

# RESULTANT AND DISCRIMINANT OF POLYNOMIALS

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ABSTRACT. This is a collection of classical results about resultants and discriminants for polynomials, compiled mainly for my own use. All results are well-known 19th century mathematics, but I have not investigated the history, and no references are given.

## 1. RESULTANT

**Definition 1.1.** Let  $f(x) = a_n x^n + \cdots + a_0$  and  $g(x) = b_m x^m + \cdots + b_0$  be two polynomials of degrees (at most)  $n$  and  $m$ , respectively, with coefficients in an arbitrary field  $F$ . Their *resultant*  $R(f, g) = R_{n,m}(f, g)$  is the element of  $F$  given by the determinant of the  $(m+n) \times (m+n)$  *Sylvester matrix*  $\text{Syl}(f, g) = \text{Syl}_{n,m}(f, g)$  given by

$$\begin{pmatrix} a_n & a_{n-1} & a_{n-2} & \cdots & 0 & 0 & 0 \\ 0 & a_n & a_{n-1} & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & a_1 & a_0 & 0 \\ 0 & 0 & 0 & \cdots & a_2 & a_1 & a_0 \\ b_m & b_{m-1} & b_{m-2} & \cdots & 0 & 0 & 0 \\ 0 & b_m & b_{m-1} & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & b_1 & b_0 & 0 \\ 0 & 0 & 0 & \cdots & b_2 & b_1 & b_0 \end{pmatrix} \quad (1.1)$$

where the  $m$  first rows contain the coefficients  $a_n, a_{n-1}, \dots, a_0$  of  $f$  shifted  $0, 1, \dots, m-1$  steps and padded with zeros, and the  $n$  last rows contain the coefficients  $b_m, b_{m-1}, \dots, b_0$  of  $g$  shifted  $0, 1, \dots, n-1$  steps and padded with zeros. In other words, the entry at  $(i, j)$  equals  $a_{n+i-j}$  if  $1 \leq i \leq m$  and  $b_{i-j}$  if  $m+1 \leq i \leq m+n$ , with  $a_i = 0$  if  $i > n$  or  $i < 0$  and  $b_i = 0$  if  $i > m$  or  $i < 0$ .

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**Example.** If  $n = 3$  and  $m = 2$ ,

$$R(f, g) = \begin{vmatrix} a_3 & a_2 & a_1 & a_0 & 0 \\ 0 & a_3 & a_2 & a_1 & a_0 \\ b_2 & b_1 & b_0 & 0 & 0 \\ 0 & b_2 & b_1 & b_0 & 0 \\ 0 & 0 & b_2 & b_1 & b_0 \end{vmatrix}.$$

In the exterior algebra over  $F[x]$ , we thus have

$$\begin{aligned} & (x^{m-1}f(x)) \wedge (x^{m-2}f(x)) \wedge \cdots \wedge f(x) \\ & \wedge (x^{n-1}g(x)) \wedge (x^{n-2}g(x)) \wedge \cdots \wedge g(x) \\ & = R(f, g)x^{n+m-1} \wedge x^{n+m-2} \wedge \cdots \wedge 1, \end{aligned} \quad (1.2)$$

which can be used as an alternative form of Definition 1.1.

**Remark 1.2.** Typically, one assumes in Definition 1.1 that  $n = \deg(f)$  and  $m = \deg(g)$ , i.e. that  $a_n \neq 0$  and  $b_m \neq 0$ ; this implies that  $R(f, g)$  is completely determined by the polynomials  $f$  and  $g$  (and it excludes the case  $f = 0$  or  $g = 0$ ). It is, however, convenient to use the slightly more general version above which also allows  $n$  and  $m$  to be regarded as given and then  $R(f, g)$  is defined for all polynomials  $f$  and  $g$  of degrees  $\deg(f) \leq n$ ,  $\deg(g) \leq m$ . (See for example Remarks 1.9 and 3.4.) In this case, we may use the notation  $R_{n,m}(f, g)$  to avoid ambiguity, but usually we write just  $R(f, g)$ .

**Remark 1.3.** It is sometimes convenient to regard  $a_i$  and  $b_j$  as indeterminates, thus regarding  $f$  and  $g$  as polynomials with coefficients in the field  $F(a_n, \dots, a_0, b_m, \dots, b_0)$ . Any formula or argument that requires  $a_n \neq 0$  and  $b_m \neq 0$  then can be used; if this results in, for example, a polynomial identity involving  $R_{n,m}(f, g)$ , then this formula holds also if we substitute any values in  $F$  for  $a_n, \dots, b_0$ .

The resultant is obviously a homogeneous polynomial of degree  $n + m$  with integer coefficients in the coefficients  $a_i, b_j$ . More precisely, we have the following. We continue to use the notations  $a_i$  and  $b_j$  for the coefficients of  $f$  and  $g$ , respectively, as in Definition 1.1.

**Theorem 1.4.**  $R_{n,m}(f, g)$  is a homogeneous polynomial with integer coefficients in the coefficients  $a_i, b_j$ .

- (i)  $R_{n,m}(f, g)$  is homogeneous of degree  $m$  in  $a_n, \dots, a_0$  and degree  $n$  in  $b_m, \dots, b_0$ .
- (ii) If  $a_i$  and  $b_i$  are regarded as having degree  $i$ , then  $R_{n,m}(f, g)$  is homogeneous of degree  $nm$ .

Proofs of this and other results in this section are given in Section 2.

**Remark 1.5.** If we write  $R_{n,m}(f, g)$  as a polynomial with integer coefficients for any field with characteristic 0, such as  $\mathbb{Q}$  or  $\mathbb{C}$ , then the formula is

valid (with the same coefficients) for every field  $F$ . (Because the coefficients are given by expanding the determinant of  $\text{Syl}_{n,m}(f, g)$  and thus have a combinatorial interpretation independent of  $F$ . Of course, for a field of characteristic  $p \neq 0$ , the coefficients may be reduced modulo  $p$ , so they are not unique in that case.)

The main importance of the resultant lies in the following formula, which often is taken as the definition.

**Theorem 1.6.** *Let  $f(x) = a_n x^n + \cdots + a_0$  and  $g(x) = b_m x^m + \cdots + b_0$  be two polynomials of degrees  $n$  and  $m$ , respectively, with coefficients in an arbitrary field  $F$ . Suppose that, in some extension of  $F$ ,  $f$  has  $n$  roots  $\xi_1, \dots, \xi_n$  and  $g$  has  $m$  roots  $\eta_1, \dots, \eta_m$  (not necessarily distinct). Then*

$$R(f, g) = a_n^m b_m^n \prod_{i=1}^n \prod_{j=1}^m (\xi_i - \eta_j). \quad (1.3)$$

Here and below, the roots of a polynomial are listed with multiple roots repeated according to their multiplicities. Thus every polynomial of degree  $n$  has  $n$  roots in some extension field (for example in an algebraically closed extension). Combining Theorem 1.6 and Definition 1.1, we see that the product in (1.3) lies in coefficient field  $F$ , and that it does not depend on the choice of extension field.

Theorem 1.6 implies the perhaps most important result about resultants.

**Corollary 1.7.** *Let  $f$  and  $g$  be two non-zero polynomials with coefficients in a field  $F$ . Then  $f$  and  $g$  have a common root in some extension of  $F$  if and only if  $R(f, g) = 0$ .*

It is here implicit that  $R = R_{n,m}$  with  $n = \deg(f)$  and  $m = \deg(g)$ . Since  $f$  and  $g$  have a common root in some extension of  $F$  if and only if they have a common non-trivial (i.e., non-constant) factor in  $F$ , Corollary 1.7 can also be stated as follows.

**Corollary 1.8.** *Let  $f$  and  $g$  be two non-zero polynomials with coefficients in a field. Then  $f$  and  $g$  have a common non-trivial factor if and only if  $R(f, g) = 0$ . Equivalently,  $f$  and  $g$  are coprime if and only if  $R(f, g) \neq 0$ .*

**Remark 1.9.** If  $n$  and  $m$  are fixed, we can (in the style of projective geometry) say that a polynomial  $f$  with  $\deg(f) \leq n$  has  $n - \deg(f)$  roots at  $\infty$ , and similarly  $g$  has  $m - \deg(g)$  roots at  $\infty$ . Thus  $f$  always has  $n$  roots and  $g$  has  $m$ , in  $F_1 \cup \{\infty\}$  for some extension  $F_1$ . With this interpretation, Corollary 1.7 holds for all polynomials with  $\deg(f) \leq n$  and  $\deg(g) \leq m$ . (Including  $f = 0$  and  $g = 0$  except in the trivial case  $n = m = 0$ .)

We have also the following useful formulas related to (1.3).

**Theorem 1.10.** *Let  $f(x) = a_n x^n + \cdots + a_0$  and  $g(x) = b_m x^m + \cdots + b_0$  be two polynomials with coefficients in an arbitrary field  $F$ .*

(i) Suppose that  $f$  has  $n$  roots  $\xi_1, \dots, \xi_n$  in some extension of  $F$ . Then

$$R(f, g) = a_n^m \prod_{i=1}^n g(\xi_i). \quad (1.4)$$

(ii) Suppose that  $g$  has  $m$  roots  $\eta_1, \dots, \eta_m$  in some extension of  $F$ . Then

$$R(f, g) = (-1)^{nm} b_m^n \prod_{j=1}^m f(\eta_j). \quad (1.5)$$

In (i),  $f$  necessarily has degree  $n$ , while  $\deg(g) \leq m$  may be less than  $m$ . Similarly, in (ii),  $\deg(g) = m$  and  $\deg(f) \leq n$ .

The Sylvester matrix of  $g$  and  $f$  is obtained by permuting the rows of the Sylvester matrix of  $f$  and  $g$ . The number of inversions of the permutation is  $nm$ , and it follows immediately from Definition 1.1 that

$$R_{m,n}(g, f) = (-1)^{nm} R_{n,m}(f, g). \quad (1.6)$$

(If  $\deg(f) = n$  and  $\deg(g) = m$ , (1.6) also follows from (1.3).) This kind of anti-symmetry explains why there is a factor  $(-1)^{nm}$  in (1.5) but not in (1.4).

The factorization properties in Theorem 1.10 can also be expressed as follows.

**Theorem 1.11.** *If  $f_1, f_2$  and  $g$  are polynomials with  $\deg(f_1) \leq n_1$ ,  $\deg(f_2) \leq n_2$  and  $\deg(g) \leq m$ , then*

$$R_{n_1+n_2,m}(f_1 f_2, g) = R_{n_1,m}(f_1, g) R_{n_2,m}(f_2, g). \quad (1.7)$$

*Similarly, if  $f, g_1$  and  $g_2$  are polynomials with  $\deg(f) \leq n$ ,  $\deg(g_1) \leq m_1$  and  $\deg(g_2) \leq m_2$ , then*

$$R_{n,m_1+m_2}(f, g_1 g_2) = R_{n,m_1}(f, g_1) R_{n,m_2}(f, g_2). \quad (1.8)$$

There is, besides (1.6), also another type of symmetry. With  $f(x) = a_n x^n + \dots + a_0$  and  $g(x) = b_m x^m + \dots + b_0$  as above, define the reversed polynomials by

$$f^*(x) = x^n f(1/x) = a_n + a_{n-1}x + \dots + a_0 x^n, \quad (1.9)$$

$$g^*(x) = x^m g(1/x) = b_m + b_{m-1}x + \dots + b_0 x^m. \quad (1.10)$$

**Theorem 1.12.** *With notations as above, for any two polynomials  $f$  and  $g$  with  $\deg(f) \leq n$  and  $\deg(g) \leq m$ ,*

$$R_{n,m}(f^*, g^*) = R_{m,n}(g, f) = (-1)^{nm} R_{n,m}(f, g).$$

As said in Remark 1.2, the standard case for the resultant is when  $\deg(f) = n$  and  $\deg(g) = m$ . We can always reduce to that case by the following formulas.

**Theorem 1.13.** (i) *If  $\deg(g) \leq k \leq m$ , then*

$$R_{n,m}(f, g) = a_n^{m-k} R_{n,k}(f, g). \quad (1.11)$$

(ii) If  $\deg(f) \leq k \leq n$ , then

$$R_{n,m}(f, g) = (-1)^{(n-k)m} b_m^{n-k} R_{k,m}(f, g). \quad (1.12)$$

Note further that if both  $\deg(f) < n$  and  $\deg(g) < m$ , then  $R_{n,m}(f, g) = 0$ . (Because the first column in (1.1) vanishes, or from (1.11) or (1.12).)

**Theorem 1.14.** *Let  $f$  and  $g$  be polynomials with  $\deg(f) \leq n$  and  $\deg(g) \leq m$ . If  $n \geq m$  and  $h$  is any polynomial with  $\deg(h) \leq n - m$ , then*

$$R_{n,m}(f + hg, g) = R_{n,m}(f, g). \quad (1.13)$$

Similarly, if  $n \leq m$  and  $h$  is any polynomial with  $\deg(h) \leq m - n$ , then

$$R_{n,m}(f, g + hf) = R_{n,m}(f, g). \quad (1.14)$$

**Theorem 1.15.** *If  $f$  and  $g$  are polynomials of degrees  $n$  and  $m$  as above, with roots  $\xi_1, \dots, \xi_n$  and  $\eta_1, \dots, \eta_m$  in some extension field, then the resultant  $R(f(x), g(y - x))$  (with  $g(y - x)$  regarded as a polynomial in  $x$ ) is a polynomial in  $y$  of degree  $nm$  with roots  $\xi_i + \eta_j$ ,  $1 \leq i \leq n$  and  $1 \leq j \leq m$ . Further,  $R(f(x), g(y - x))$  has leading coefficient  $a_n^m b_m^n$ . In particular,  $R(f(x), g(y - x))$  is monic if both  $f$  and  $g$  are.*

If  $\deg(f) < n$  or  $\deg(g) < m$ , but not both, then by Theorem 1.13  $R(f(x), g(y - x))$  is still a polynomial whose roots are given by  $\xi_i + \eta_j$ , where  $\xi_i$  runs through the roots of  $f$  and  $\eta_j$  through the roots of  $g$  (with multiplicities). If  $\deg(f) < n$  and  $\deg(g) < m$ , then  $R(f(x), g(y - x)) = 0$ .

**Example 1.16.** Let  $n = 1$  and  $f(x) = ax + c$ . Then, if  $a \neq 0$ ,  $f$  has the single root  $\xi = -c/a$  and (1.4) yields

$$R_{1,m}(f, g) = a^m g(-c/a) = \sum_{j=0}^m b_j (-c)^j a^{m-j}. \quad (1.15)$$

This formula (ignoring the middle expression) holds also if  $a = 0$  (and then simplifies to  $R_{1,m}(c, g) = b_m (-c)^m$ ), for example by Remark 1.3.

**Example 1.17.** Let  $n \geq 0$  and let  $f(x)$  and  $g(x)$  be two polynomials of degree  $\leq n$  with coefficients in a field  $F$ . Further, let  $a, b, c, d \in F$ .

Assume first that  $d \neq 0$ . Then, by Theorem 1.14 and Theorem 1.4,

$$\begin{aligned} R_{n,n}(af + bg, cf + dg) &= R_{n,n}(af + bg - (b/d)(cf + dg), cf + dg) \\ &= R_{n,n}((a - bc/d)f, cf + dg) \\ &= (a - bc/d)^n R_{n,n}(f, cf + dg) \\ &= (a - bc/d)^n R_{n,n}(f, dg) \\ &= (ad - bc)^n R_{n,n}(f, g). \end{aligned} \quad (1.16)$$

The final formula holds in the case  $d = 0$  too, for example by regarding  $d$  as an indeterminate. We may write the result as

$$R_{n,n}(af + bg, cf + dg) = \begin{vmatrix} a & b \\ c & d \end{vmatrix}^n R_{n,n}(f, g). \quad (1.17)$$

**1.1. Trivial cases.** For completeness we allow  $n = 0$  or  $m = 0$  above. The case  $m = n = 0$  is utterly trivial:  $f(x)$  and  $g(x)$  are constants, the Sylvester matrix (1.1) has 0 rows and columns (the empty matrix), and  $R_{0,0}(f, g) = 1$  (by definition).

In the case  $m = 0$ ,  $g(x) = b_0$  is constant. The Sylvester matrix is the diagonal matrix  $b_0 I_n$ , where  $I_n$  is the  $n \times n$  identity matrix, and thus  $R_{n,0}(f, g) = b_0^n$ .

Similarly, or by (1.6), if  $n = 0$ , then  $f(x) = a_0$  and  $R_{0,m}(f, g) = a_0^m$ . (These formulas are special cases of (1.5) and (1.4).)

Note that the formulas (1.3), (1.4), (1.5) in Theorems 1.6 and 1.10 hold also for  $n = 0$  and  $m = 0$ , with empty products defined to be 1.

## 1.2. Another determinant formula.

**Theorem 1.18.** *Let  $f$  and  $g$  be polynomials with  $\deg(f) = n$  and  $\deg(g) \leq m$ . Let, for  $k \geq 0$ ,  $r_k(x) = r_{k,n-1}x^{n-1} + \dots + r_{k,0}$  be the remainder of  $x^k g(x)$  modulo  $f(x)$ , i.e.,  $x^k g(x) = q_k(x)f(x) + r_k(x)$  for some polynomial  $q_k$  and  $\deg(r_k) \leq n - 1$ . Then (where as above  $a_n$  is the leading coefficient of  $f$ ),*

$$R_{n,m}(f, g) = a_n^m \begin{vmatrix} r_{n-1,n-1} & \dots & r_{n-1,0} \\ \vdots & & \vdots \\ r_{0,n-1} & \dots & r_{0,0} \end{vmatrix}. \quad (1.18)$$

**1.3. More on the Sylvester matrix.** The following theorem extends Corollary 1.8, since it in particular says that the Sylvester matrix of  $f$  and  $g$  is singular if and only if their greatest common divisor has degree  $\geq 1$ .

**Theorem 1.19.** *Let  $f$  and  $g$  be two polynomials with  $\deg(f) = n$  and  $\deg(g) = m$ , and let  $h := \text{GCD}(f, g)$  be their greatest common divisor (i.e., a common divisor of highest degree). Then  $\deg(h)$  is the corank of the Sylvester matrix  $\text{Syl}(f, g)$ . In other words, the Sylvester matrix  $\text{Syl}(f, g)$  has rank  $n + m - \deg(h)$ .*

There is also an explicit description of the left null space.

**Theorem 1.20.** *Let  $f$  and  $g$  be two polynomials with  $\deg(f) \leq n$  and  $\deg(g) \leq m$ . Let  $v := (\alpha_{m-1}, \dots, \alpha_0, \beta_{n-1}, \dots, \beta_0)$  be a row vector of dimension  $m + n$ . Then  $v \text{Syl}(f, g) = 0$  if and only if  $pf + qg = 0$ , where  $p(x) = \alpha_{m-1}x^{m-1} + \dots + \alpha_0x^0$  and  $q(x) = \beta_{n-1}x^{n-1} + \dots + \beta_0x^0$ .*

**1.4. Further examples.** If  $n = m = 2$ ,

$$R(f, g) = \begin{vmatrix} a_2 & a_1 & a_0 & 0 \\ 0 & a_2 & a_1 & a_0 \\ b_2 & b_1 & b_0 & 0 \\ 0 & b_2 & b_1 & b_0 \end{vmatrix} = (a_2 b_0 - b_2 a_0)^2 - (a_2 b_1 - b_2 a_1)(a_1 b_0 - b_1 a_0).$$

If  $n = m = 3$ ,

$$R(f, g) = \begin{vmatrix} a_3 & a_2 & a_1 & a_0 & 0 & 0 \\ 0 & a_3 & a_2 & a_1 & a_0 & 0 \\ 0 & 0 & a_3 & a_2 & a_1 & a_0 \\ b_3 & b_2 & b_1 & b_0 & 0 & 0 \\ 0 & b_3 & b_2 & b_1 & b_0 & 0 \\ 0 & 0 & b_3 & b_2 & b_1 & b_0 \end{vmatrix}.$$

More generally, if  $m = n$ , then

$$R(f, g) = \begin{vmatrix} a_n & a_{n-1} & \dots & a_0 & 0 & 0 & \dots & 0 \\ 0 & a_n & \dots & a_1 & a_0 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & a_{n-1} & a_{n-2} & 0 & \dots & a_0 \\ b_n & b_{n-1} & \dots & b_0 & 0 & 0 & \dots & 0 \\ 0 & b_n & \dots & b_1 & b_0 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & b_{n-1} & b_{n-2} & 0 & \dots & b_0 \end{vmatrix}.$$

## 2. PROOFS

We begin by noting that, as said above, (1.6) holds by a permutation of the rows in (1.1).

*Proof of Theorem 1.4.* It is obvious that  $R_{n,m}(f, g)$  is a homogeneous polynomial with integer coefficients in the coefficients  $a_i, b_j$ , of total degree  $m+n$ . Moreover, to replace  $a_i$  by  $ta_i$  and  $b_j$  by  $ub_j$  in the Sylvester matrix means that we multiply each of the first  $m$  rows by  $t$  and each of the last  $n$  by  $u$ , and thus the determinant  $R_{n,m}(f, g)$  by  $t^m u^n$ , which shows (i). (It is here best to treat  $a_i, b_j, t$  and  $u$  as different indeterminates, and do the calculations in  $F(a_0, \dots, a_n, b_0, \dots, b_m, t, u)$ .)

Similarly, (ii) follows because to replace each  $a_i$  by  $t^i a_i$  and each  $b_j$  by  $t^j b_j$  in  $\text{Syl}_{n,m}(f, g)$  yields the same result as multiplying the  $i$ :th row by  $t^{n+i}$  for  $i = 1, \dots, m$  and by  $t^i$  for  $i = m+1, \dots, m+n$ , and the  $j$ :th column by  $t^{-j}$ ; this multiplies the determinant  $R_{n,m}(f, g)$  by  $t^{mn + \sum_1^{n+m} i - \sum_1^{n+m} j} = t^{nm}$ .  $\square$

*Proof of Theorem 1.14.* First, assume  $n \geq m$  and  $\deg(h) \leq n - m$ . The Sylvester matrix  $\text{Syl}_{n,m}(f + hg, g)$  is obtained from  $\text{Syl}_{n,m}(f, g)$  by row operations that do not change its determinant  $R_{n,m}$ . (If  $h(x) = c_l x^l + \dots + c_0$ , add  $c_k$  times row  $n + i - k$  to row  $i$ , for  $i = 1, \dots, m$  and  $k = 0, \dots, l$ .)

The second part follows similarly, or by the first part and (1.6).  $\square$

*Proof of Theorem 1.13.* (i). Suppose that  $\deg(g) < m$ , so  $b_m = 0$ . Then the first column of the Sylvester matrix (1.1) is 0 except for its first element  $a_n$ , and the submatrix of  $\text{Syl}_{n,m}(f, g)$  obtained by deleting the first row

and column equals  $\text{Syl}_{n,m-1}(f, g)$ . Hence, by expanding the determinant  $R_{n,m}(f, g)$  along the first column,

$$R_{n,m}(f, g) = a_n R_{n,m-1}(f, g).$$

The formula (1.11) now follows for  $k = m, m-1, \dots, 0$  by backwards induction.

(ii). By (1.6) and part (i),

$$\begin{aligned} R_{n,m}(f, g) &= (-1)^{nm} R_{m,n}(g, f) = (-1)^{nm} b_m^{n-k} R_{m,k}(g, f) \\ &= (-1)^{nm-km} b_m^{n-k} R_{k,m}(f, g). \quad \square \end{aligned}$$

*Proof of Theorems 1.6 and 1.10.* We prove these theorems together by induction on  $n + m$ .

Assume that  $\deg(f) = n$  and  $\deg(g) = m$ . Then, at least in some extension field,  $f(x) = a_n \prod_{i=1}^n (x - \xi_i)$  and  $g(x) = b_m \prod_{j=1}^m (x - \eta_j)$ , and (1.3) is equivalent to both (1.4) and (1.5). Assume by induction that these formulas hold for all smaller values of  $n + m$  (and all polynomials of these degrees).

*Case 1.* First, suppose  $0 < n = \deg(f) \leq m = \deg(g)$ . Divide  $g$  by  $f$  to obtain polynomials  $q$  and  $r$  with  $g = qf + r$  and  $\deg(r) < \deg(f) = n$ . Note that

$$\deg(q) = \deg(qf) - \deg(f) = \deg(g - r) - n = m - n.$$

By Theorem 1.14,

$$R_{n,m}(f, g) = R_{n,m}(f, g - qf) = R_{n,m}(f, r). \quad (2.1)$$

*Case 1a.* Suppose that  $r \neq 0$  and let  $k := \deg(r) \geq 0$ . By Theorem 1.13 and the inductive hypothesis in the form (1.4),

$$R_{n,m}(f, r) = a_n^{m-k} R_{n,k}(f, r) = a_n^{m-k} a_n^k \prod_{i=1}^n r(\xi_i) = a_n^m \prod_{i=1}^n g(\xi_i),$$

since  $g(\xi_i) = q(\xi_i)f(\xi_i) + r(\xi_i) = r(\xi_i)$ , which verifies (1.4) and thus (1.3).

*Case 1b.* Suppose now that  $r = 0$ , so  $g = qf$ , but  $n > 0$ . Then  $\text{Syl}_{n,m}(f, r) = \text{Syl}_{n,m}(f, 0)$  has the last  $n$  rows identically 0, so  $R_{n,m}(f, r) = 0$ , and  $R_{n,m}(f, g) = 0$  by (2.1). Further,  $g(\xi_1) = q(\xi_1)f(\xi_1) = 0$  so  $\xi_1$  is a root of  $g$  too, and the right hand side of (1.3) vanishes too. Hence, (1.3) holds.

*Case 2.* Suppose that  $n = 0$ . As remarked in Subsection 1.1,  $R_{0,m}(f, g) = a_0^m$ , which agrees with (1.3). (This includes the case  $n = m = 0$  that starts the induction.)

*Case 3.* Suppose that  $m = \deg(g) < n = \deg(f)$ . This is reduced to Case 1 or 2 by (1.6).

This completes the induction, and the proof of Theorem 1.6. It remains to verify Theorem 1.10(i),(ii) also in the cases  $\deg(g) < m$  and  $\deg(f) < n$ , respectively. This follows by Theorem 1.13, as in the proof of Case 1a above, or by Remark 1.3.  $\square$



*Proof of Corollaries 1.7 and 1.8.* Immediate from (1.3), using the fact on common factors stated before Corollary 1.8.  $\square$

*Proof of Theorem 1.11.* By the argument in Remark 1.3, we may assume that  $\deg(g) = m$ , so  $g$  has  $m$  roots in some extension of  $F$ , and then (1.7) follows from (1.5). Similarly, (1.8) follows from (1.4).  $\square$

*Proof of Theorem 1.12.* The Sylvester matrix  $\text{Syl}_{n,m}(f^*, g^*)$  is obtained from  $\text{Syl}_{m,n}(g, f)$  by reversing the order of both rows and columns, and thus they have the same determinant.  $\square$

*Proof of Theorem 1.15.* We have  $g(x) = b_m \prod_{j=1}^m (x - \eta_j)$  and thus

$$g(y - x) = b_m \prod_{j=1}^m (y - x - \eta_j) = (-1)^m b_m \prod_{j=1}^m (x - y + \eta_j).$$

Thus, by (1.4) (or Theorem 1.6),

$$\begin{aligned} R(f(x), g(y - x)) &= a_n^m (-1)^{nm} b_m^n \prod_{i=1}^n \prod_{j=1}^m (\xi_i - y + \eta_j) \\ &= a_n^m b_m^n \prod_{i=1}^n \prod_{j=1}^m (y - \xi_i - \eta_j). \end{aligned} \quad \square$$

*Proof of Theorem 1.18.* We work in the exterior algebra over  $F(x)$ , using (1.2). Let  $D$  be the determinant in (1.18); thus

$$r_{n-1}(x) \wedge \cdots \wedge r_0(x) = Dx^{n-1} \wedge \cdots \wedge x^0. \quad (2.2)$$

For  $k \leq n - 1$ ,  $x^k g(x) - r_k(x) = q_k(x) f(x)$  has degree  $\leq n + m - 1$  and is thus a linear combination of  $f(x)$ ,  $xf(x)$ ,  $\dots$ ,  $x^{m-1}f(x)$ ; hence, using (2.2) and (1.2) (with  $g(x)$  replaced by 1),

$$\begin{aligned} &(x^{m-1}f(x)) \wedge \cdots \wedge f(x) \wedge (x^{n-1}g(x)) \wedge \cdots \wedge g(x) \\ &= (x^{m-1}f(x)) \wedge \cdots \wedge f(x) \wedge r_{n-1}(x) \wedge \cdots \wedge r_0(x) \\ &= (x^{m-1}f(x)) \wedge \cdots \wedge f(x) \wedge Dx^{n-1} \wedge \cdots \wedge x^0 \\ &= DR_{n,m}(f, 1)x^{n+m-1} \wedge \cdots \wedge 1. \end{aligned}$$

Consequently, using (1.2) again,

$$R_{n,m}(f, g) = DR_{n,m}(f, 1).$$

Finally, by Theorem 1.13, or by (1.4),

$$R_{n,m}(f, 1) = a_n^m R_{n,0}(f, 1) = a_n^m. \quad \square$$

*Proof of Theorem 1.19.* Note first that  $\text{Syl}_{n,m}(f, g)$  and  $\text{Syl}_{m,n}(g, f)$  have the same rank and corank, so we may interchange  $f$  and  $g$ . We may thus assume  $n \geq m$ .

In this case, we may as in the proof of Theorem 1.14 for any polynomial  $q$  with  $\deg(q) \leq n - m$  obtain  $\text{Syl}_{n,m}(f - qg, g)$  from  $\text{Syl}_{n,m}(f, g)$  by row

operations that do not change the rank and corank. In particular, we may replace  $f$  by the remainder  $r$  obtained when dividing  $f$  by  $g$ . Then  $\deg(r) < m \leq n$  and the first column of  $\text{Syl}_{n,m}(r, g)$  has a single non-zero element,  $b_m$  in row  $m + 1$ . We may thus delete the first column and the  $m + 1$ :th row without changing the corank, and this yields  $\text{Syl}_{n-1,m}(r, g)$ . (Cf. the proof of Theorem 1.13.) Repeating, we see that if  $r \neq 0$  and  $k = \deg(r)$ , then  $\text{Syl}_{n,m}(f, g)$  has the same corank as  $\text{Syl}_{k,m}(r, g)$  and  $\text{Syl}_{m,k}(g, r)$ . We repeat from the start, by dividing  $g$  by  $r$  and so on; this yields the Euclidean algorithm for finding the GCD  $h$ , and we finally end up with the Sylvester matrix  $\text{Syl}_{k,l}(0, h)$ , for some  $k \geq 0$  and  $l = \deg(h)$ , which evidently has corank  $l$  since the first  $l$  rows are 0 and the last  $k$  are independent, as is witnessed by the lower left  $k \times k$  minor which is triangular.

(Alternatively, Theorem 1.19 follows easily from Theorem 1.20.)  $\square$

*Proof of Theorem 1.20.* Let  $v\text{Syl}_{n,m}(f, g) = (\gamma_1, \dots, \gamma_{n+m})$ . Then, for  $j = 1, \dots, m + n$ , with  $a_k$  and  $b_k$  defined for all integers  $k$  as at the end of Definition 1.1,

$$\gamma_j = \sum_{i=1}^m \alpha_{m-i} a_{n+i-j} + \sum_{i=m+1}^{m+n} \beta_{m+n-i} b_{i-j},$$

which equals the coefficient of  $x^{m+n-j}$  in  $pf + qg$ .  $\square$

### 3. DISCRIMINANT

Several different normalizations of the discriminant of a polynomial are used by different authors, differing in sign and in factors that are powers of the leading coefficient of the polynomial. One natural choice is the following.

**Definition 3.1.** Let  $f$  be a polynomial of degree  $n \geq 1$  with coefficients in an arbitrary field  $F$ . Let  $F_1$  be an extension of  $F$  where  $f$  splits, and let  $\xi_1, \dots, \xi_n$  be the roots of  $f$  in  $F_1$  (taken with multiplicities). Then the (normalized) *discriminant* of  $f$  is

$$\Delta_0(f) := \prod_{1 \leq i < j \leq n} (\xi_i - \xi_j)^2. \quad (3.1)$$

Note that such a field  $F_1$  always exists, for example an algebraic closure of  $F$  will do, and that, e.g. by Theorem 3.3 below,  $\Delta_0(f) \in F$  and does not depend on the choice of  $F_1$ . (This also follows from the fact that  $\Delta_0(f)$  is a symmetric polynomial in  $\xi_1, \dots, \xi_n$ , and thus by a well-known fact a polynomial in the elementary symmetric polynomials  $\sigma_k(\xi_1, \dots, \xi_n) = (-1)^k a_{n-k}/a_n$ ,  $k = 1, \dots, n$ .)

Note further that  $\Delta_0(cf) = \Delta_0(f)$  for any constant  $c \neq 0$ .

However, while the definition of  $\Delta_0$  is simple and natural,  $\Delta_0$  is particularly useful for monic polynomials. In general, it is often more convenient to use the following version, which by Theorem 3.5 below is a polynomial in

the coefficients of  $f$ . (This is the most common version of the discriminant. The names 'normalized' and 'standard' are my own.)

**Definition 3.2.** Let  $f = a_n x^n + \cdots + a_0$  be a polynomial of degree  $n \geq 1$  with coefficients in an arbitrary field  $F$ . Then the (standard) *discriminant* of  $f$  is

$$\Delta(f) := a_n^{2n-2} \Delta_0(f) = a_n^{2n-2} \prod_{1 \leq i < j \leq n} (\xi_i - \xi_j)^2, \quad (3.2)$$

where as above  $\xi_1, \dots, \xi_n$  are the roots of  $f$  in some extension  $F_1$  of  $F$ .

The discriminant can also be defined as the resultant of  $f$  and its derivative  $f'$ , with a suitable normalizing factor, as is stated more precisely in the following theorem.

**Theorem 3.3.** Let  $f = a_n x^n + \cdots + a_0$  be a polynomial of degree  $n \geq 1$  with coefficients in an arbitrary field  $F$ . Then the discriminant of  $f$  is given by

$$\Delta(f) = (-1)^{n(n-1)/2} a_n^{-1} R(f, f') \quad (3.3)$$

and thus

$$\Delta_0(f) = (-1)^{n(n-1)/2} a_n^{-(2n-1)} R(f, f'). \quad (3.4)$$

**Remark 3.4.** To be precise, we should write  $R_{n,n-1}(f, f')$  in this theorem. Typically,  $\deg(f') = \deg(f) - 1 = n - 1$  and we may then write  $R(f, f')$  without any ambiguity. (For example, always when  $F$  has characteristic 0, such as  $\mathbb{R}$  and  $\mathbb{C}$ .) However, if  $F$  has characteristic  $p > 0$  and  $p|n$ , then  $\deg(f') < n - 1$ . In this case, if  $\deg(f') = k$ , then by Theorem 1.13,  $R_{n,n-1}(f, f') = a_n^{n-1-k} R_{n,k}(f, f')$  and thus

$$\Delta(f) = (-1)^{n(n-1)/2} a_n^{n-k-2} R_{n,k}(f, f'), \quad (3.5)$$

$$\Delta_0(f) = (-1)^{n(n-1)/2} a_n^{-n-k} R_{n,k}(f, f'). \quad (3.6)$$

*Proof of Theorem 3.3.* Let  $f$  have roots  $\xi_1, \dots, \xi_n$  (in some extension field). Then  $f(x) = a_n \prod_{i=1}^n (x - \xi_i)$ , and thus  $f'(\xi_i) = a_n \prod_{j \neq i} (\xi_i - \xi_j)$ . Consequently, by (1.4),

$$\begin{aligned} R_{n,n-1}(f, f') &= a_n^{n-1+n} \prod_{i=1}^n \prod_{j \neq i} (\xi_i - \xi_j) = a_n^{2n-1} \prod_{1 \leq i < j \leq n} (\xi_i - \xi_j)(\xi_j - \xi_i) \\ &= (-1)^{n(n-1)/2} a_n^{2n-1} \Delta_0(f) = (-1)^{n(n-1)/2} a_n \Delta(f). \quad \square \end{aligned}$$

**Theorem 3.5.**  $\Delta(f)$  is a homogeneous polynomial with integer coefficients in the coefficients  $a_0, \dots, a_n$  of  $f$ . Further, with  $n = \deg(f)$ ,

- (i)  $\Delta(f)$  is homogeneous of degree  $2n - 2$  in  $a_0, \dots, a_n$ .
- (ii) If  $a_i$  is regarded as having degree  $i$ , then  $\Delta(f)$  is homogeneous of degree  $n(n - 1)$ .

*Proof.* The derivative  $f'(x) = b_{n-1}x^{n-1} + \cdots + b_0$  with  $b_j = (j + 1)a_{j+1}$ . Hence all entries of the Sylvester matrix  $\text{Syl}_{n,n-1}(f, f')$  are integer multiples of  $a_0, \dots, a_n$ , and thus  $R(f, f')$  is a homogeneous polynomial with integer

coefficients in  $a_0, \dots, a_n$ . Moreover, the only (possibly) non-zero entries in the first column of  $\text{Syl}_{n,n-1}(f, f')$  are  $a_n$  and  $b_{n-1} = na_n$ ; hence  $R(f, f')$  is a multiple of  $a_n$ , and  $\Delta(f, f')$  is also such a polynomial by (3.3).

Since  $R(f, f')$  has total degree  $n + n - 1$ , this also shows that  $\Delta(f)$  has degree  $2n - 2$ . Alternatively, this follows from Definition 3.2, since replacing  $a_i$  by  $ta_i$  for all  $i$  does not change the roots  $\xi_1, \dots, \xi_n$  of  $f$ .

For (ii), note that if  $f_t(x) = \sum_{i=0}^n a_i t^i x^i$ , for an indeterminate  $t$ , then  $f_t$  has roots  $t^{-1}\xi_1, \dots, t^{-1}\xi_n$ , and Definition 3.2 yields

$$\Delta(f_t) = (t^n a_n)^{2n-2} t^{-n(n-1)} \prod_{1 \leq i < j \leq n} (\xi_i - \xi_j)^2 = t^{n(n-1)} \Delta(f).$$

(Alternatively, (ii) is easily derived from (3.3) and Theorem 1.4(i),(ii).)  $\square$

**Remark 3.6.** As for the resultant, see Remark 1.5, the integer coefficients of  $\Delta(f)$  do not depend on the field  $F$  (except for the obvious non-uniqueness when  $\text{char}(F) \neq 0$ ).

**Theorem 3.7.** *Let  $f = a_n x^n + \dots + a_0$  be a polynomial of degree  $n \geq 1$  with coefficients in an arbitrary field  $F$ , and let the roots of  $f'(x) = 0$  be  $\eta_1, \dots, \eta_{n-1}$  (in some extension of  $F$ ). Then*

$$\Delta(f) = (-1)^{n(n-1)/2} n^n a_n^{n-1} \prod_{j=1}^{n-1} f(\eta_j), \quad (3.7)$$

and thus

$$\Delta_0(f) = (-1)^{n(n-1)/2} n^n a_n^{-(n-1)} \prod_{j=1}^{n-1} f(\eta_j). \quad (3.8)$$

*Proof.* By Theorem 3.3 and (1.5), since  $f'(x) = na_n x^{n-1} + \dots$   $\square$

The roots  $\eta_j$  of  $f'$  are the *stationary points* of  $f$ , and the function values  $f(\eta_j)$  there the *stationary values*. Thus, assuming for simplicity  $a_n = 1$ , Theorem 3.7 says that the discriminant is a constant times the product of the stationary values.

The perhaps most important use of the discriminant is the following immediate consequence of Definitions 3.1 and 3.2.

**Theorem 3.8.** *Let  $f$  be a polynomial of degree  $n \geq 1$  with coefficients in a field  $F$ . Then*

$$\Delta_0(f) = 0 \iff \Delta(f) = 0 \iff f \text{ has a double root in some extension of } F.$$

*Equivalently,  $f$  has  $n$  distinct roots in some extension field if and only if the discriminant is  $\neq 0$ .*

By Theorem 3.5,  $\Delta(f)$  for  $f$  of a given degree  $n \geq 1$  is a polynomial in  $a_0, \dots, a_n$ ; we can apply this polynomial also when  $a_n = 0$ , i.e., to polynomials  $f$  of degree  $< n$ . To avoid confusion, we denote this polynomial in the coefficients  $a_0, \dots, a_n$  by  $\Delta^{(n)}(f)$ , defined for all polynomials  $f = a_n x^n + \dots + a_0$

of degree  $\leq n$ . Thus  $\Delta^{(n)}(f) = \Delta(f)$  when  $a_n \neq 0$ . This polynomial has a simple symmetry.

**Theorem 3.9.** *If  $f = a_n x^n + \cdots + a_0$  is a polynomial of degree  $\leq n$  and  $f^*$  is defined by (1.9), then*

$$\Delta^{(n)}(f^*) = \Delta^{(n)}(f). \quad (3.9)$$

*In particular, if  $f$  has degree  $n$  and  $a_0 \neq 0$ , then*

$$\Delta(f^*) = \Delta(f). \quad (3.10)$$

*Proof.* Suppose first that  $a_n \neq 0$  and  $a_0 \neq 0$ . Let  $f$  have roots  $\xi_1, \dots, \xi_n$  in some extension field; these roots are non-zero and  $f^*$  has the roots  $\xi_1^{-1}, \dots, \xi_n^{-1}$  and leading coefficient  $a_0 = a_n \xi_1 \cdots \xi_n$ . Hence, by Definition 3.2,

$$\Delta(f^*) = a_0^{2n-2} \prod_{1 \leq i < j \leq n} (\xi_i^{-1} - \xi_j^{-1})^2 = a_n^{2n-2} \prod_{1 \leq i < j \leq n} (\xi_j - \xi_i)^2 = \Delta(f),$$

which proves (3.10). In particular, this holds if we regard  $a_0, \dots, a_n$  as indeterminates, and thus (3.9) follows in general because both sides are polynomials in  $a_0, \dots, a_n$ .  $\square$

We give another simple consequence of the definition.

**Theorem 3.10.** *If  $f$  and  $g$  are polynomials of degrees  $n$  and  $m \geq 1$ , then*

$$\Delta(fg) = \Delta(f)\Delta(g)R(f, g)^2. \quad (3.11)$$

*Proof.* This follows from Definition 3.2 and Theorem 1.6.

Alternatively, by Theorems 1.11, 1.14 and 1.11 again,

$$\begin{aligned} R(fg, (fg)') &= R(fg, f'g + fg') = R(f, f'g + fg')R(g, f'g + fg') \\ &= R(f, f'g)R(g, fg') = R(f, f')R(f, g)R(g, f)R(g, g'), \end{aligned}$$

and the result follows by (3.3) and (1.6).  $\square$

As said above,  $\Delta^{(n)}(f) = \Delta(f)$  when  $a_n \neq 0$ . In the opposite case  $a_n = 0$ , we have the following simple formula, which can be regarded as a relation between discriminants for polynomials of different degrees. (See the examples in (4.1) and (4.3), or, more complicated, in Examples 4.7 and 4.3.)

**Theorem 3.11.** *If  $a_n = 0$ , then*

$$\Delta^{(n)}(f) = a_{n-1}^2 \Delta^{(n-1)}(f). \quad (3.12)$$

*In particular, if  $a_n = a_{n-1} = 0$ , then  $\Delta^{(n)}(f) = 0$ .*

*Proof.* Assume first  $a_{n-1} \neq 0$  and  $a_0 \neq 0$ . Let  $g(x) = a_{n-1}x^{n-1} + \cdots + a_0$  (this is the same as  $f(x)$ , but we regard it as a polynomial of degree  $n-1$ ), and define  $f^*$  by (1.9) and  $g^*$  by (1.10), with  $m$  replaced by  $n-1$ . Then  $f^*(x) = xg^*(x)$ , where  $f^*$  has degree  $n$  and  $g^*(x)$  degree  $n-1$ . Trivially,

$\Delta(x) = 1$ , and Example 1.16 shows  $R_{1,n-1}(x, g^*) = g^*(0) = a_{n-1}$ . Hence, Theorems 3.9 and 3.10 yield

$$\Delta^{(n)}(f) = \Delta(f^*) = \Delta(xg^*) = \Delta(g^*)a_{n-1}^2 = a_{n-1}^2\Delta^{(n-1)}(g),$$

which shows (3.12) in the case  $a_{n-1}, a_0 \neq 0$ . The general case follows, because both sides of (3.12) are polynomials in  $a_0, \dots, a_{n-1}$ . (An alternative proof without using inversion and Theorem 3.9 is given in Appendix A.)  $\square$

**Remark 3.12.** If we fix  $n \geq 1$  and as in Remark 1.9 say that a polynomial  $f$  with  $\deg(f) \leq n$  has  $n - \deg(f)$  roots at  $\infty$ , then Theorems 3.8 and 3.11 show that  $\Delta^{(n)}(f) = 0$  if and only if  $f$  has a double root (or more precisely, a multiple root) in  $F_1 \cup \{\infty\}$  for some extension  $F_1$  (and in any extension where  $f$  splits).

**Remark 3.13.** If  $f = a_n x^n + \dots + a_0$  is a polynomial of degree  $n$  with non-zero  $a_0, \dots, a_{n-1}$ , define

$$\Delta^*(f) := \prod_{i=0}^{n-1} a_i^{-2} \cdot \Delta(f). \quad (3.13)$$

Theorem 3.11 shows that if we, more generally, for  $f$  of degree  $\leq n$  define

$$\Delta^{(n)*}(f) := \prod_{i=0}^{n-1} a_i^{-2} \cdot \Delta^{(n)}(f), \quad (3.14)$$

then, whenever  $\deg(f) < n$ ,

$$\Delta^{(n)*}(f) = \Delta^{(n-1)*}(f). \quad (3.15)$$

It is here best to regard the coefficients  $a_i$  as indeterminates; then  $\Delta^{(n)*}(f)$  is a Laurent polynomial in  $a_0, \dots, a_n$ , and (3.15) shows that there is a single Laurent series  $\mathbf{\Delta}^*$  in the infinitely many indeterminates  $a_0, a_1, \dots$  such that if  $f$  is a polynomial of any degree  $n \geq 1$ , then  $\Delta^*(f)$  is obtained from this series  $\mathbf{\Delta}^*$  by substituting  $a_i = 0$  for  $i > n$ . (This has to be done with some care since also negative powers appear, but each term containing a negative power  $a_i^{-\alpha_i}$  with  $i > n$  contains also a positive power  $a_j^{\alpha_j}$  with  $j > i > n$ , so there is no real problem; we simply delete all terms containing some non-zero power of some  $a_i$  with  $i > n$ .) We may regard  $\mathbf{\Delta}^*$  as a universal discriminant. (Or as a mere curiosity.)

It follows from Theorem 3.5 that the monomials that appear in  $\mathbf{\Delta}^*$  have integer coefficients and all have the form  $\prod_{i=0}^k a_i^{\alpha_i}$  with  $\sum_i \alpha_i = -2$  and  $\sum_i i\alpha_i = 0$ ; except for the term  $a_0^{-2}$ , they further have  $\alpha_k > 0$  if  $k$  is chosen minimal. See Example 4.11.

#### 4. EXAMPLES OF DISCRIMINANTS

**Example 4.1** ( $n = 1$ ). If  $f = ax + b$ , then trivially  $\Delta(f) = \Delta(f_0) = 1$ .

**Example 4.2** ( $n = 2$ ). If  $f(x) = ax^2 + bx + c$ , then Theorem 3.3 yields

$$\Delta(f) = -a^{-1}R(f, f') = -a^{-1} \begin{vmatrix} a & b & c \\ 2a & b & 0 \\ 0 & 2a & b \end{vmatrix} = b^2 - 4ac \quad (4.1)$$

and

$$\Delta_0(f) = a^{-2}\Delta(f) = \frac{b^2 - 4ac}{a^2} = \left(\frac{b}{a}\right)^2 - 4\frac{c}{a}. \quad (4.2)$$

Note that the standard formula for finding the roots of  $ax^2 + bx + c = 0$  can be written

$$x_{\pm} = \frac{b}{2a} \pm \frac{1}{2}\sqrt{\Delta_0(f)} = \frac{b \pm \sqrt{\Delta(f)}}{2a}.$$

**Example 4.3** ( $n = 3$ ). If  $f(x) = ax^3 + bx^2 + cx + d$ , then Theorem 3.3 yields

$$\begin{aligned} \Delta(f) &= -a^{-1}R(f, f') = -a^{-1} \begin{vmatrix} a & b & c & d & 0 \\ 0 & a & b & c & d \\ 3a & 2b & c & 0 & 0 \\ 0 & 3a & 2b & c & 0 \\ 0 & 0 & 3a & 2b & c \end{vmatrix} \\ &= b^2c^2 - 4ac^3 - 4b^3d + 18abcd - 27a^2d^2. \end{aligned} \quad (4.3)$$

**Example 4.4** ( $n = 3$ ). If  $f(x) = x^3 + bx^2 + cx + d$  is monic, (4.3) simplifies to

$$\Delta_0(f) = \Delta(f) = -R(f, f') = b^2c^2 - 4c^3 - 4b^3d + 18bcd - 27d^2.$$

**Example 4.5** ( $n = 3$ ). For  $f(x) = x^3 + px + q$ , without second degree term, (4.3) simplifies further to

$$\Delta_0(f) = \Delta(f) = -4p^3 - 27q^2.$$

**Example 4.6** ( $n = 3$ ). The polynomial  $f(x) = 4x^3 - g_2x - g_3$  is important in the theory of the Weierstrass elliptic functions. Its discriminant is, by (4.3),

$$\Delta(4x^3 - g_2x - g_3) = 16g_2^3 - 432g_3^2.$$

Equivalently,  $\Delta_0(4x^3 - g_2x - g_3) = \frac{1}{16}g_2^3 - \frac{27}{16}g_3^2$ . In this context, it is customary to change the normalization and define the discriminant as

$$16\Delta_0(f) = \frac{1}{16}\Delta(f) = g_2^3 - 27g_3^2.$$

**Example 4.7** ( $n = 4$ ). If  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , then Theorem 3.3 yields

$$\begin{aligned} \Delta(f) &= a^{-1}R(f, f') = a^{-1} \begin{vmatrix} a & b & c & d & e & 0 & 0 \\ 0 & a & b & c & d & e & 0 \\ 0 & 0 & a & b & c & d & e \\ 4a & 3b & 2c & d & 0 & 0 & 0 \\ 0 & 4a & 3b & 2c & d & 0 & 0 \\ 0 & 0 & 4a & 3b & 2c & d & 0 \\ 0 & 0 & 0 & 4a & 3b & 2c & d \end{vmatrix} \\ &= b^2c^2d^2 - 4b^2c^3e - 4b^3d^3 + 18b^3cde - 27b^4e^2 - 4ac^3d^2 + 16ac^4e \\ &\quad + 18abcd^3 - 80abc^2de - 6ab^2d^2e + 144ab^2ce^2 - 27a^2d^4 \\ &\quad + 144a^2cd^2e - 128a^2c^2e^2 - 192a^2bde^2 + 256a^3e^3. \end{aligned} \quad (4.4)$$

**Example 4.8** ( $n = 4$ ). If  $f(x) = x^4 + bx^3 + cx^2 + dx + e$  is monic, then (4.4) simplifies slightly to

$$\begin{aligned} \Delta_0(f) &= \Delta(f) = R(f, f') \\ &= b^2c^2d^2 - 4b^2c^3e - 4b^3d^3 + 18b^3cde - 27b^4e^2 - 4c^3d^2 \\ &\quad + 16c^4e + 18bcd^3 - 80bc^2de - 6b^2d^2e + 144b^2ce^2 \\ &\quad - 27d^4 + 144cd^2e - 128c^2e^2 - 192bde^2 + 256e^3. \end{aligned}$$

**Example 4.9** ( $n = 4$ ). If  $f(x) = x^4 + px^2 + qx + r$  is monic and without third degree term, then (4.4) simplifies further to

$$\Delta_0(f) = \Delta(f) = -4p^3q^2 - 27q^4 + 16p^4r + 144pq^2r - 128p^2r^2 + 256r^3.$$

**Example 4.10.** Let  $f(x) = x^n + px + q$  for some  $n \geq 2$ . Then  $f'(x) = nx^{n-1} + p$  and, using Theorem 1.14 with  $h(x) = -x/n$ , Theorem 1.13 and Example 1.16, at least if  $F$  has characteristic 0,

$$\begin{aligned} (-1)^{n(n-1)/2}\Delta(f) &= R_{n,n-1}(f, f') = R_{n,n-1}(x^n + px + q, nx^{n-1} + p) \\ &= R_{n,n-1}(p(1 - 1/n)x + q, nx^{n-1} + p) \\ &= (-1)^{(n-1)^2}n^{n-1}R_{1,n-1}(p(1 - 1/n)x + q, nx^{n-1} + p) \\ &= (-1)^{(n-1)}n^{n-1}\left(n(-q)^{n-1} + p(p(1 - 1/n))^{n-1}\right) \\ &= n^nq^{n-1} + (-1)^{n-1}(n-1)^{n-1}p^n. \end{aligned}$$

Since the right hand side is a polynomial in  $p$  and  $q$  with integer coefficient, the final formula holds for all fields and all  $n \geq 2$ . Consequently,

$$\Delta_0(f) = \Delta(f) = (-1)^{(n-1)(n-2)/2}(n-1)^{n-1}p^n + (-1)^{n(n-1)/2}n^nq^{n-1}.$$

Note the special cases in Examples 4.2, 4.5 and 4.9 (with  $p = 0$ ). The next case is the quintic in Bring's form:

$$\Delta_0(x^5 + px + q) = \Delta(x^5 + px + q) = 4^4p^5 + 5^5q^4.$$



**Example 4.11.** It follows from Remark 3.13 and Example 4.7 that

$$\begin{aligned} \Delta^* &= a_0^{-2} - 4a_2a_1^{-2}a_0^{-1} - 4a_3a_2^{-2}a_1a_0^{-2} + 18a_3a_2^{-1}a_1^{-1}a_0^{-1} - 27a_3^2a_2^{-2}a_1^{-2} \\ &\quad - 4a_4a_3^{-2}a_2a_0^{-2} + 16a_4a_3^{-2}a_2^2a_1^{-2}a_0^{-1} + 18a_4a_3^{-1}a_2^{-1}a_1a_0^{-2} \\ &\quad - 80a_4a_3^{-1}a_1^{-1}a_0^{-1} - 6a_4a_2^{-2}a_0^{-1} + 144a_4a_2^{-1}a_1^{-2} \\ &\quad - 27a_4^2a_3^{-2}a_2^{-2}a_1^2a_0^{-2} + 144a_4^2a_3^{-2}a_2^{-1}a_0^{-1} - 128a_4^2a_3^{-2}a_1^{-2} \\ &\quad - 192a_4^2a_3^{-1}a_2^{-2}a_1^{-1} + 256a_4^3a_3^{-2}a_2^{-2}a_1^{-2}a_0 + \dots, \end{aligned} \quad (4.5)$$

where the omitted terms have at least one factor  $a_k$  with  $k \geq 5$ . In particular, if  $f(x) = a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0$  has degree at most 4, this formula without “...” is an exact formula for  $\Delta^*(f)$ . Setting  $a_4 = 0$  we find that if  $\deg(f) \leq 3$ , then

$$\Delta^*(f) = a_0^{-2} - 4a_2a_1^{-2}a_0^{-1} - 4a_3a_2^{-2}a_1a_0^{-2} + 18a_3a_2^{-1}a_1^{-1}a_0^{-1} - 27a_3^2a_2^{-2}a_1^{-2},$$

which is equivalent to (4.3). Similarly, setting also  $a_3 = 0$ , if  $\deg(f) \leq 2$ , then

$$\Delta^*(f) = a_0^{-2} - 4a_2a_1^{-2}a_0^{-1},$$

which is equivalent to (4.1).

## 5. DISCRIMINANTS FOR REAL POLYNOMIALS

If  $f$  is real and of degree  $n$ , then its  $n$  roots in  $\mathbb{C}$  consist of  $n - 2\nu$  real roots and  $\nu$  pairs  $\xi_i, \bar{\xi}_i$  of complex (non-real) roots, for some  $\nu$  with  $0 \leq \nu \leq n/2$ .

**Theorem 5.1.** *If  $f$  is a real polynomial of degree  $n \geq 1$  with  $n - 2\nu$  real roots and  $\nu$  pairs of complex (non-real) roots, and all roots are distinct and thus  $\Delta(f) \neq 0$ , then*

$$\text{sign}(\Delta(f)) = \text{sign}(\Delta_0(f)) = (-1)^\nu. \quad (5.1)$$

*Proof.* This is easily seen directly from Definitions 3.1 and 3.2, by suitably pairing terms.

Alternatively, we may factor  $f$  into its irreducible real factors  $f_1, \dots, f_{n-2\nu}, g_1, \dots, g_\nu$ , where  $\deg(f_i) = 1$  and  $\deg(g_j) = 2$ , and note that Theorem 3.10 and induction shows

$$\text{sign}(\Delta(f)) = \prod_{i=1}^{n-2\nu} \text{sign}(\Delta(f_i)) \prod_{j=1}^{\nu} \text{sign}(\Delta(g_j)).$$

Further, each  $\Delta(f_i) = 1$ , while  $\Delta(g_j) < 0$  by Definition 3.2, since  $g_j$  has two roots  $\xi$  and  $\bar{\xi}$  with  $(\xi - \bar{\xi})^2 < 0$ .  $\square$

For example, this leads to the following classifications for low degrees. (In these examples, “complex” means “non-real”.)

**Example 5.2** ( $n = 2$ ). For a real quadratic polynomial  $f$ ,

- $\Delta(f) > 0 \iff \Delta_0(f) > 0 \iff f$  has two distinct real roots;
- $\Delta(f) < 0 \iff \Delta_0(f) < 0 \iff f$  has no real root and two conjugate complex roots.

- $\Delta(f) = 0 \iff \Delta_0(f) = 0 \iff f$  has a double real root;

**Example 5.3** ( $n = 3$ ). For a real cubic polynomial  $f$ ,

- $\Delta(f) > 0 \iff \Delta_0(f) > 0 \iff f$  has 3 distinct real roots;
- $\Delta(f) < 0 \iff \Delta_0(f) < 0 \iff f$  has 1 real root and 2 conjugate complex roots.
- $\Delta(f) = 0 \iff \Delta_0(f) = 0 \iff f$  has either a triple real root, or one double real root and one single real root;

**Example 5.4** ( $n = 4$ ). For a real quartic polynomial  $f$ ,

- $\Delta(f) > 0 \iff \Delta_0(f) > 0 \iff f$  has either 4 distinct real roots, or 4 complex roots (in two conjugate pairs);
- $\Delta(f) < 0 \iff \Delta_0(f) < 0 \iff f$  has 2 real roots and 2 conjugate complex roots.
- $\Delta(f) = 0 \iff \Delta_0(f) = 0 \iff f$  has 1 quadruple real root, or 2 real roots, one triple and one single, or 2 double real roots, or 3 real roots, one double and two single, or 1 double real root and 2 conjugate complex roots, or 2 conjugate complex double roots.

#### APPENDIX A

*Alternative proof of Theorem 3.11.* Assume first  $a_{n-1} \neq 0$ ; thus  $f(x) = a_{n-1}x^{n-1} + \dots + a_0$  has degree  $n - 1$ .

Let  $f_\varepsilon(x) = (-\varepsilon x + 1)f(x)$  for an indeterminate  $\varepsilon$ . Trivially,  $\Delta(-\varepsilon x + 1) = 1$ , and Example 1.16 shows

$$R(-\varepsilon x + 1, f) = (-\varepsilon)^{n-1} f(1/\varepsilon) = (-1)^{n-1} f^*(\varepsilon)$$

with  $f^*$  defined by (1.9) with  $n$  replaced by  $n - 1$ . Hence, Theorem 3.10 yields

$$\Delta^{(n)}(f_\varepsilon) = \Delta(f_\varepsilon) = \Delta(f)(f^*(\varepsilon))^2 = \Delta^{(n-1)}(f)f^*(\varepsilon)^2.$$

Both sides are polynomials in  $a_0, \dots, a_{n-1}$  and  $\varepsilon$ , so we may here put  $\varepsilon = 0$  and obtain  $\Delta^{(n)}(f) = \Delta^{(n-1)}(f)f^*(0)^2$ . Since  $f^*(0) = a_{n-1}$ , this proves the result when  $a_{n-1} \neq 0$ . The general case follows, because both sides of (3.12) are polynomials in  $a_0, \dots, a_{n-1}$ .  $\square$

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