{QCoh}(X))}(P, M) \rightarrow \tau^{\geq j} \mathbf{R}\text{Hom}_{\mathbf{D}(\mathbf{QCoh}(X))}(P, \tau^{\geq j-r} M)$$

is a quasi-isomorphism for all  $M \in \mathbf{D}(\mathbf{QCoh}(X))$  and integers  $j$ .

Then (2) and (3) are equivalent and imply (1).

*Proof.* Condition (2) is a special case of (3): let  $M = N[i]$  and  $j = 0$ .

Conversely, assume that condition (2) holds and let  $M \in \mathbf{D}(\mathbf{QCoh}(X))$ . Since the category  $\mathbf{QCoh}(X)$  is Grothendieck abelian, there is a quasi-isomorphism  $M \rightarrow I^\bullet$  in  $\mathbf{D}(\mathbf{QCoh}(X))$ , where  $I^\bullet$  is K-injective and  $I^j$  is injective for every integer  $j$  [Ser03].

Let  $p \geq r + 1$  be an integer with the property that  $P \in \mathbf{D}^{\geq -p+1}(\mathbf{QCoh}(X))$ . Then the natural morphism of chain complexes:

$$(2.2) \quad \tau^{\geq j} \mathrm{Hom}_{\mathbf{K}(\mathbf{QCoh}(X))}^\bullet(P, I^\bullet) \rightarrow \tau^{\geq j} \mathrm{Hom}_{\mathbf{K}(\mathbf{QCoh}(X))}^\bullet(P, \sigma^{\geq j-p} I^\bullet),$$

where  $\sigma$  is the brutal truncation, is a quasi-isomorphism. For every integer  $j$  there is also a morphism  $s_j: \sigma^{\geq j} I^\bullet \rightarrow \tau^{\geq j} I^\bullet$ . If  $C_j^\bullet$  is the mapping cone of  $s_j$ , then  $C_j^\bullet \simeq d(I^{j-1})[-(j-1)]$ . Thus, by condition (2), it follows that for every integer  $j$

$$\tau^{\geq j+r} \mathrm{RHom}_{\mathbf{D}(\mathbf{QCoh}(X))}(P, C_j^\bullet) \simeq 0.$$

Since there is also a distinguished triangle in  $\mathbf{D}(\mathbf{QCoh}(X))$  for every integer  $j$ :

$$\mathrm{RHom}(P, \sigma^{\geq j-p} I^\bullet) \longrightarrow \mathrm{RHom}(P, \tau^{\geq j-p} I^\bullet) \longrightarrow \mathrm{RHom}(P, C_{j-p}^\bullet),$$

it follows that for every integer  $j$  there is a quasi-isomorphism:

$$(2.3) \quad \tau^{\geq j} \mathrm{RHom}(P, \sigma^{\geq j-p} I^\bullet) \simeq \tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-p} M).$$

For every integer  $j$ , we also have a distinguished triangle

$$H^{j-r-1}(M)[-(j-r-1)] \longrightarrow \tau^{\geq j-r-1} M \longrightarrow \tau^{\geq j-r} M.$$

As before, it follows that  $\tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-r-1} M) \simeq \tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-r} M)$  and thus by induction a quasi-isomorphism:

$$(2.4) \quad \tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-p} M) \simeq \tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-r} M).$$

Combining the quasi-isomorphisms (2.2)–(2.4) gives (3).

For (3) implies (1): this follows from Theorem C.1 and [HR14b, Lem. 1.2(3)].  $\square$

We now relate compact generation in  $\mathbf{D}(\mathbf{QCoh}(X))$  with compact generation in  $\mathbf{D}_{\mathrm{qc}}(X)$ .

**Lemma 2.5.** *Let  $X$  be an algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed.*

- (1) *If  $P \in \mathbf{D}(\mathbf{QCoh}(X))$  is a perfect complex such that  $\Psi(P)$  is compact in  $\mathbf{D}_{\mathrm{qc}}(X)$ , then  $P$  is compact in  $\mathbf{D}(\mathbf{QCoh}(X))$ .*
- (2) *If  $X$  has finite cohomological dimension, then every perfect complex is compact in both  $\mathbf{D}(\mathbf{QCoh}(X))$  and  $\mathbf{D}_{\mathrm{qc}}(X)$ .*
- (3) *If a set of objects  $\{P_i\}$  of  $\mathbf{D}(\mathbf{QCoh}(X))$  has the property that  $\{\Psi(P_i)\}$  compactly generates  $\mathbf{D}_{\mathrm{qc}}(X)$ , then  $\{P_i\}$  compactly generates  $\mathbf{D}(\mathbf{QCoh}(X))$ .*

*Proof.* For (1), by [HR14b, Lem. 4.5], since  $\Psi(P)$  is compact, there exists an integer  $r$  such that if  $i > r$  and  $N \in \mathbf{QCoh}(X)$ , then  $\mathrm{Hom}_{\mathcal{O}_X}(\Psi(P), N[i]) = 0$ . The functor  $\Psi^+$  is an equivalence (Theorem C.1), so  $\mathrm{Hom}_{\mathcal{O}_X}(P, N[i]) = 0$  for all  $i > r$  and  $N \in \mathbf{QCoh}(X)$ . It follows that  $P$  is compact by Lemma 2.4.

Statement (2) is a direct consequence of (1) and [HR14b, Lem. 4.4(3)].

For (3), let  $M \in \mathbf{D}(\mathbf{QCoh}(X))$ . If  $P$  is perfect and  $\Psi(P)$  is compact, then  $\mathrm{RHom}(P, M) = \mathrm{RHom}(\Psi(P), \Psi(M))$ . Indeed, there exists an integer  $r$  such that for all integers  $j$

$$\begin{aligned} \tau^{\geq j} \mathrm{RHom}(P, M) &\simeq \tau^{\geq j} \mathrm{RHom}(P, \tau^{\geq j-r} M) \\ &\simeq \tau^{\geq j} \mathrm{RHom}(\Psi(P), \tau^{\geq j-r} \Psi(M)) \simeq \tau^{\geq j} \mathrm{RHom}(\Psi(P), \Psi(M)), \end{aligned}$$

by Lemma 2.4 and [HR14b, Lem. 4.5] since  $\Psi^+$  is an equivalence of triangulated categories (Theorem C.1) and  $\Psi$  is t-exact. Thus, if  $\mathrm{Hom}_{\mathbf{D}(\mathbf{QCoh}(X))}(P_i[l], M) = 0$

for all  $i$  and integers  $l$ , then  $\mathrm{Hom}_{\mathcal{O}_X}(\Psi(P_i)[l], \Psi(M)) = 0$  for all  $i$  and  $l$ . It follows that  $\Psi(M) = 0$  and, since  $\Psi$  is conservative, that  $M = 0$ .  $\square$

The following lemma, while technical, gives an explicit description of an adjunction that is useful in the article.

**Lemma 2.6.** *Let  $X$  be an algebraic stack and let  $M \in \mathrm{D}(\mathrm{QCoh}(X))$ .*

- (1) *The functor  $\Psi_X: \mathrm{D}(\mathrm{QCoh}(X)) \rightarrow \mathrm{D}_{\mathrm{qc}}(X)$  admits a right adjoint  $\Phi_X: \mathrm{D}_{\mathrm{qc}}(X) \rightarrow \mathrm{D}(\mathrm{QCoh}(X))$ .*
- (2) *If  $X$  is a quasi-compact with affine diagonal or noetherian and affine-pointed, then there exists a compatible quasi-isomorphism:*

$$\Phi_X \Psi_X(M) \simeq \mathrm{holim}_n \tau^{\geq -n} M.$$

*Proof.* We suppress the subscript  $X$  from  $\Psi$  and  $\Phi$  throughout. Since  $\Psi$  preserves small coproducts and  $\mathrm{D}(\mathrm{QCoh}(X))$  is well generated [Nee01a, Thm. 0.2],  $\Psi$  admits a right adjoint  $\Phi: \mathrm{D}_{\mathrm{qc}}(X) \rightarrow \mathrm{D}(\mathrm{QCoh}(X))$  [Nee01b, Prop. 1.20]. This proves (1).

To prove (2), by left-completeness of  $\mathrm{D}_{\mathrm{qc}}(X)$  (Theorem B.1),

$$\Phi \Psi(M) \rightarrow \Phi(\mathrm{holim}_n \tau^{\geq -n} \Psi(M))$$

is a quasi-isomorphism. Since  $\Phi$  is a right adjoint, it preserves homotopy limits. Also,  $\Psi$  is t-exact. Hence, there is a quasi-isomorphism

$$\Phi(\mathrm{holim}_n \tau^{\geq -n} \Psi(M)) \simeq \mathrm{holim}_n \Phi \Psi(\tau^{\geq -n} M).$$

By Theorem C.1, however,  $\tau^{\geq -n} M \simeq \Phi \Psi(\tau^{\geq -n} M)$ . This proves the claim.  $\square$

*Remark 2.7.* From Lemma 2.6(2) it is immediate that when  $X$  is quasi-compact with affine diagonal or is noetherian and affine-pointed, the left-completeness of  $\mathrm{D}(\mathrm{QCoh}(X))$  is equivalent to  $\Psi_X$  being fully faithful.

We now prove Theorem 1.2 using an argument similar to [BIK11, Lem. 4.5].

*Proof of Theorem 1.2.* By Lemma 2.5(3), both  $\mathrm{D}(\mathrm{QCoh}(X))$  and  $\mathrm{D}_{\mathrm{qc}}(X)$  are compactly generated and  $\Psi$  takes a set of compact generators to a set of compact generators. In particular, the right adjoint  $\Phi: \mathrm{D}_{\mathrm{qc}}(X) \rightarrow \mathrm{D}(\mathrm{QCoh}(X))$  of  $\Psi$  preserves small coproducts [Nee96, Thm. 5.1].

Consider the unit  $\eta_M: M \rightarrow \Phi \Psi(M)$  and the counit  $\epsilon_M: \Psi \Phi(M) \rightarrow M$  of the adjunction. Since  $\Psi^+$  is an equivalence, we have that  $\eta_P$  and  $\epsilon_P$  are isomorphisms for every compact object  $P$ . Since  $\Psi$  and  $\Phi$  preserve small coproducts and  $\mathrm{D}_{\mathrm{qc}}(X)$  and  $\mathrm{D}(\mathrm{QCoh}(X))$  are compactly generated, it follows that  $\eta$  and  $\epsilon$  are equivalences. We conclude that  $\Psi$  is an equivalence.  $\square$

### 3. THE CASE OF $B_k \mathbb{G}_a$ IN POSITIVE CHARACTERISTIC

Throughout this section we let  $k$  denote a field of characteristic  $p > 0$ . Let  $B_k \mathbb{G}_a$  be the algebraic stack classifying  $\mathbb{G}_a$ -torsors over  $k$ . We remind ourselves that the category of quasi-coherent sheaves on  $B_k \mathbb{G}_a$  is the category of  $\mathbb{G}_a$ -modules, which is equivalent to the category of locally small modules over a certain ring  $R$ . In fact  $R$  is the ring

$$R = \frac{k[x_1, x_2, x_3, \dots]}{(x_1^p, x_2^p, x_3^p, \dots)}$$

and a module is locally small if every element is annihilated by all but finitely many  $x_i$ . Let us write  $\mathrm{D}(R^{\mathrm{ls}})$  for the derived category of the category of locally small  $R$ -modules, and observe that  $\mathrm{D}(R^{\mathrm{ls}}) \cong \mathrm{D}(\mathrm{QCoh}(B_k \mathbb{G}_a))$ .

**Proposition 3.1.** *The only compact objects, in either  $\mathrm{D}(\mathrm{QCoh}(B_k \mathbb{G}_a))$  or  $\mathrm{D}_{\mathrm{qc}}(B_k \mathbb{G}_a)$ , are the zero objects.*

*Proof.* The algebraic stack  $B_k\mathbb{G}_a$  is noetherian with affine diagonal and so, by Corollary 2.3, every compact object is the image of a bounded complex of coherent sheaves. Let  $C$  be a compact object; we need to show that  $C$  vanishes.

Our compact object  $C$  is the image of a finite complex of finitely generated modules in  $\mathrm{D}(R^{\mathrm{ls}})$ . In particular, there exists an integer  $n > 1$  such that  $x_i$  annihilates  $C$  for all  $i \geq n$ . Let us put this slightly differently: consider the ring homomorphisms  $S \xrightarrow{\alpha} T \xrightarrow{\beta} R \xrightarrow{\gamma} T$  where

$$S = k[x_n]/(x_n^p), \quad T = \frac{k[x_1, x_2, \dots, x_{n-1}, x_n]}{(x_1^p, x_2^p, \dots, x_{n-1}^p, x_n^p)}$$

where the maps  $S \xrightarrow{\alpha} T \xrightarrow{\beta} R$  are the natural inclusions, and where  $\gamma: R \rightarrow T$  is defined by

$$\gamma(x_i) = \begin{cases} x_i & \text{if } i \leq n \\ 0 & \text{if } i > n. \end{cases}$$

Note that  $\gamma\beta = \mathrm{id}$ . Restriction of scalars gives induced maps of derived categories, which we write as  $\mathrm{D}(T) \xrightarrow{\gamma_*} \mathrm{D}(R^{\mathrm{ls}}) \xrightarrow{\beta_*} \mathrm{D}(T) \xrightarrow{\alpha_*} \mathrm{D}(S)$ , and  $\beta_*\gamma_* = \mathrm{id}$ . Our complex  $C$ , which is a bounded complex annihilated by  $x_i$  for all  $i \geq n$ , is of the form  $\gamma_*B$  where  $B \in \mathrm{D}^b(T)$  is a bounded complex of finite  $T$ -modules. And the fact that  $x_n$  annihilates  $C$  translates to saying that  $\alpha_*B$  is a complex of modules annihilated by  $x_n$ , that is a complex of  $k$ -vector spaces. We wish to show that  $C = 0$  or, equivalently, that  $\alpha_*B$  is acyclic. We will show that if  $C$  is non-zero, then this gives rise to a contradiction.

Thus, assume that the cohomology of  $\alpha_*B$  is non-trivial: in  $\mathrm{D}(S)$  the complex  $\alpha_*B$  is isomorphic to a non-zero sum of suspensions  $k[\ell]$  of  $k$ . Then there are infinitely many integers  $m$  and non-zero maps in  $\mathrm{D}(S)$  of the form  $\alpha_*B \rightarrow k[m]$ . Indeed,  $\mathrm{Ext}_S^m(k, k) \neq 0$  for all  $m \geq 0$ . But  $\alpha_*$  has a right adjoint  $\alpha^\times = \mathrm{RHom}_S(T, -)$ , and we deduce infinitely many non-zero maps in  $\mathrm{D}(T)$  of the form  $B \rightarrow \alpha^\times k[m] = \mathrm{Hom}_S(T, k)[m]$ . Since  $\mathrm{D}(T)$  is left-complete, these combine to a map in  $\mathrm{D}(T)$

$$\Psi: B \rightarrow \prod_m \mathrm{Hom}_S(T, k)[m] \cong \prod_m \mathrm{Hom}_S(T, k)[m]$$

for which the composites

$$B \xrightarrow{\Psi} \prod_m \mathrm{Hom}_S(T, k)[m] \xrightarrow{\pi_m} \mathrm{Hom}_S(T, k)[m]$$

are non-zero. Applying  $\gamma_*$ , which preserves coproducts, we deduce maps

$$\gamma_*B \xrightarrow{\gamma_*\Psi} \prod_m \gamma_* \mathrm{Hom}_S(T, k)[m] \xrightarrow{\gamma_*\pi_m} \gamma_* \mathrm{Hom}_S(T, k)[m]$$

whose composites cannot vanish in  $\mathrm{D}(R^{\mathrm{ls}})$ , since  $\beta_*$  takes them to non-zero maps. The equivalence  $\mathrm{D}(R^{\mathrm{ls}}) \cong \mathrm{D}(\mathrm{QCoh}(B_k\mathbb{G}_a))$  gives us that the composites in  $\mathrm{D}(\mathrm{QCoh}(B_k\mathbb{G}_a))$  do not vanish. Furthermore, the composites lie in  $\mathrm{D}^+(\mathrm{QCoh}(B_k\mathbb{G}_a)) \subseteq \mathrm{D}(\mathrm{QCoh}(B_k\mathbb{G}_a))$ , and on  $\mathrm{D}^+(\mathrm{QCoh}(B_k\mathbb{G}_a))$  the map to  $\mathrm{D}_{\mathrm{qc}}(B_k\mathbb{G}_a)$  is fully faithful [Lur04, Thm. 3.8]. Hence the images of the composites are non-zero in  $\mathrm{D}_{\mathrm{qc}}(B_k\mathbb{G}_a)$  as well. But this contradicts the compactness of  $C = \gamma_*B$ .  $\square$

#### 4. THE GENERAL CASE

In this section we extend the results of the previous section and show that the presence of  $\mathbb{G}_a$  in the stabilizer groups of an algebraic stack  $X$  is an obstruction to compact generation in positive characteristic. The existence of finite unipotent subgroups such as  $\mathbb{Z}/p\mathbb{Z}$  and  $\alpha_p$  is an obstruction to the compactness of the structure sheaf  $\mathcal{O}_X$  but does not rule out compact generation [HR15]. The only connected groups in characteristic  $p$  without unipotent subgroups are the groups



of multiplicative type. The following well-known lemma characterizes the groups without  $\mathbb{G}_a$ 's.

**Lemma 4.1.** *Let  $G$  be a group scheme of finite type over an algebraically closed field  $k$ . Then the following are equivalent:*

- (1)  $G_{\text{red}}^0$  is semiabelian, that is, a torus or the extension of an abelian variety by a torus;
- (2) there is no subgroup  $\mathbb{G}_a \hookrightarrow G$ .

*Proof.* By Chevalley's Theorem [Con02, Thm. 1.1] there is an extension  $1 \rightarrow H \rightarrow G_{\text{red}}^0 \rightarrow A \rightarrow 1$  where  $H$  is smooth, affine and connected and  $A$  is an abelian variety. A subgroup  $\mathbb{G}_a \hookrightarrow G$  would have to be contained in  $H$  which implies that  $H$  is not a torus. Conversely, recall that  $H(k)$  is generated by its semi-simple and unipotent elements by the Jordan Decomposition Theorem [Bor91, Thm. 4.4]. If  $H$  is not a torus, then there exist non-trivial unipotent elements in  $H(k)$ . But any non-trivial unipotent element of  $H(k)$  lies in a subgroup  $\mathbb{G}_a \hookrightarrow G$ . The result follows.  $\square$

If  $k$  is of positive characteristic, then we say that  $G$  is poor if  $G_{\text{red}}^0$  is not semiabelian. We say that an algebraic stack  $X$  is *poorly stabilized* if there exists a geometric point  $x$  of  $X$  whose residue field  $\kappa(x)$  is of characteristic  $p > 0$  and stabilizer group scheme  $G_x$  is poor. In particular, the algebraic stacks  $B_k\mathbb{G}_a$  and  $B_k\text{GL}_n$  for  $n > 1$  are poorly stabilized in positive characteristic. The following characterization of poorly stabilized algebraic stacks will be useful.

**Lemma 4.2.** *Let  $X$  be a quasi-separated algebraic stack.*

- (1) *The stack  $X$  is poorly stabilized if and only if there exists a field  $k$  of characteristic  $p > 0$  and a representable morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$ .*
- (2) *If  $X$  has affine stabilizers, then every representable morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$  is quasi-affine.*
- (3) *Let  $X' \rightarrow X$  be a quasi-finite, representable and surjective morphism of algebraic stacks. If  $X$  is poorly stabilized, then so too is  $X'$ .*

*Proof.* We first prove (1). Let  $k$  be an algebraically closed field and let  $x: \text{Spec } k \rightarrow X$  be a geometric point with stabilizer group scheme  $G$ . This induces a representable morphism  $BG \rightarrow X$ . If  $X$  is poorly stabilized, then there exists a point  $x$  such that  $G_{\text{red}}^0$  is not semiabelian. By the previous lemma, there is a subgroup  $\mathbb{G}_a \hookrightarrow G$  and hence a representable morphism  $B\mathbb{G}_a \rightarrow BG$ .

Conversely, given a representable morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$ , there is an induced representable morphism  $\psi: B_k\mathbb{G}_a \rightarrow B_kG$ . The morphism  $\psi$  is induced by some subgroup  $\mathbb{G}_a \hookrightarrow G$  (unique up to conjugation) so  $X$  is poorly stabilized.

We now treat (2). The structure morphism  $\iota_x: \mathcal{G}_x \hookrightarrow X$  of the residual gerbe  $\mathcal{G}_x$  at  $x$  is quasi-affine [Ryd11, Thm. B.2] and  $\phi = \iota_x \circ \rho \circ \psi$  where  $\rho: B_kG \rightarrow \mathcal{G}_x$  is affine. If  $X$  has affine stabilizers, then  $G$  is affine and it follows that the quotient  $G/\mathbb{G}_a$  is quasi-affine since  $\mathbb{G}_a$  is unipotent [Ros61, Thm. 3]. We conclude that the morphism  $\psi: B_k\mathbb{G}_a \rightarrow B_kG$ , as well as  $\phi$ , is quasi-affine.

For (3), using (1) we obtain a morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$ , where  $k$  is a field of characteristic  $p > 0$ . Let  $W = X' \times_X B_k\mathbb{G}_a$ . The resulting projection  $W \rightarrow B_k\mathbb{G}_a$  is quasi-finite, representable and surjective. In particular,  $W \neq \emptyset$ . Let  $w: \text{Spec } l \rightarrow W$  be a point, where  $l$  is an algebraically closed field of characteristic  $p > 0$ ; then the stabilizer  $G_w$  of  $w$  is a subgroup scheme of  $(\mathbb{G}_a)_l$  of finite index. But  $(\mathbb{G}_a)_l$  is connected, so  $G_w = (\mathbb{G}_a)_l$ . It follows from (1) that  $X'$  is also poorly stabilized.  $\square$

We now prove Theorem 1.1.

*Proof of Theorem 1.1.* By Lemma 4.2, there exists a field of characteristic  $p > 0$  and a quasi-compact, quasi-separated and representable morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$ .

If  $D_{\text{qc}}(X)$  is compactly generated, then there is a compact object  $M \in D_{\text{qc}}(X)$  and a non-zero map  $M \rightarrow R(\phi_{\text{lis-ét}})_* \mathcal{O}_{B_k \mathbb{G}_a}$ . Indeed,  $R(\phi_{\text{lis-ét}})_* \mathcal{O}_{B_k \mathbb{G}_a} \in D_{\text{qc}}(X)$  and is non-zero. By adjunction, there is a non-zero map  $L\phi^* M \rightarrow \mathcal{O}_{B_k \mathbb{G}_a}$ . But the functor  $L\phi^*$  sends compact objects of  $D_{\text{qc}}(X)$  to compact objects of  $D_{\text{qc}}(B_k \mathbb{G}_a)$  [HR14b, Ex. 3.8 & Thm. 2.6(3)]. By Proposition 3.1, it follows that  $L\phi^* M \simeq 0$  and we have a contradiction. Hence  $D_{\text{qc}}(X)$  is not compactly generated.

Now assume that  $X$  is of global type, is noetherian or has affine diagonal, and  $D(\text{QCoh}(X))$  is compactly generated. It follows that there is a compact object  $M \in D(\text{QCoh}(X))$  and a non-zero map  $M \rightarrow R(\phi_{\text{QCoh}})_* \mathcal{O}_{B_k \mathbb{G}_a}$ . By assumption, there is an étale covering  $p: X' \rightarrow X$  such that  $X'$  has affine diagonal and the resolution property. By Lemma 4.2(3), we may assume that  $\phi$  factors through a map  $\phi': B_k \mathbb{G}_a \rightarrow X'$ . Since  $X$  is of global type, if it is noetherian, then it is affine-pointed. It follows immediately from Proposition 2.1 that

$$R(\phi_{\text{QCoh}})_* \mathcal{O}_{B_k \mathbb{G}_a} \simeq R(p_{\text{QCoh}})_* R(\phi'_{\text{QCoh}})_* \mathcal{O}_{B_k \mathbb{G}_a}.$$

Since  $p$  is flat, Lemma 4.3 implies that a left adjoint  $Lp_{\text{QCoh}}^*$  to  $R(p_{\text{QCoh}})_*$  exists. Moreover,  $p$  is concentrated, so  $Lp_{\text{QCoh}}^*$  takes compact objects to compact objects (Corollary 2.2(4)). Also,  $X'$  has affine diagonal and the resolution property, so Lemma 4.3 implies that a left adjoint  $L(\phi'_{\text{QCoh}})_*$  to  $R(\phi'_{\text{QCoh}})_*$  exists and we also see that  $L(\phi'_{\text{QCoh}})_*$  takes compact objects to compact objects. Adjunction produces a non-zero morphism  $L(\phi'_{\text{QCoh}})_* Lp_{\text{QCoh}}^* M \rightarrow \mathcal{O}_{B_k \mathbb{G}_a}$  from a compact object of  $D(\text{QCoh}(B_k \mathbb{G}_a))$ . This contradicts Proposition 3.1.  $\square$

To prove Theorem 1.1(2), we required the following Lemma.

**Lemma 4.3.** *Let  $p: X' \rightarrow X$  be a morphism of algebraic stacks. A left adjoint to  $R(p_{\text{QCoh}})_*: D(\text{QCoh}(X')) \rightarrow D(\text{QCoh}(X))$  exists if*

- (1)  $p$  is flat; or
- (2) both  $X'$  and  $X$  are quasi-compact with affine diagonal and either:
  - (a)  $X$  has the resolution property; or
  - (b)  $\text{QCoh}(X)$  has enough flats; or
  - (c)  $\text{K}(\text{QCoh}(X))$ , the homotopy category of unbounded complexes in  $\text{QCoh}(X)$ , has enough  $\text{K}$ -flats.

*Proof.* By deriving the adjunction between  $p^*$  and  $p_*$ , it is sufficient to prove that  $Lp_{\text{QCoh}}^*$  exists, that is,  $p^*$  admits a left derived functor, under one of the four additional conditions. If  $p$  is flat, then  $p^*$  is exact and this is clear. If  $X$  has the resolution property, then  $\text{QCoh}(X)$  obviously has enough flats (i.e., every quasi-coherent sheaf is the quotient of a flat quasi-coherent sheaf). Also, if  $\text{QCoh}(X)$  has enough flats, then  $\text{K}(\text{QCoh}(X))$  has enough  $\text{K}$ -flats [Spa88, Thm. 3.4]. Hence, it is sufficient to prove the existence of  $Lp_{\text{QCoh}}^*$  when  $\text{K}(\text{QCoh}(X))$  has enough  $\text{K}$ -flats. It is sufficient to prove: if  $M \in \text{K}(\text{QCoh}(X))$  is  $\text{K}$ -flat and acyclic, then  $p^* M$  is acyclic. Now the acyclicity of  $p^* M$  is local on  $X'$ , so we may assume that  $X'$  is an affine scheme. Since  $X$  has affine diagonal, it follows that  $p$  is now affine. In particular, it is sufficient to prove that  $p_* p^* M$  is acyclic. But  $(p_* \mathcal{O}_{X'}) \otimes_{\mathcal{O}_X} M \cong p_* p^* M$  and  $M$  is  $\text{K}$ -flat, so  $p_* p^* M$  is acyclic.  $\square$

*Remark 4.4.* The proof of Theorem 1.1(2) can be varied to prove the following assertion: if  $X$  is a poorly stabilized algebraic stack that is quasi-compact with affine diagonal or noetherian and affine-pointed, then there exists a closed substack  $i: Z \hookrightarrow X$  such that  $Z$  is poorly stabilized and  $D(\text{QCoh}(Z))$  is not compactly generated. The only obstruction to taking  $i$  to be the identity morphism in this level of generality is that we do not know when a left adjoint to  $R(i_{\text{QCoh}})_*$  exists.

*Proof of Theorem 1.3.* By Lemma 4.2, there exists a field of characteristic  $p > 0$  and a quasi-affine morphism  $\phi: B_k\mathbb{G}_a \rightarrow X$ . By Corollary 2.2, there exists an integer  $n \geq 1$  such that if  $N \in \mathrm{QCoh}(B_k\mathbb{G}_a)$ , then  $R(\phi_{\mathrm{QCoh}})_*N \in D^{[0, n-1]}(\mathrm{QCoh}(X))$ . By [Nee11, Thm. 1.1], there exists  $M \in \mathrm{QCoh}(B_k\mathbb{G}_a)$  such that the natural map in  $D(\mathrm{QCoh}(B_k\mathbb{G}_a))$ :

$$\bigoplus_{i \geq 0} M[in] \rightarrow \prod_{i \geq 0} M[in]$$

is not a quasi-isomorphism—note that while [Nee11, Thm. 1.1] only proves the above assertion in the case where  $n = 1$ , a simple argument by induction on  $n$  gives the claim above. Lemma 4.5 now implies that the natural map:

$$\bigoplus_{i \geq 0} R(\phi_{\mathrm{QCoh}})_*M[in] \rightarrow \prod_{i \geq 0} R(\phi_{\mathrm{QCoh}})_*M[in]$$

is not a quasi-isomorphism. Since  $R(\phi_{\mathrm{QCoh}})_*M \in D^{[0, n-1]}(\mathrm{QCoh}(X))$ , it follows that  $D(\mathrm{QCoh}(X))$  is not left-complete. By Remark 2.7, we have established that  $\Psi_X$  is not fully faithful. To prove that  $\Psi_X$  is not full, we will have to argue further.

Let  $L = R(\phi_{\mathrm{QCoh}})_*M$ ,  $S = \bigoplus_{i \geq 0} L[in]$ , and  $P = \prod_{i \geq 0} L[in]$ . Also,  $\Phi_X \Psi_X(S) \simeq P$  (Lemma 2.6(2)). If  $\Psi_X$  is full, then there exists a map  $P \rightarrow S$  such that the induced map  $P \rightarrow S \rightarrow \Phi_X \Psi_X(S) \simeq P$  is the identity morphism. That is,  $P$  is a direct summand of  $S$ . Since  $\prod_{i \geq 0} M[in]$  is not bounded above [Nee11, Rem. 1.2] and  $\phi$  is quasi-affine, it follows that  $P$  is not bounded above. But  $S$  is bounded above, so  $P$  cannot be a direct summand of  $S$ ; hence, we have a contradiction and  $\Psi_X$  is not full.  $\square$

In the proof we used the following lemma in the special case where  $X$  and  $Y$  have affine diagonals or are noetherian and affine-pointed. Then it is a direct consequence of [HR14b, Cor. 2.8] and Corollary 2.2.

**Lemma 4.5.** *If  $\phi: X \rightarrow Y$  is a quasi-affine morphism of algebraic stacks, then  $R(\phi_{\mathrm{QCoh}})_*: D(\mathrm{QCoh}(X)) \rightarrow D(\mathrm{QCoh}(Y))$  is conservative.*

*Proof.* Since  $\phi$  is quasi-affine, there is a factorization of  $\phi$  as  $X \xrightarrow{j} \overline{X} \xrightarrow{\overline{\phi}} Y$ , where  $j$  is a quasi-compact open immersion and  $\overline{\phi}$  is affine. Let  $I \in \mathbf{K}(\mathrm{QCoh}(X))$  be a  $\mathbf{K}$ -injective complex. Since  $j_*: \mathrm{QCoh}(X) \rightarrow \mathrm{QCoh}(\overline{X})$  has an exact left adjoint  $j^*$ , it follows immediately that  $j_*I$  is  $\mathbf{K}$ -injective in  $\mathbf{K}(\mathrm{QCoh}(\overline{X}))$ . In particular since  $\overline{\phi}$  is affine, it follows that  $R(\phi_{\mathrm{QCoh}})_* \simeq R(\overline{\phi}_{\mathrm{QCoh}})_* \circ R(j_{\mathrm{QCoh}})_*$ . Hence to prove the conservativity of  $R(\phi_{\mathrm{QCoh}})_*$ , we may assume that either  $\phi$  is a quasi-compact open immersion or affine. The affine case is trivial. And when  $\phi$  is a quasi-compact open immersion, we simply observe that  $\phi^* \phi_* I \simeq I$ .  $\square$

## APPENDIX A. $D_{\mathcal{M}}(\mathcal{A})$ IS WELL GENERATED

We begin with a general lemma.

**Lemma A.1.** *Let  $\mathcal{T}$  be a well generated triangulated category and let  $\mathcal{S} \subseteq \mathcal{T}$  be a localizing subcategory. The category  $\mathcal{S}$  is well generated if and only if there is a set of generators in  $\mathcal{S}$ . That is:  $\mathcal{S}$  is well generated if and only if there is a set of objects  $S \subseteq \mathcal{S}$  such that any nonzero object  $y \in \mathcal{S}$  admits a nonzero map  $s \rightarrow y$ , with  $s \in S$ .*

*Proof.* If  $\mathcal{S}$  is well generated then it has a set of generators  $S$  satisfying a bunch of properties, one of which is that  $S$  detects nonzero objects—see the definitions in [Nee01b, pp. 273-274]. What needs proof is the reverse implication.

Suppose therefore that  $\mathcal{S}$  contains a set of objects  $S$  as in the Lemma, that is every nonzero object  $y \in \mathcal{S}$  admits a nonzero map  $s \rightarrow y$  with  $s \in S$ . By [Nee01b, Prop. 8.4.2] the set  $S$  is contained in  $\mathcal{T}^\alpha$  for some regular cardinal  $\alpha$ . If  $\mathcal{L} = \mathrm{Loc}(S)$

is the localizing subcategory generated by  $S$  then [Nee01b, Thm. 4.4.9] informs us that  $\mathcal{L}$  is well generated. Since  $S \subseteq \mathcal{S}$  and  $\mathcal{S}$  is localizing it follows that  $\mathcal{L} \subseteq \mathcal{S}$ .

We know that  $\mathcal{L}$  is well generated; to finish the proof it suffices to show that the inclusion  $\mathcal{L} \subseteq \mathcal{S}$  is an equality. In any case the inclusion is a coproduct-preserving functor from the well generated category  $\mathcal{L}$  and must have a right adjoint. For every object  $y \in \mathcal{S}$ , [Nee01b, Prop. 9.1.8] tells us that there is a triangle in  $\mathcal{S}$

$$x \longrightarrow y \longrightarrow z \longrightarrow \Sigma x$$

with  $x \in \mathcal{L}$  and  $z \in \mathcal{L}^\perp$ . Since  $z \in \mathcal{L}^\perp \subseteq S^\perp$  we have that the morphisms  $s \rightarrow z$ , with  $s \in S$ , all vanish. By the hypothesis of the Lemma it follows that  $z = 0$ , and hence  $y \cong x$  belongs to  $\mathcal{L}$ .  $\square$

*Remark A.2.* We specialize Lemma A.1 to the situation where  $\mathcal{T} = \mathcal{D}(\mathcal{A})$  is the derived category of a Grothendieck abelian category  $\mathcal{A}$ ; by [Nee01a, Thm. 0.2] we know that  $\mathcal{T}$  is well generated, and Lemma A.1 informs us that a localizing subcategory of  $\mathcal{D}(\mathcal{A})$  is well generated if and only if it has a set of generators.

Let  $\mathcal{A}$  be an abelian category and fix a fully faithful subcategory  $\mathcal{C} \subseteq \mathcal{A}$ . Following [Stacks, Tag 02MO] we say that

- (1)  $\mathcal{C}$  is a *Serre* subcategory if it is non-empty and if  $C_1 \rightarrow A \rightarrow C_2$  is an exact sequence in  $\mathcal{A}$  with  $C_1, C_2 \in \mathcal{C}$ , then  $A \in \mathcal{C}$ ;
- (2)  $\mathcal{C}$  is a *weak Serre* subcategory if it is non-empty and if

$$C_1 \longrightarrow C_2 \longrightarrow A \longrightarrow C_3 \longrightarrow C_4,$$

is an exact sequence in  $\mathcal{A}$ , where the  $C_i \in \mathcal{C}$  and  $A \in \mathcal{A}$ , then  $A \in \mathcal{C}$ .

Clearly, Serre subcategories are weak Serre subcategories. Also, weak Serre subcategories are automatically abelian and the inclusion  $\mathcal{C} \subseteq \mathcal{A}$  is exact [Stacks, Tags 02MP & 0754]. Moreover, the subcategory  $\mathcal{D}_e(\mathcal{A})$  of  $\mathcal{D}(\mathcal{A})$ , consisting of complexes in  $\mathcal{A}$  with cohomology in  $\mathcal{C}$ , is triangulated [Stacks, Tag 06UQ].

The main result of this appendix is

**Theorem A.3.** *Let  $\mathcal{A}$  be a Grothendieck abelian category and let  $\mathcal{M} \subseteq \mathcal{A}$  be a weak Serre subcategory closed under coproducts. If  $\mathcal{M}$  is Grothendieck abelian, then  $\mathcal{D}_{\mathcal{M}}(\mathcal{A})$  is well generated.*

The example we have in mind is where  $X$  is an algebraic stack,  $\mathcal{A}$  is the category of lisse-étale sheaves of  $\mathcal{O}_X$ -modules, and  $\mathcal{M}$  is the subcategory of quasi-coherent sheaves.

*Remark A.4.* Note that in the  $\infty$ -category of  $\infty$ -categories, we have the following homotopy cartesian diagram:

$$\begin{array}{ccc} \mathcal{D}_{\mathcal{M}}(\mathcal{A}) & \longrightarrow & \mathcal{D}(\mathcal{A}) \\ \Pi_n \mathcal{H}^n(-) \downarrow & & \downarrow \Pi_n \mathcal{H}^n(-) \\ \prod_{n \in \mathbb{Z}} \mathcal{N}(\mathcal{M}) & \longrightarrow & \prod_{n \in \mathbb{Z}} \mathcal{N}(\mathcal{A}), \end{array}$$

where  $\mathcal{D}(\mathcal{A})$  denotes the derived  $\infty$ -category of  $\mathcal{A}$  [Lur16, Defn. 1.3.5.8] and  $\mathcal{D}_{\mathcal{M}}(\mathcal{A})$  denotes the  $\infty$ -subcategory with homology in  $\mathcal{M}$ . This is immediate because the replete full subcategory  $\mathcal{M} \subseteq \mathcal{A}$  gives rise to a categorical fibration  $\mathcal{N}(\mathcal{M}) \subseteq \mathcal{N}(\mathcal{A})$  of  $\infty$ -categories. In particular,  $\mathcal{D}_{\mathcal{M}}(\mathcal{A})$  is a presentable and stable  $\infty$ -category (combine [Lur09, Prop. 5.5.3.12] with [Lur16, Prop. 1.3.5.21]). Since the homotopy category of  $\mathcal{D}_{\mathcal{M}}(\mathcal{A})$  is  $\mathcal{D}_{\mathcal{M}}(\mathcal{A})$ , the derived category we are interested in is well-generated [Lur16, Cor. 1.4.4.2].

If  $\lambda$  is a cardinal and  $\mathcal{B}$  is a cocomplete category, then we let  $\mathcal{B}^\lambda$  denote the subcategory of  $\lambda$ -presentable objects. If  $\mathcal{B}$  is locally presentable, then  $\mathcal{B}^\lambda$  is always a set.

It is clear that  $D_{\mathcal{M}}(\mathcal{A})$  is a localizing subcategory of the well generated triangulated category  $D(\mathcal{A})$ ; Remark A.2 tells us that to prove Theorem A.3 it suffices to exhibit a set  $S$  of generators for  $D_{\mathcal{M}}(\mathcal{A})$ . The idea is simple enough: we will find a cardinal  $\lambda$  such that  $S = D_{\mathcal{M}^\lambda}(\mathcal{A}^\lambda) \subseteq D_{\mathcal{M}}(\mathcal{A})$ , which is obviously essentially small, suffices. Thus, the problem becomes to better understand the category of  $\lambda$ -presentable objects in  $\mathcal{A}$ . The results below are easy to extract from [Nee14], but for the reader's convenience we give a self-contained treatment.

*Remark A.5.* Let  $\mathcal{A}$  be a Grothendieck abelian category. By the Gabriel–Popescu theorem, there exists a ring  $R$  and a pair of adjoint additive functors

$$F: \text{Mod}(R) \rightleftarrows \mathcal{A} : G$$

such that  $F$  is exact and  $FG \simeq \text{id}$ . Let  $\mu$  be an infinite cardinal  $\geq$  to the cardinality of  $R$ .

**Lemma A.6.** *With notation as in Remark A.5, let  $\lambda > \mu$  be a regular cardinal. Then the  $\lambda$ -presentable objects of  $\mathcal{A}$  are precisely the objects of  $\mathcal{A}$  isomorphic to some  $FN$ , where  $N$  is an  $R$ -module of cardinality  $< \lambda$ .*

*Proof.* Let us first prove that, if  $N$  is an  $R$ -module of cardinality  $< \lambda$ , then  $FN$  is  $\lambda$ -presentable. Suppose  $\{x_i, i \in I\}$  is a  $\lambda$ -filtered system in  $\mathcal{A}$ , and suppose that in the category  $\mathcal{A}$  we are given a map  $\phi: FN \rightarrow \text{colim } x_i$ . We need to show that  $\phi$  factors through some  $x_i$ . In the category of  $R$ -modules, there is a natural map

$$\text{colim } Gx_i \xrightarrow{\rho} G[\text{colim } x_i].$$

Since  $F$  respects colimits and  $FG \simeq \text{id}$ , the map  $F\rho$  is an isomorphism. As  $F$  is exact it must annihilate the kernel and cokernel of  $\rho$ . Form the pullback square

$$\begin{array}{ccc} P & \xrightarrow{\phi} & N \\ \downarrow & & \downarrow \theta \\ \text{colim } Gx_i & \xrightarrow{\rho} & G[\text{colim } x_i]. \end{array}$$

The image of  $\phi$  is a submodule of  $N$ , hence has cardinality  $< \lambda$ . If we lift every element of  $\text{Image}(\phi)$  arbitrarily to an element of  $P$ , the lifts generate a submodule  $M \subseteq P$  of cardinality  $< \lambda$ . The kernel (respectively cokernel) of the map  $M \rightarrow N$  is a submodule of  $\text{Kernel}(\rho)$  (respectively  $\text{Coker}(\rho)$ ), and hence both are annihilated by  $F$ . Summarizing: we have produced a morphism  $M \rightarrow N$  of  $R$ -modules, with  $M$  of cardinality  $< \lambda$  and  $FM \rightarrow FN$  an isomorphism in  $\mathcal{A}$ , and such that the composite  $M \rightarrow N \rightarrow G[\text{colim } x_i]$  factors through  $\text{colim } Gx_i$ . But  $\{Gx_i, i \in I\}$  is a  $\lambda$ -filtered system in  $\text{Mod}(R)$  and  $M$  is of cardinality  $< \lambda$ , and hence the map factors as  $M \rightarrow Gx_i$  for some  $i \in I$ .

It remains to prove the converse: suppose  $a \in \mathcal{A}$  is a  $\lambda$ -presentable object. Then  $Ga$  is an  $R$ -module, hence it is the  $\lambda$ -filtered colimit of all its submodules  $N_i$  of cardinality  $< \lambda$ . But then the identity map  $a \rightarrow a$  is a map from the  $\lambda$ -presentable object  $a$  to the  $\lambda$ -filtered colimit of the  $FN_i$ , and therefore factors through some  $FN_i$ . Thus  $a$  is a direct summand of an object  $FN_i$  where the cardinality of  $N_i$  is  $< \lambda$ . On the other hand the map  $N_i \rightarrow Ga$  is injective, hence so is  $FN_i \rightarrow FGa = a$ . Thus  $a \cong FN_i$ .  $\square$

**Lemma A.7.** *Let  $\mathcal{A}$  be a Grothendieck abelian category. There is an infinite cardinal  $\nu$  with the following properties: if  $\lambda \geq \nu$  is a regular cardinal, then*

- (1)  $\mathcal{A}^\lambda$  is a Serre subcategory of  $\mathcal{A}$ ;
- (2) every object of  $\mathcal{A}$  is a  $\lambda$ -filtered colimit of subobjects belonging to  $\mathcal{A}^\lambda$ ;
- (3) an object belongs to  $\mathcal{A}^\lambda$  if and only if it is the quotient of a coproduct of  $< \lambda$  objects of  $\mathcal{A}^\nu$ ; and
- (4) any pair of morphisms  $x \rightarrow y \leftarrow n$  in  $\mathcal{A}$ , where  $x \rightarrow y$  is epi and  $n \in \mathcal{A}^\lambda$ , may be completed to a commutative square

$$\begin{array}{ccc} m & \longrightarrow & n \\ \downarrow & & \downarrow \\ x & \longrightarrow & y \end{array}$$

with  $m \in \mathcal{A}^\lambda$  and  $m \rightarrow n$  epi. Moreover, if  $n \rightarrow y$  is mono, then  $m \rightarrow x$  can be chosen to be mono.

*Proof.* Let  $\nu = \mu + 1$  be the successor of the infinite cardinal  $\mu$  of Remark A.5. By Lemma A.6 the objects of  $\mathcal{A}^\lambda$  are precisely the ones isomorphic to  $FM$  where  $M$  is of cardinality  $< \lambda$ .

For (1), it is readily verified that a subobject (resp. a quotient) of  $FM$  can be expressed as  $FN$  where  $N$  is a submodule (resp. a quotient) of  $M$ . This shows that  $\mathcal{A}^\lambda$  is closed under taking subobjects and quotients; we will later see that it is also closed under extensions.

For (2), if  $a$  is an object of  $\mathcal{A}$  then  $Ga$  is the  $\lambda$ -filtered colimit of all the submodules  $M_i \subseteq Ga$  of cardinality  $< \lambda$ , and  $a \cong FGa$  is the  $\lambda$ -filtered colimit of  $FM_i \in \mathcal{A}^\lambda$ .

For (3), observe that any coproduct of  $< \lambda$  objects in  $\mathcal{A}^\lambda$  belongs to  $\mathcal{A}^\lambda$ , and if  $M$  is a module of cardinality  $< \lambda$  then  $M$  is a quotient of the free module on all its elements, which is a coproduct of  $< \lambda$  copies of  $R$ . Thus  $FM$  is the quotient of a coproduct of  $< \lambda$  copies of  $FR \in \mathcal{A}^\nu$ .

For (4), let  $x \rightarrow y \leftarrow n$  be a pair of morphisms in  $\mathcal{A}$ , with  $x \rightarrow y$  epi and  $n \in \mathcal{A}^\lambda$ . Let  $\tilde{m}$  be the pullback of  $n \rightarrow y$  along  $x \rightarrow y$ . It is sufficient to find a subobject  $m$  of  $\tilde{m}$  belonging to  $\mathcal{A}^\lambda$  such that  $m \rightarrow \tilde{m} \rightarrow n$  is epi. By (2), we may express  $\tilde{m} = \text{colim } m_i$  as a  $\lambda$ -filtered colimit of subobjects belonging to  $\mathcal{A}^\lambda$ . If  $n_i \subseteq n$  is the image of  $m_i$  in  $n$ , then (1) implies that  $n_i \in \mathcal{A}^\lambda$ . Since  $n \in \mathcal{A}^\lambda$ , there is an  $i$  such that  $n_i = n$ . Taking  $m = m_i$  does the job. By construction, if  $n \rightarrow y$  is mono, then  $m \rightarrow x$  is mono.

Finally, to show that  $\mathcal{A}^\lambda$  is closed under extensions, we note that if  $0 \rightarrow k \rightarrow x \rightarrow n \rightarrow 0$  is an exact sequence with  $k, n \in \mathcal{A}^\lambda$ , then (4) implies that there is a subobject  $m$  of  $x$  such that  $m \in \mathcal{A}^\lambda$  and  $m \rightarrow n$  is epi. It follows that  $k \oplus m \rightarrow x$  is epi and consequently,  $x \in \mathcal{A}^\lambda$ , as required.  $\square$

*Proof of Theorem A.3.* Because  $\mathcal{M}$  and  $\mathcal{A}$  are both Grothendieck abelian categories we may choose regular cardinals  $\nu$  for  $\mathcal{M}$  and  $\nu'$  for  $\mathcal{A}$  as in Lemma A.7. The category  $\mathcal{M}^\nu$  is an essentially small subcategory of  $\mathcal{A}$ , hence must be contained in  $\mathcal{A}^\beta$  for some regular cardinal  $\beta$ . Let  $\lambda$  be a regular cardinal  $> \max(\beta, \nu, \nu')$ . By construction  $\mathcal{M}^\nu \subseteq \mathcal{A}^\lambda$ , and by Lemma A.7 every object in  $\mathcal{M}^\lambda$  is the quotient of a coproduct of  $< \lambda$  objects in  $\mathcal{M}^\nu$ . Hence  $\mathcal{M}^\lambda \subseteq \mathcal{A}^\lambda$ . But since every object in  $\mathcal{M} \cap \mathcal{A}^\lambda$  is  $\lambda$ -presentable in  $\mathcal{A}$  it must be  $\lambda$ -presentable in the smaller  $\mathcal{M}$ , and we conclude that  $\mathcal{M} \cap \mathcal{A}^\lambda = \mathcal{M}^\lambda$ .

We have now made our choice of  $\lambda$  and we let  $\mathcal{B} = \mathcal{A}^\lambda$ . By Remark A.2 it suffices to show that, given any non-zero object  $Z \in \mathcal{D}_{\mathcal{M}}(\mathcal{A})$ , there is an object  $N \in \mathcal{D}_{\mathcal{B} \cap \mathcal{M}}^-(\mathcal{B})$  and a non-zero map  $N \rightarrow Z$ . If  $Z$  is the chain complex

$$\dots \longrightarrow Z^{i-1} \xrightarrow{\partial} Z^i \xrightarrow{\partial} Z^{i+1} \longrightarrow \dots,$$

we let  $Y^i \subseteq Z^i$  be the cycles, in other words the kernel of  $\partial: Z^i \rightarrow Z^{i+1}$ , and  $X^i \subseteq Y^i$  be the boundaries, that is the image of  $\partial: Z^{i-1} \rightarrow Z^i$ . We are assuming that  $Z \in \mathcal{D}_{\mathcal{M}}(\mathcal{A})$  is non-zero, meaning its cohomology is not all zero; without loss of generality we may assume  $H^0(Z) \neq 0$ . Thus  $Y^0/X^0$  is a non-zero object of  $\mathcal{M}$ .

By Lemma A.7, applied to  $\mathcal{B} \cap \mathcal{M} = \mathcal{M}^\lambda \subseteq \mathcal{M}$ , the object  $Y^0/X^0 \in \mathcal{M}$  is a  $\lambda$ -filtered colimit of its subobjects belonging to  $\mathcal{B} \cap \mathcal{M}$ ; since  $Y^0/X^0 \neq 0$  we may choose a subobject  $M \subseteq Y^0/X^0$ , with  $M \in \mathcal{B} \cap \mathcal{M}$  and  $M \neq 0$ . By Lemma A.7, applied to the pair of maps  $Y^0 \rightarrow Y^0/X^0 \leftarrow M$  in  $\mathcal{A}$ , we may complete to a commutative square

$$\begin{array}{ccc} N^0 & \xrightarrow{\phi} & M \\ \downarrow & & \downarrow \\ Y^0 & \longrightarrow & Y^0/X^0 \end{array}$$

with  $N^0 \in \mathcal{B}$ . Since  $Y^0$  is the kernel of  $Z^0 \rightarrow Z^1$  this gives us a commutative square

$$\begin{array}{ccc} N^0 & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ Z^0 & \longrightarrow & Z^1 \end{array}$$

such that the image of the map  $N^0 \rightarrow Y^0/X^0 = H^0(Z)$  is non-zero and belongs to  $\mathcal{B} \cap \mathcal{M}$ .

We propose to inductively extend this to the left. We will define a commutative diagram

$$\begin{array}{cccccccccccc} N^i & \longrightarrow & N^{i+1} & \longrightarrow & \dots & \longrightarrow & N^{-1} & \longrightarrow & N^0 & \longrightarrow & 0 & \longrightarrow & \dots \\ \downarrow & & \downarrow & & & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \dots & \longrightarrow & Z^{i-1} & \longrightarrow & Z^i & \longrightarrow & Z^{i+1} & \longrightarrow & \dots & \longrightarrow & Z^{-1} & \longrightarrow & Z^0 & \longrightarrow & Z^1 & \longrightarrow & \dots \end{array}$$

where

- (1) The subobjects  $N^j \subseteq Z^j$  belong to  $\mathcal{B}$ .
- (2) For  $j > i$  the cohomology of  $N^{j-1} \rightarrow N^j \rightarrow N^{j+1}$  belongs to  $\mathcal{B} \cap \mathcal{M}$ .
- (3) Let  $K^i$  be the kernel of the map  $N^i \rightarrow N^{i+1}$ . Then the image of the natural map  $K^i \rightarrow H^i(Z)$  belongs to  $\mathcal{B} \cap \mathcal{M}$ .

Since we have constructed  $N^0$  we only need to prove the inductive step. Let us therefore suppose we have constructed the diagram as far as  $i$ ; we need to extend it to  $i-1$ . We first form the pullback square

$$\begin{array}{ccc} L^i & \longrightarrow & K^i \\ \downarrow & & \downarrow \\ X^i & \longrightarrow & Y^i \end{array}$$

Since  $X^i \rightarrow Y^i$  and  $K^i \rightarrow Y^i$  are monomorphisms so are  $L^i \rightarrow K^i$  and  $L^i \rightarrow X^i$ . Since  $N^i$  belongs to  $\mathcal{B}$  so do its subobjects  $L^i \subseteq K^i$ . The cokernel of  $L^i \rightarrow K^i$  is the image of  $K^i \rightarrow Y^i/X^i = H^i(Z)$ , and belongs to  $\mathcal{B} \cap \mathcal{M}$  by (3). Next we apply Lemma A.7 to the pair of maps  $Z^{i-1}/X^{i-1} \rightarrow X^i \leftarrow L^i$  in  $\mathcal{A}$ , completing to a commutative square

$$\begin{array}{ccc} M^i & \longrightarrow & L^i \\ \downarrow & & \downarrow \\ Z^{i-1}/X^{i-1} & \longrightarrow & X^i \end{array}$$

with  $M^i \in \mathcal{B}$ . Form the pullback

$$\begin{array}{ccc} \widetilde{M}^i & \longrightarrow & M^i \\ \downarrow & & \downarrow \\ Y^{i-1}/X^{i-1} & \longrightarrow & Z^{i-1}/X^{i-1} \end{array}$$

Since  $Y^{i-1}/X^{i-1} \rightarrow Z^{i-1}/X^{i-1}$  is injective so is  $\widetilde{M}^i \rightarrow M^i$ , making  $\widetilde{M}^i$  a subobject of  $M^i \in \mathcal{B}$ . Hence  $\widetilde{M}^i$  belongs to  $\mathcal{B}$ . But now the map  $\widetilde{M}^i \rightarrow Y^{i-1}/X^{i-1} = H^{i-1}(Z)$  is a morphism from the  $\lambda$ -presentable object  $\widetilde{M}^i \in \mathcal{B} = \mathcal{A}^\lambda$  to the object  $H^{i-1}(Z) \in \mathcal{M}$ , which by Lemma A.7 is a  $\lambda$ -filtered colimit of its subobjects in  $\mathcal{M}^\lambda = \mathcal{B} \cap \mathcal{M}$ . Hence the map  $\widetilde{M}^i \rightarrow Y^{i-1}/X^{i-1}$  factors as  $\widetilde{M}^i \rightarrow P^i \rightarrow Y^{i-1}/X^{i-1}$  with  $P^i \in \mathcal{B} \cap \mathcal{M}$  a subobject of  $Y^{i-1}/X^{i-1}$ . Form the pushout square in  $\mathcal{B}$

$$\begin{array}{ccc} \widetilde{M}^i & \longrightarrow & M^i \\ \downarrow & & \downarrow \\ P^i & \longrightarrow & Q^i \end{array}$$

and let  $Q^i \rightarrow Z^{i-1}/X^{i-1}$  be the natural map. We have a commutative square

$$\begin{array}{ccc} Q^i & \xrightarrow{\phi} & L^i \\ \downarrow & & \downarrow \\ Z^{i-1}/X^{i-1} & \longrightarrow & X^i \end{array}$$

and the kernel of  $\phi$  maps isomorphically to the subobject  $P^i \subseteq H^{i-1}(Z)$ , with  $P^i \in \mathcal{B} \cap \mathcal{M}$ . Finally apply Lemma A.7 to the pair of maps  $Z^{i-1} \rightarrow Z^{i-1}/X^{i-1} \leftarrow Q^i$  to complete to a square

$$\begin{array}{ccc} N^{i-1} & \longrightarrow & Q^i \\ \downarrow & & \downarrow \\ Z^{i-1} & \longrightarrow & Z^{i-1}/X^{i-1} \end{array}$$

with  $N^i \in \mathcal{B}$ . We leave it to the reader to check that the diagram

$$\begin{array}{cccccccccccc} N^{i-1} & \longrightarrow & N^i & \longrightarrow & \dots & \longrightarrow & N^{-1} & \longrightarrow & N^0 & \longrightarrow & 0 & \longrightarrow & \dots \\ \downarrow & & \downarrow & & & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & Z^{i-2} & \longrightarrow & Z^{i-1} & \longrightarrow & Z^i & \longrightarrow & \dots & \longrightarrow & Z^{-1} & \longrightarrow & Z^0 & \longrightarrow & Z^1 & \longrightarrow & \dots \end{array}$$

satisfies hypotheses (1), (2) and (3) of our induction.  $\square$

## APPENDIX B. $D_{\text{qc}}(X)$ IS LEFT-COMPLETE

Let  $\mathcal{T}$  be a triangulated category with a  $t$ -structure  $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$ . If  $\mathcal{T}$  admits countable projects, then we say that the  $t$ -structure is *left-complete* if the map

$$M \rightarrow \text{holim}_n \tau^{\geq -n} M$$

is an isomorphism for every  $M \in \mathcal{T}$ . A thorough discussion of  $t$ -structures using the language of stable  $\infty$ -categories is available in [Lur16, §1.2.1].

If  $\mathcal{A}$  is a Grothendieck abelian category, then the standard  $t$ -structure on  $D(\mathcal{A})$  is  $(D^{\leq 0}(\mathcal{A}), D^{\geq 0}(\mathcal{A}))$ . If  $\mathcal{M} \subseteq \mathcal{A}$  is a Grothendieck abelian weak Serre subcategory,



then the standard  $t$ -structure on  $\mathbf{D}_{\mathcal{M}}(\mathcal{A})$  is the one induced by restriction from  $\mathbf{D}(\mathcal{A})$ . If no  $t$ -structure on  $\mathbf{D}_{\mathcal{M}}(\mathcal{A})$  is specified, then we will always mean the standard one.

In this section we prove the following Theorem.

**Theorem B.1.** *If  $X$  is an algebraic stack, then  $\mathbf{D}_{\text{qc}}(X)$  is well generated. In particular, it admits small products. Moreover,  $\mathbf{D}_{\text{qc}}(X)$  is left-complete.*

*Proof.* The subcategory  $\mathbf{QCoh}(X) \subseteq \mathbf{Mod}(X_{\text{lis-ét}})$  is weak Serre and the inclusion is coproduct preserving. Since  $\mathbf{QCoh}(X)$  and  $\mathbf{Mod}(X_{\text{lis-ét}})$  are Grothendieck abelian categories [Stacks, Tags 07A5 & 0781], it follows that  $\mathbf{D}_{\text{qc}}(X)$  is well generated (Theorem A.3). In particular,  $\mathbf{D}_{\text{qc}}(X)$  admits small products [Nee01b, Cor. 1.18].

It remains to prove that  $\mathbf{D}_{\text{qc}}(X)$  is left-complete, which we accomplish by extracting from [Stacks, Tag 08U3] a useful special case (which was communicated to us by Bhatt [Bha12]). So the inclusion  $\omega: \mathbf{D}_{\text{qc}}(X) \rightarrow \mathbf{D}(X)$  is exact and coproduct preserving; thus, the functor  $\omega$  admits a right adjoint  $\lambda$  [Nee01b, Prop. 1.20]. Because the functor  $\omega$  is fully faithful, the adjunction  $\text{id} \Rightarrow \lambda \circ \omega$  is an isomorphism of functors.

Note that because  $\lambda$  is a right adjoint, it preserves products and so homotopy limits. In particular, it remains to prove that if  $K \in \mathbf{D}_{\text{qc}}(X)$ , then a naturally induced map:

$$c: \omega(K) \rightarrow \text{holim}_n \tau^{\geq -n} \omega(K)$$

is a quasi-isomorphism in  $\mathbf{D}(X)$  (where we also take the homotopy limit in  $\mathbf{D}(X)$ ). Indeed, this follows from the observation that  $\tau^{\geq -n} \omega(K) \simeq \omega(\tau^{\geq -n} K)$  for all integers  $n$  and  $K \rightarrow \lambda \circ \omega(K)$  is an isomorphism.

To see that  $c$  is a quasi-isomorphism in  $\mathbf{D}(X)$ , it is sufficient to prove that  $\mathbf{RHom}_{\mathcal{O}_X}(p_! \mathcal{O}_U, c)$  is a quasi-isomorphism for every smooth morphism  $p: U \rightarrow X$ , where  $U$  is an affine scheme. Observe that

$$\mathbf{RHom}_{\mathcal{O}_X}(p_! \mathcal{O}_U, \tau^{\geq -n} K) \simeq \mathbf{RHom}_{\mathcal{O}_U}(\mathcal{O}_U, \tau^{\geq -n} p^{-1} K) \simeq \tau^{\geq -n} K(U),$$

with the final quasi-isomorphism because  $U$  is affine and  $K$  has quasi-coherent cohomology [Stacks, Tags 01XB & 0756]. But  $\mathbf{RHom}_{\mathcal{O}_X}(p_! \mathcal{O}_U, -)$  commutes with homotopy limits, so it is sufficient to prove that we have the following quasi-isomorphism of abelian groups:

$$K(U) \rightarrow \text{holim}_n \tau^{\geq -n} K(U),$$

which is well-known, because the products in the category of abelian groups are exact. For details, see for example [Stacks, Tag 07KC].  $\square$

### APPENDIX C. THE BOUNDED BELOW DERIVED CATEGORY

In this section, we prove an analog of [Har66, Cor. II.7.19] for noetherian algebraic stacks that are affine-pointed, cf. [Lie04, Rem. 2.2.4.7]. Essentially for free, we will also establish Lurie's result [Lur04, Thm. 3.8].

**Theorem C.1.** *Let  $X$  be an algebraic stack. If  $X$  is either quasi-compact with affine diagonal or noetherian and affine-pointed, then the natural functor*

$$\Psi_X^+: \mathbf{D}^+(\mathbf{QCoh}(X)) \rightarrow \mathbf{D}_{\text{qc}}^+(X)$$

*is an equivalence.*

The conditions on  $X$  are essentially sharp:  $\Psi_X^b$  can fail to be fully faithful if:

- (1)  $X$  is a non-noetherian quasi-compact and quasi-separated scheme with non-affine diagonal [SGA6, Exp. II, App. I].
- (2)  $X$  is noetherian with non-affine stabilizers, e.g., if  $X$  is the classifying stack of an elliptic curve.

For noetherian algebraic spaces, a version of Theorem C.1 for the unbounded derived category was proved in [Stacks, Tag 09TN] and we will closely follow this approach. The following two lemmas do most of the work.

**Lemma C.2** (cf. [Stacks, Tag 09TJ]). *Let  $X$  be a quasi-compact and quasi-separated algebraic stack and let  $I$  be an injective object of  $\mathrm{QCoh}(X)$ .*

- (1) *Then  $I$  is a direct summand of  $p_*J$ , where  $p: \mathrm{Spec} A \rightarrow X$  is smooth and surjective and  $J$  is an injective  $A$ -module.*
- (2) *If  $X$  is noetherian, then  $I$  is a direct summand of a filtered colimit  $\mathrm{colim}_i F_i$  of quasi-coherent sheaves of the form  $F_i = \gamma_{i,*}G_i$ , where  $\gamma_i: Z_i \rightarrow X$  is a morphism from an artinian scheme  $Z_i$  and  $G_i \in \mathrm{Coh}(Z_i)$ .*

*Proof.* Let  $p: U \rightarrow X$  be a smooth and surjective morphism, where  $U = \mathrm{Spec} A$  is an affine scheme. Let  $I$  be an injective object of  $\mathrm{QCoh}(X)$ . Choose an injective object  $J$  of  $\mathrm{QCoh}(U)$  and an injection  $p^*I \subseteq J$ . By adjunction, we have an inclusion  $I \subseteq p_*J$ . Since  $p^*$  is exact,  $p_*J$  is injective in  $\mathrm{QCoh}(X)$  and  $I$  is a direct summand of  $p_*J$ . This proves (1). For (2): we may now reduce to the case where  $X = U$ . The result is now well-known (e.g., [Stacks, Tag 09TI]).  $\square$

**Lemma C.3** (cf. [Stacks, Tag 09TL]). *Let  $X$  be an algebraic stack and let  $I$  be an injective object of  $\mathrm{QCoh}(X)$ . If  $X$  is quasi-compact with affine diagonal (resp. noetherian and affine-pointed), then*

- (1)  *$H^q(U_{\mathrm{lis-ét}}, I) = 0$  for every  $q > 0$  and smooth morphism  $u: U \rightarrow X$  that is affine (resp. has affine fibers);*
- (2) *for any morphism  $f: X \rightarrow Y$  of algebraic stacks, where  $Y$  has affine diagonal (resp.  $Y$  is affine-pointed) we have  $\mathrm{R}^q(f_{\mathrm{lis-ét}})_*I = 0$  for  $q > 0$ .*

*Proof.* Let  $W$  be an affine (resp. artinian) scheme and let  $M \in \mathrm{QCoh}(W)$  be injective (resp.  $M \in \mathrm{Coh}(W)$ ). Let  $w: W \rightarrow X$  be a smooth and surjective morphism (resp. a morphism). By Lemma C.2, it is sufficient to prove the result for  $I = w_*M$ . Since  $X$  has affine diagonal (resp.  $X$  is affine-pointed),  $w$  is affine. In particular, the natural map  $(w_*M)[0] \rightarrow \mathrm{R}(w_{\mathrm{lis-ét}})_*M$  is a quasi-isomorphism.

We now prove (1). Let  $u_W: W_U \rightarrow W$  be the pull back of  $u$  along  $w$  and let  $w_U: W_U \rightarrow U$  be the pull back of  $w$  along  $u$ . In both cases,  $u_W$  is smooth and affine and  $w_U$  is affine; in particular,  $W_U$  is an affine scheme. Since  $u$  is smooth,

$$\begin{aligned} \mathrm{R}\Gamma(U_{\mathrm{lis-ét}}, I) &\simeq \mathrm{R}\Gamma(U_{\mathrm{lis-ét}}, u^*\mathrm{R}(w_{\mathrm{lis-ét}})_*M) \simeq \mathrm{R}\Gamma(U_{\mathrm{lis-ét}}, \mathrm{R}((w_U)_{\mathrm{lis-ét}})_*(u_W^*M)) \\ &\simeq \mathrm{R}\Gamma((W_U)_{\mathrm{lis-ét}}, M). \end{aligned}$$

The result now follows from the affine case (e.g., [EGA, III.1.3.1]).

For (2): let  $v: V \rightarrow Y$  be a smooth morphism, where  $V$  is an affine scheme. Since  $Y$  has affine diagonal (resp. is affine-pointed),  $v$  is affine (resp. has affine fibers). By (1),  $H^q((V \times_Y X)_{\mathrm{lis-ét}}, I) = 0$ . But  $\mathrm{R}^q(f_{\mathrm{lis-ét}})_*I$  is the sheafification of the presheaf  $V \mapsto H^q((V \times_Y X)_{\mathrm{lis-ét}}, I)$ ; the result follows.  $\square$

*Proof of Theorem C.1.* We first establish that  $\Psi_X^\dagger$  is fully faithful: given  $F, G \in \mathrm{D}^+(\mathrm{QCoh}(X))$  we wish to prove that the natural map

$$\mathrm{Hom}_{\mathrm{D}(\mathrm{QCoh}(X))}(F, G) \rightarrow \mathrm{Hom}_{\mathrm{D}(X)}(F, G)$$

is an isomorphism. A standard way-out argument shows that it is sufficient to prove that the natural map

$$\mathrm{Ext}_{\mathrm{QCoh}(X)}^q(N, M) \rightarrow \mathrm{Ext}_{\mathcal{O}_X}^q(N, M)$$

is an isomorphism for every  $q \in \mathbb{Z}$  and  $M, N \in \mathrm{QCoh}(X)$ . For  $q < 0$  both sides vanish and for  $q = 0$  we clearly have an isomorphism. For  $q > 0$ , since every  $M$

embeds in a quasi-coherent injective  $I$ , a standard  $\delta$ -functor argument shows that it is sufficient to prove that if  $I$  is an injective object of  $\mathrm{QCoh}(X)$ , then

$$\mathrm{Ext}_{\mathcal{O}_X}^q(N, I) = 0$$

for all  $q > 0$  and  $N \in \mathrm{QCoh}(X)$ . To see this we note that by Lemma C.2(1),  $I$  is a direct summand of  $(p_{\mathrm{QCoh}})_*J$ , where  $p: \mathrm{Spec} A \rightarrow X$  is smooth and surjective and  $J$  is an injective  $A$ -module. Thus, it suffices to prove the result when  $I = (p_{\mathrm{QCoh}})_*J$ . By Lemma C.3(2), the natural map  $((p_{\mathrm{QCoh}})_*J)[0] \rightarrow \mathrm{R}(p_{\mathrm{lis-ét}})_*J$  is a quasi-isomorphism. Hence, there are natural isomorphisms:

$$\mathrm{Ext}_{\mathcal{O}_X}^q(N, (p_{\mathrm{QCoh}})_*J) \cong \mathrm{Ext}_{\mathcal{O}_X}^q(N, \mathrm{R}(p_{\mathrm{lis-ét}})_*J) \cong \mathrm{Ext}_{\mathcal{O}_{\mathrm{Spec} A}}^q(p^*N, J).$$

We are now reduced to the affine case, which is well-known (e.g., [BN93, Lem. 5.4]).

For the essential surjectivity, we argue as follows: by induction and using the full faithfulness, one easily sees that  $\mathrm{D}^b(\mathrm{QCoh}(X)) \simeq \mathrm{D}_{\mathrm{qc}}^b(X)$ . Passing to homotopy colimits, we obtain the claim.  $\square$

The following observation was made by Bhatt [Bha12] and a reviewer.

*Remark C.4.* Let  $X$  be an algebraic stack that is either quasi-compact with affine diagonal or noetherian and affine-pointed. Since  $\mathrm{D}_{\mathrm{qc}}(X)$  is left-complete,  $\Psi_X$  factors uniquely through the left-completion functor  $\mathrm{D}(\mathrm{QCoh}(X)) \rightarrow \widehat{\mathrm{D}}(\mathrm{QCoh}(X))$  [Lur16, §1.2.1]. But  $\widehat{\mathrm{D}}(\mathrm{QCoh}(X))$  is also the left completion of  $\mathrm{D}^+(\mathrm{QCoh}(X))$  and  $\Psi_X^+$  is an equivalence. Hence,  $\widehat{\mathrm{D}}(\mathrm{QCoh}(X)) \rightarrow \mathrm{D}_{\mathrm{qc}}(X)$  is an equivalence.

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