

# Recent studies of anyons

Douglas Lundholm  
KTH Stockholm

*based on work in collaborations with*  
Michele Correggi, Romain Duboscq, Simon Larson,  
Nicolas Rougerie, Jan Philip Solovej

**Happy 70th birthday, Barry Simon!**  
Fields Institute, Toronto

# Outline of Talk

- ① Fractional statistics in 2D and the emergence of anyons
- ② An average-field theory for almost-bosonic anyons
- ③ Local exclusion principle and universal energy bounds
- ④ Anyons in a harmonic trap and many-anyon trial states

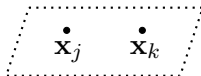
# Identical particles and statistics in 2D

Particle exchange in 2D:  $\Psi \in L^2((\mathbb{R}^2)^N) \cong \bigotimes^N L^2(\mathbb{R}^2)$

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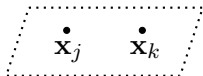
$$|\Psi(\mathbf{x}_1, \dots, \mathbf{x}_j, \dots, \mathbf{x}_k, \dots, \mathbf{x}_N)|^2 = |\Psi(\mathbf{x}_1, \dots, \mathbf{x}_k, \dots, \mathbf{x}_j, \dots, \mathbf{x}_N)|^2$$



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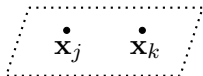
$$\Psi(\mathbf{x}_1, \dots, \mathbf{x}_j, \dots, \mathbf{x}_k, \dots, \mathbf{x}_N) = e^{i\alpha\pi} \Psi(\mathbf{x}_1, \dots, \mathbf{x}_k, \dots, \mathbf{x}_j, \dots, \mathbf{x}_N)$$



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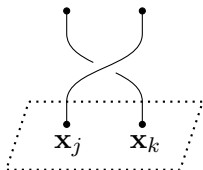
$$\Psi(\mathbf{x}_1, \dots, \mathbf{x}_j, \dots, \mathbf{x}_k, \dots, \mathbf{x}_N) = \pm \Psi(\mathbf{x}_1, \dots, \mathbf{x}_k, \dots, \mathbf{x}_j, \dots, \mathbf{x}_N)$$



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$e^{i\alpha\pi} \in U(1)$  **any** phase

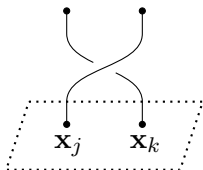
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$\alpha = 1$ : fermions

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**anyons**: 'fractional'-statistics quasiparticles in confined systems  
— expected to arise in fractional quantum Hall systems

~1970 Souriau, Streater & Wilde ... Leinaas & Myrheim '77; Goldin, Menikoff & Sharp '81; Wilczek '82 ...

Reviews by Fröhlich '90, Wilczek '90, Lerda '92, Myrheim '99, Khare '05, Ouvry '07, Stern '08, ...

Past rigorous QM studies by Baker, Canright & Mulay '93, Dell'Antonio, Figari & Teta '97



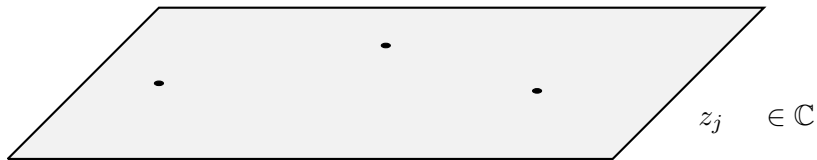
# How to create an anyon in the lab?

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- Need several particles!
- Need 2D!

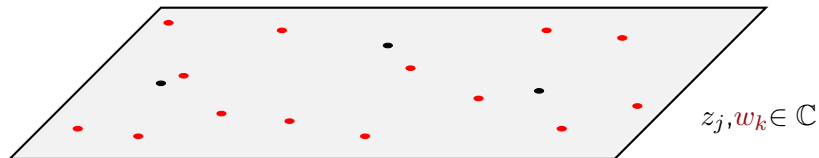
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DL, Rougerie, Phys. Rev. Lett., 2016 — avoids usual Berry phase argument of Arovas, Schrieffer, Wilczek, 1984



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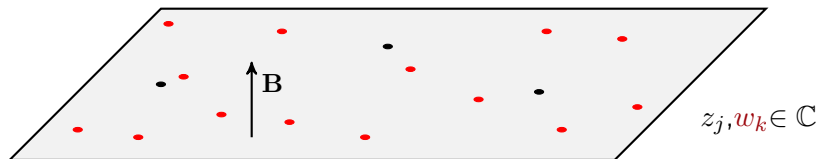
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- Two species of particles in a plane (bosons or fermions)

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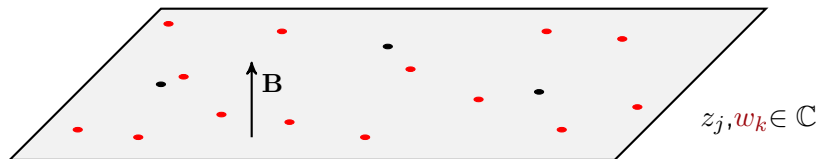
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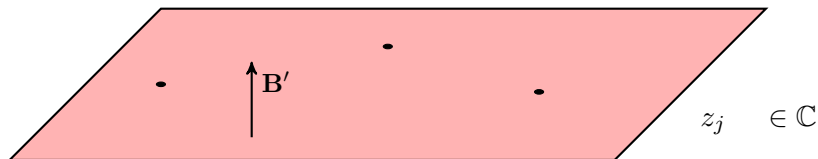


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- Strong repulsion between particles  $\Rightarrow$  Laughlin state

$$\Psi(z, w) = \Phi(z)c(z) \prod_{j,k} (z_j - w_k) \prod_{i < k} (w_i - w_k)^n e^{-B|w|^2/4}$$

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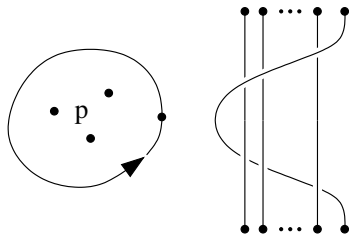


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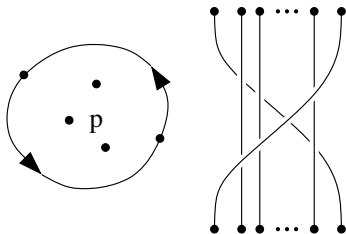
$$\Psi(z, w) = \Phi(z) c(z) \prod_{j,k} (z_j - w_k) \prod_{i < k} (w_i - w_k)^n e^{-B|w|^2/4}$$

$\Rightarrow$  Effective Hamiltonian for  $\Phi$  with a reduced magnetic field and  
 $\alpha = \alpha_0 - 1/n$

# Modelling anyons mathematically — anyon gauge



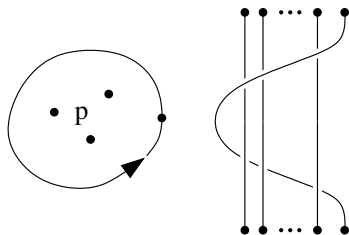
$$e^{i2p\alpha\pi}$$



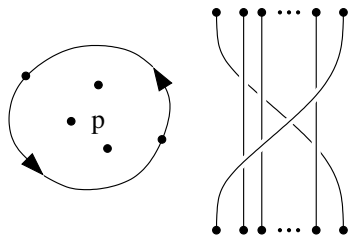
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Think: free kinetic energy  $\hat{T}_0 = \frac{\hbar^2}{2m} \sum_{j=1}^N (-i\nabla_j)^2$  acting on multi-valued

$$\Psi_\alpha := U^\alpha \Psi_0, \quad U := \prod_{j < k} e^{i\phi_{jk}} = \prod_{j < k} \frac{z_j - z_k}{|z_j - z_k|}.$$

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Bosons ( $\Psi \in L^2_{\text{sym}}$ ) in  $\mathbb{R}^2$  with Aharonov-Bohm magnetic interactions:

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These are **ideal** anyons. One can also model  **$R$ -extended** anyons:

$$\mathbf{A}_j(\mathbf{x}_j) := \sum_{k \neq j} \frac{(\mathbf{x}_j - \mathbf{x}_k)^\perp}{|\mathbf{x}_j - \mathbf{x}_k|_R^2}, \quad |\mathbf{x}|_R := \max\{|\mathbf{x}|, R\}$$
$$\Rightarrow \text{curl } \alpha \mathbf{A}_j = 2\pi\alpha \sum_{k \neq j} \frac{\mathbb{1}_{B_R(\mathbf{x}_k)}}{\pi R^2} \xrightarrow{R \rightarrow 0} 2\pi\alpha \sum_{k \neq j} \delta_{\mathbf{x}_k}$$

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We would like to understand the  $N$ -anyon ground state  $\Psi_0$  and energy

$$E_0(N) := \inf \operatorname{spec} \hat{H}_N, \quad \hat{H}_N = \hat{T}_\alpha + \hat{V} = \sum_{j=1}^N \left( \frac{\hbar^2}{2m} D_j^2 + V(\mathbf{x}_j) \right)$$

## Compare with the ideal Fermi gas in 2D

Know:  $\Psi_0 = \bigwedge_{k=0}^{N-1} \varphi_k$ ,  $\varphi_k$  lowest states of  $\hat{H}_1 = -\Delta_{\mathbb{R}^2} + V(\mathbf{x})$

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The free Fermi gas in a box  $Q \subset \mathbb{R}^2$ :

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$\Rightarrow$  Thomas–Fermi approximation: (Thomas, Fermi, 1927 — precursor to modern DFT)

$$\langle \Psi_0, (\hat{T}_{\alpha=1} + \hat{V}) \Psi_0 \rangle \approx \int_{\mathbb{R}^2} \left( 2\pi \rho_{\Psi_0}(\mathbf{x})^2 + V(\mathbf{x}) \rho_{\Psi_0}(\mathbf{x}) \right) d\mathbf{x}$$

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The Lieb–Thirring inequality: (Lieb, Thirring, 1975)

$$\langle \Psi, (\hat{T}_{\alpha=1} + \hat{V}) \Psi \rangle \geq \int_{\mathbb{R}^2} \left( C_{\text{LT}} \rho_{\Psi}(\mathbf{x})^2 + V(\mathbf{x}) \rho_{\Psi}(\mathbf{x}) \right) d\mathbf{x}$$



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The Lieb–Thirring inequality: (Lieb, Thirring, 1975)  $\nu$  part.s in each state

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# Average-field approximation

(see e.g. Wilczek 1990 review)

For anyons one may consider an **average-field** approximation

$$E_0(N) \approx \inf_{\substack{\varrho \geq 0 \\ \int \varrho = N}} \int_{\mathbb{R}^2} \left( 2\pi|\alpha|\varrho(\mathbf{x})^2 + V(\mathbf{x})\varrho(\mathbf{x}) \right) d\mathbf{x}$$

where  $B = \text{curl } \mathbf{A}_j \approx 2\pi\alpha\varrho$  with LLL energy/particle  $\sim |B|$ .

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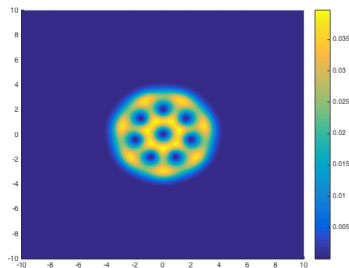
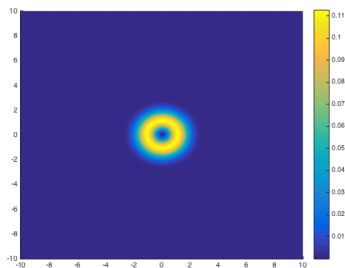
A particular **almost-bosonic** limit  $\alpha = \beta/N$  leads to

$$\mathcal{E}^{\text{af}}[u] := \int_{\mathbb{R}^2} \left( \left| (-i\nabla + \beta\mathbf{A}[|u|^2]) u \right|^2 + V|u|^2 \right), \quad u \in H^1(\mathbb{R}^2)$$

where  $\text{curl } \mathbf{A}[|u|^2] = 2\pi|u|^2$  and  $\beta$  the only parameter. DL, Rougerie, 2015

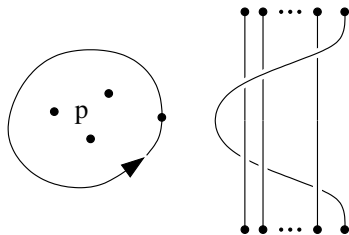
# Average-field approximation for almost-bosonic anyons

Continued study of the average-field functional  $\mathcal{E}^{\text{af}}[u]$  is work in progress with M. Correggi, R. Duboscq and N. Rougerie.

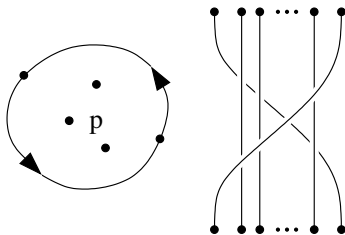


Numerical simulation of  $|u^{\text{af}}|^2$  at  $\beta = 50$  resp.  $\beta = 200$  by Romain Duboscq.

# A local exclusion principle for anyons



$$e^{i2p\alpha\pi}$$

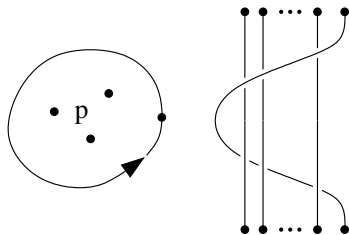


$$e^{i(2p+1)\alpha\pi}$$

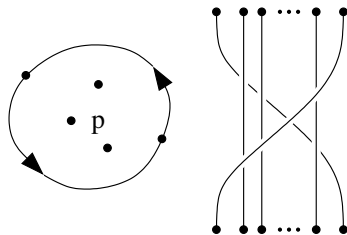
Recall: 2-particle exchange phase  $(2p + 1)\alpha$  times  $\pi$ .

But anyons can also have pairwise relative angular momenta  $\pm 2q$ .

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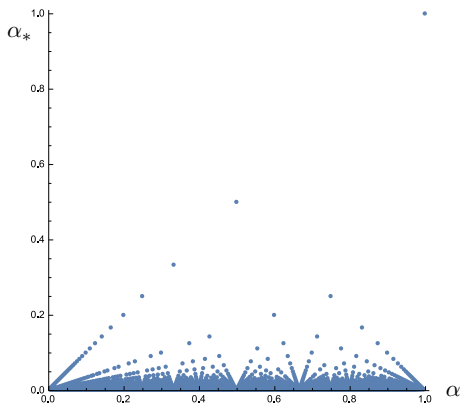
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$\Rightarrow$  effective **statistical repulsion** DL, Solovej, 2013

$$V_{\text{stat}}(r) = |(2p + 1)\alpha - 2q|^2 \frac{1}{r^2} \geq \frac{\alpha_N^2}{r^2}$$

# A local exclusion principle for anyons



$$\alpha_N := \min_{p \in \{0, 1, \dots, N-2\}, q \in \mathbb{Z}} |(2p+1)\alpha - 2q|$$

$$\xrightarrow{N \rightarrow \infty} \alpha_* := \begin{cases} \frac{1}{\nu}, & \text{if } \alpha = \frac{\mu}{\nu} \text{ is a reduced fraction with } \mu \text{ odd,} \\ 0 & \text{otherwise.} \end{cases}$$

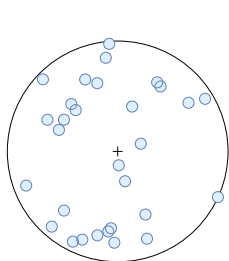
## Extended case

We use a magnetic Hardy inequality **with symmetry**

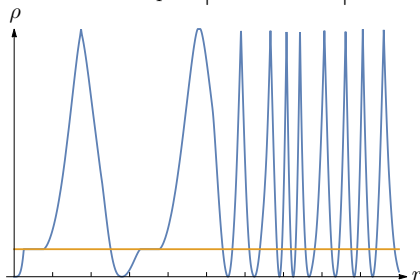
(cf. Laptev, Weidl, 1998; Hoffmann-Ostenhof<sup>2</sup>, Laptev, Tidblom, 2008; Balinsky...)

to consider the enclosed flux inside a two-particle exchange loop subtracted with arbitrary pairwise angular momenta. Unwanted oscillation can be controlled by smearing (but analysis is tricky!)

$$V_{\text{stat}}(r) = \rho(r) \frac{1}{r^2}, \quad \rho(r) = \min_{q \in \mathbb{Z}} \left| \frac{\Phi(r)}{2\pi} - 2q \right|^2$$

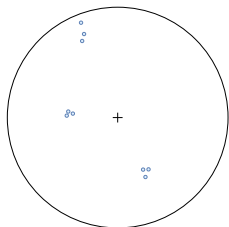


$\alpha = 1/3$

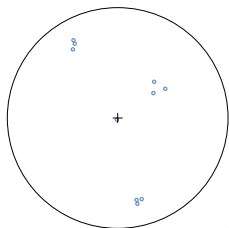
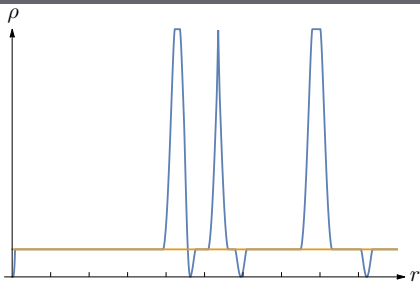




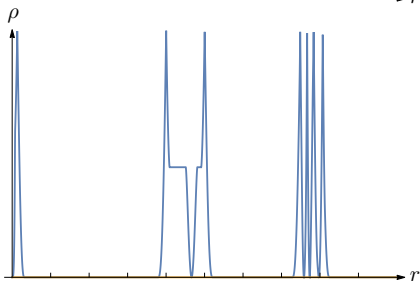
# Extended case (clustering)



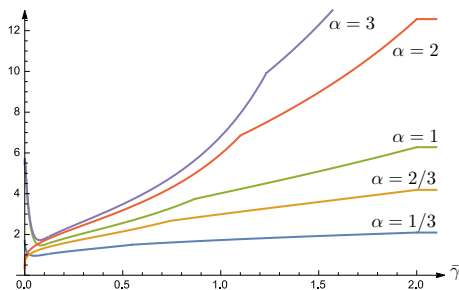
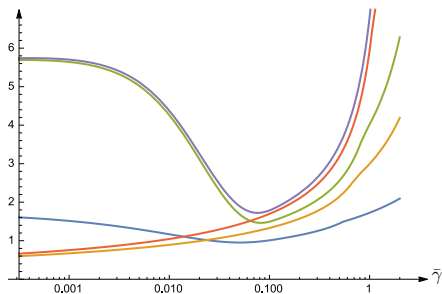
$$\alpha = 1/3$$



$$\alpha = 2/3$$



# Universal bounds for the extended anyon gas



**Theorem:** [Larson, DL, 2016]

There exists  $C > 0$  s.t. 
$$\liminf_{\substack{N, L \rightarrow \infty \\ N/L^2 = \bar{\rho}}} \frac{E_0(N)}{N} \geq C e(\alpha, \bar{\gamma} := R\sqrt{\bar{\rho}}) \bar{\rho},$$

where 
$$e(\alpha, \gamma) \sim \begin{cases} \frac{2\pi}{|\ln \gamma|} + \pi(j'_{\alpha_*})^2 \geq 2\pi\alpha_*, & \gamma \rightarrow 0, \\ 2\pi|\alpha|, & \gamma \gtrsim 1. \end{cases}$$

# Lieb–Thirring inequalities for anyons

Theorem ([DL-Solovej '13] Lieb–Thirring inequality for anyons)

Let  $\Psi$  be an  $N$ -anyon wavefunction on  $\mathbb{R}^2$  with any  $\alpha \in \mathbb{R}$ . Then

$$\langle \Psi, \hat{T}_\alpha \Psi \rangle \geq C \alpha_N^2 \int_{\mathbb{R}^2} \rho_\Psi(\mathbf{x})^2 d\mathbf{x},$$

for a constant  $C > 0$ ,

So for  $\alpha = \mu/\nu$  with **odd**  $\mu$  and  $\nu \geq 1$ ,

$$\langle \Psi, \hat{H}_N \Psi \rangle \geq \int_{\mathbb{R}^2} \left( C \nu^{-2} \rho_\Psi(\mathbf{x})^2 + V(\mathbf{x}) \rho_\Psi(\mathbf{x}) \right) d\mathbf{x}$$

# Lieb–Thirring inequalities for anyons

DL, Solovej, 2013; LT with general local exclusion developed by DL, Nam, Portmann, Solovej, 2013-'15

Theorem ([Larson-DL '16] Lieb–Thirring inequality for anyons)

Let  $\Psi$  be an  $N$ -anyon wavefunction on  $\mathbb{R}^2$  with any  $\alpha \in \mathbb{R}$ . Then

$$\langle \Psi, \hat{T}_\alpha \Psi \rangle \geq C (j'_{\alpha N})^2 \int_{\mathbb{R}^2} \rho_\Psi(\mathbf{x})^2 d\mathbf{x},$$

for a constant  $C > 0$ , where  $j'_\nu \geq \sqrt{2\nu}$  is first zero of  $J'_\nu$  Bessel.

So for  $\alpha = \mu/\nu$  with **odd**  $\mu$  and  $\nu \geq 1$ ,

$$\langle \Psi, \hat{H}_N \Psi \rangle \geq \int_{\mathbb{R}^2} \left( C\nu^{-1} \rho_\Psi(\mathbf{x})^2 + V(\mathbf{x}) \rho_\Psi(\mathbf{x}) \right) d\mathbf{x}$$

# Anyons in a harmonic trap

Harmonic oscillator Hamiltonian:

$$\hat{H}_N = \hat{T}_\alpha + \hat{V} = \sum_{j=1}^N \left( \frac{1}{2m} (-i\nabla_j + \alpha \mathbf{A}_j)^2 + \frac{m\omega^2}{2} |\mathbf{x}_j|^2 \right).$$

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Rigorous bounds for the ground-state energy  $E_0(N)$ :

$$\hat{H}_N |_{\text{ang. mom. } L} \geq \omega \left( N + \left| L + \alpha \frac{N(N-1)}{2} \right| \right) \quad (\text{Chitra, Sen, 1992})$$

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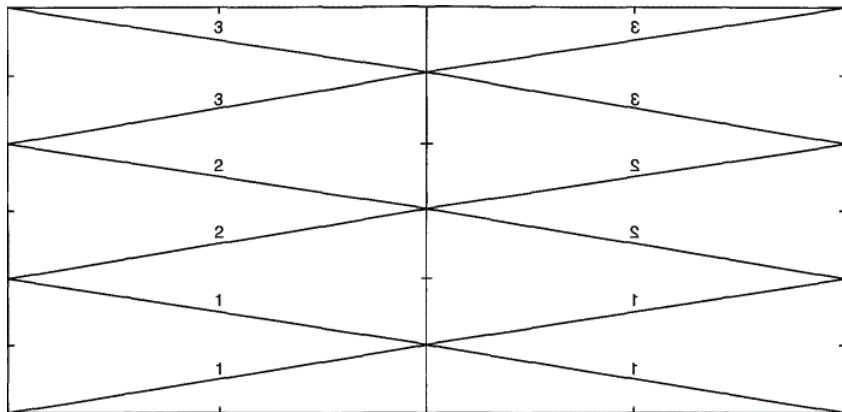
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$$C_1 j'_{\alpha N} \leq E_0(N) / (\omega N^{\frac{3}{2}}) \leq C_2 \quad \forall \alpha, N \quad (\text{DL, Solovej, 2013; Larson, DL, 2016})$$

cp. with fermions in 2D:  $E_0(N) \sim \frac{\sqrt{8}}{3} \omega N^{\frac{3}{2}}$  as  $N \rightarrow \infty$

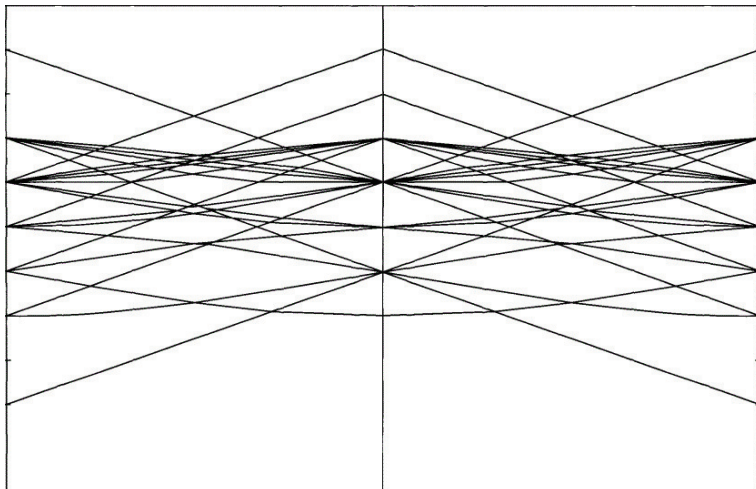
# Anyons in a harmonic trap — exact spectrum



Exact  $N = 2$  spectrum: Leinaas, Myrheim, 1977

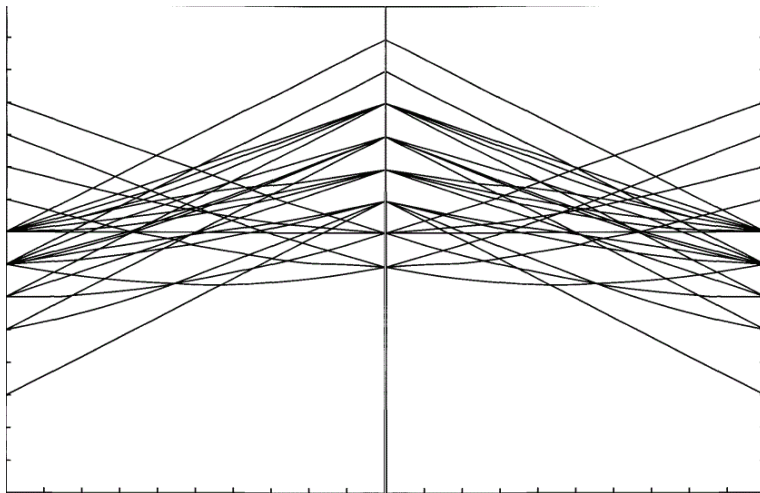


# Anyons in a harmonic trap — exact spectrum



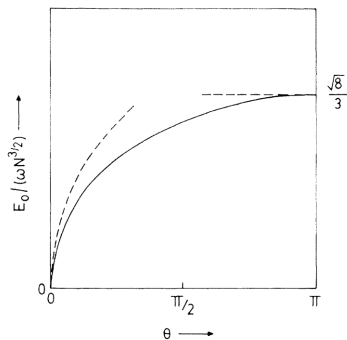
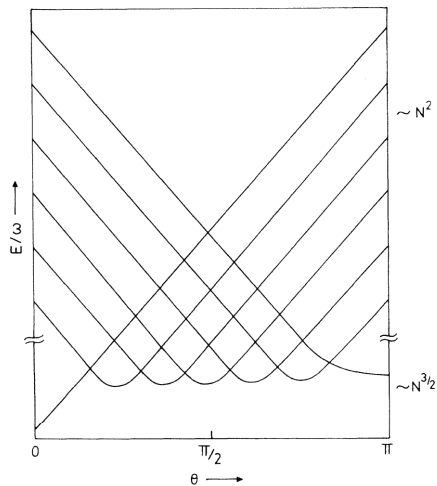
Numerical  $N = 3$  spectrum: Murthy, Law, Brack, Bhaduri, 1991; Sporre, Verbaarschot, Zahed, 1991

# Anyons in a harmonic trap — exact spectrum



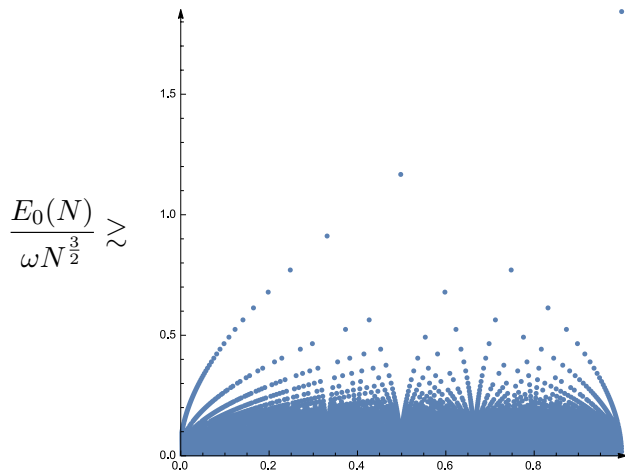
Numerical  $N = 4$  spectrum: Sporre, Verbaarschot, Zahed, 1992

# Anyons in a harmonic trap — qualitative spectrum



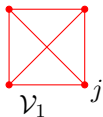
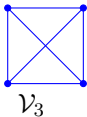
Schematic  $N \rightarrow \infty$  spectrum: Chitra, Sen, 1992 ( $\theta = \alpha\pi$ )

# Anyons in a harmonic trap — current lower bound

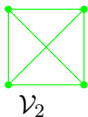


Rigorous lower bound: DL, Solovej, 2013/'14, improved in Larson, DL, 2016

# Upper bounds: many-anyon trial states



$j$

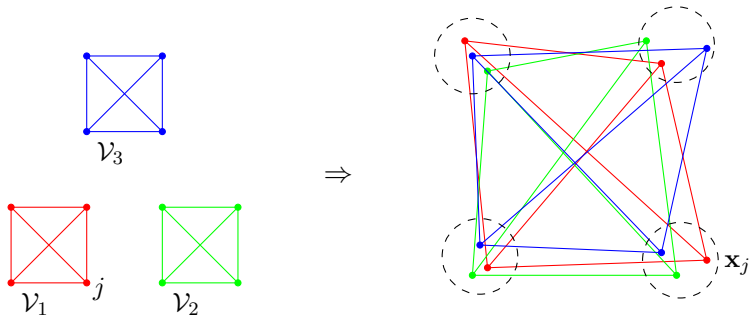


$N = \nu K$  particles arranged into  $\nu$  complete graphs  $(\mathcal{V}_q, \mathcal{E}_q)$

$\alpha = \frac{\mu}{\nu}$  **even:**

$$\psi_\alpha(\mathbf{z}) := \prod_{j < k} |z_{jk}|^{-\alpha} \mathcal{S} \left[ \prod_{q=1}^{\nu} \prod_{(j,k) \in \mathcal{E}_q} (\bar{z}_{jk})^\mu \right] \prod_{k=1}^N \varphi_0(z_k)$$

# Upper bounds: many-anyon trial states

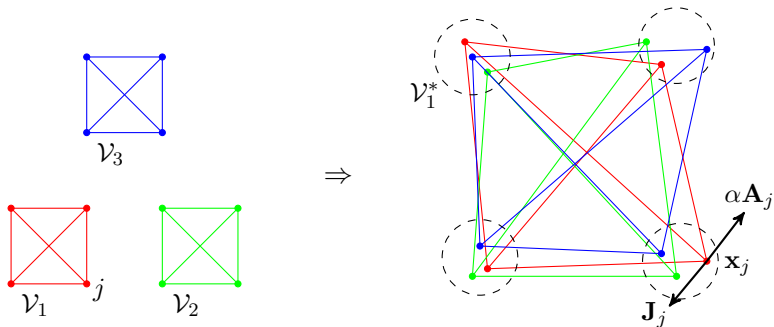


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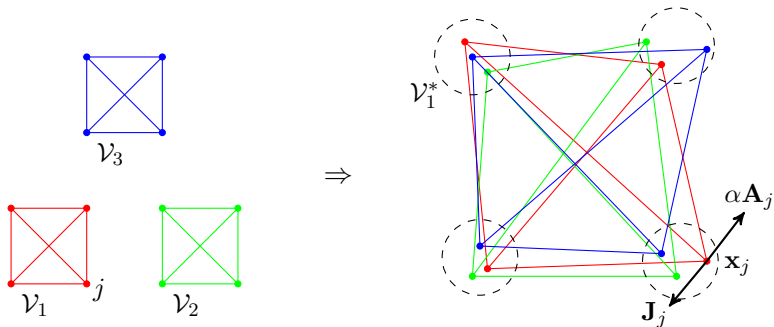


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# Upper bounds: many-anyon trial states



$N = \nu K$  particles arranged into  $\nu$  complete graphs  $(\mathcal{V}_q, \mathcal{E}_q)$

$\alpha = \frac{\mu}{\nu}$  **odd**:

$$\psi_\alpha(\mathbf{z}) := \prod_{j < k} |z_{jk}|^{-\alpha} \mathcal{S} \left[ \prod_{q=1}^{\nu} \prod_{(j,k) \in \mathcal{E}_q} (\bar{z}_{jk})^\mu \bigwedge_{k=0}^{K-1} \varphi_k(z_j \in \mathcal{V}_q) \right]$$

(cf. Moore–Read (Pfaffian), Read–Rezayi)



# References

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Commun. Math. Phys. 322 (2013) 883, [arXiv:1108.5129](https://arxiv.org/abs/1108.5129)

## References ↗ Thanks, and happy birthday, Barry!

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