

Flat deformation of points

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Exploit the notion of stability in algebra

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In this case, we look for

- a set $B = \{b_1, \dots, b_\mu\}$ of monomials (or polynomials) which is a **basis** of $\mathcal{A} = \mathbb{K}[\mathbf{x}]/(f_1, \dots, f_s)$;

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$$x_i b_j := \sum_{k=1}^{\mu} m_{k,j}^i b_k.$$

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From these matrices you can get the multiplicity of the roots and its numerical approximation by computing eigenvalues (Auzinger-Stetter)

Border relations of zero-dim algebras

► Assume \mathcal{A} is a quotient algebra with basis a set B of *monomials* in $x = (x_1, \dots, x_n)$, of cardinal μ . We identify B with a set of \mathbb{N}^n . We assume it is stable by division, or connected to 1 ($x^\alpha \in B$ hence, there is $i : x^\alpha / x_i \in B$)

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► Denote $B^+ = x_1 B \cup \dots \cup x_n B \cup B$ and $\partial B = B^+ - B$.

► For any $\alpha \in \partial B$, the monomial x^α is a linear combination in \mathcal{A} of the monomials of B : there exists $z_{\alpha,\beta} \in \mathbb{K}$ ($\beta \in B$)

$h_\alpha^z(x) := x^\alpha - \sum_{\beta \in B} z_{\alpha,\beta} x^\beta \equiv 0$ in \mathcal{A} . The equations $h_\alpha^z(x)$ are called **border relations** of B in \mathcal{A} .

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- *Applications*: **Constructive approach of Hilbert Scheme of points**: Huibregste '06 , Laksov et al. 2005, **Stability**: Kreuzer-Robbiano, and Mourrain-Trebuchet (2008), **Equations of degree two for the Hilbert Scheme of points in \mathbb{P}^n** : A-B-M, MEGA 2009.

Normal form

► Given the border relations, we define a **normal formal**

$N^z : \langle B^+ \rangle \rightarrow \langle B \rangle$ by:

- $N^z(x^\beta) = x^\beta$ if $x^\beta \in B$,
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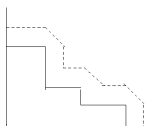
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- ▶ The coefficients of the matrix of $M_{x_i}^z$ in the basis B are linear in the parameters \mathbf{z} .

► More generally, a monomial m can be reduced modulo polynomials of the form $(h_\alpha^z(x))_{\alpha \in \partial B}$ to a linear combination of monomials in B , as follows: decompose $m = x_{i_1} \cdots x_{i_l}$ and compute $N^z(m) = M_{i_1}^z \circ \cdots \circ M_{i_l}^z(1)$. We easily check that $m - N^z(m) \in (h_\alpha^z(x))_{\alpha \in \partial B}$.



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 A quotient algebra \mathcal{A} with basis B is entirely described by the coefficients $\mathbf{z} = (\mathbf{z}_{\alpha,\beta}) \in \bar{\mathbb{K}}^{\partial B \times B}$ of the border relations. So, given equations

$$h_{\alpha}^{\mathbf{z}}(\mathbf{x}) := \mathbf{x}^{\alpha} - \sum_{\beta \in B} \mathbf{z}_{\alpha,\beta} \mathbf{x}^{\beta}, \quad \alpha \in \partial B.$$

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Theorem

The polynomials $h_{\alpha}^{\mathbf{z}}(\mathbf{x})$ are the border relations of a quotient algebra $\mathcal{A}^{\mathbf{z}}$ iff $M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0$ ($1 \leq i < j \leq n$).

$$\mathcal{H}_B := \{ \mathbf{z} = (z_{\alpha,\beta}) \in \mathbb{K}^{\partial B \times B}; M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0 \ 1 \leq i < j \leq n \}$$

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Hilbert scheme of μ points in \mathbb{P}^n := variety parametrizing the set of homogeneous saturated ideals I which defines a quotient $\mathbb{K}[x_0, \dots, x_n]/I$ with constant Hilbert function μ .

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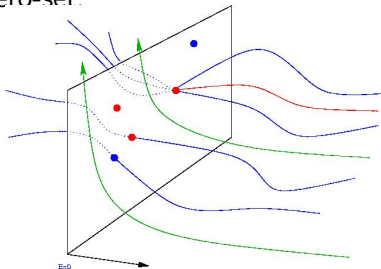
GOAL: An effective criteria (in many cases) for a flat deformation, in terms of the deformed equations and the border basis of the initial equations.

Perturbed systems

▶ Given a perturbed system $\mathbf{f}^\varepsilon = \mathbf{f}^0 + \varepsilon \mathbf{f}^1 + \dots$. Consider $\mathcal{A}^\varepsilon := R^\varepsilon / I^\varepsilon$ Where $R^\varepsilon = \mathbb{K}[[\varepsilon]][\mathbf{x}]$ and, $(\mathbf{f}^\varepsilon) = I^\varepsilon$ with I^0 describing the initial finite zero-set.

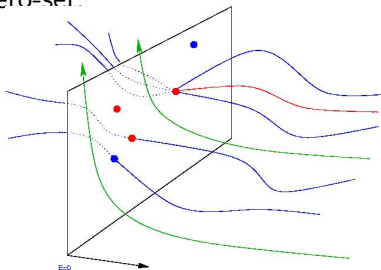
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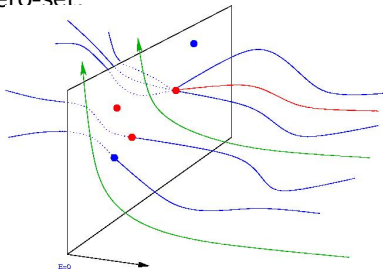
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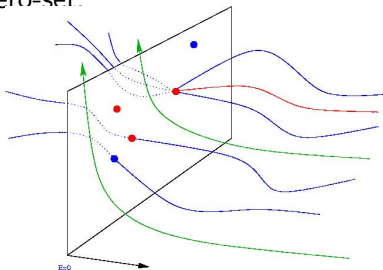
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- ▶ $I^\varepsilon = I_a^\varepsilon \cap I_\infty^\varepsilon$, primary components that do not intersect (resp. do intersect $\varepsilon = 0$)
- ▶ flatness \Leftrightarrow the monomial basis B is still a basis of \mathcal{A}^ε as $\mathbb{K}[[\varepsilon]]$ module

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The zero-set $\mathcal{Z}(I_a^\varepsilon)$ “represents” the zero-subset of the deformation close to the initial zero-set of I^0 .

Effective criterion for flatness

Proposition

Given a perturbed system $f_k^\varepsilon = f_k^0 + \varepsilon f_k^1 + \dots \in R^\varepsilon$, ($k = 1 \dots m$),
 s.t. $(h_\alpha^0)_{\alpha \in \partial B}$ are **the border relations** of \mathcal{A}^0 for B .

1. One can compute a rewriting family $\mathbf{h}^\varepsilon := (h_\alpha^\varepsilon)_{\alpha \in \partial B} \subset \mathbb{I}_a^\varepsilon$, of the form $h_\alpha^\varepsilon = h_\alpha^0 + \varepsilon \sum_{\beta \in B} u_{\alpha,\beta}(\varepsilon) \mathbf{x}^\beta$ with $u_{\alpha,\beta}(\varepsilon) \in \mathbb{K}[[\varepsilon]]$ (algebraic) analytic in ε .
2. One can decide if $\mathcal{A}_a^\varepsilon$ is a flat $\mathbb{K}[[\varepsilon]]$ -module, and if yes, the formulas of 1) give the border relations of $\mathcal{A}_a^\varepsilon$.

Proof of 1.

► Formal border relations

$$h_{\alpha}^{\varepsilon, \mathbf{v}} = h_{\alpha}^0 + \varepsilon \sum_{\beta \in B} v_{\alpha, \beta} \mathbf{x}^{\beta}$$

for $(\alpha \in \partial B)$, where $v_{\alpha, \beta}$ are **unknowns**

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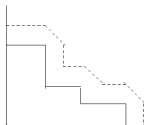
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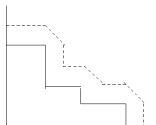
► **Lifting the border relations:** for every $\alpha \in \partial B$,

$$\tilde{h}_{\alpha}^{\varepsilon} := \sum_j p_{\alpha, j}^0 f_j^{\varepsilon} = \sum_j p_{\alpha, j}^0 (f_j^0 + \varepsilon f_j^1 + \dots) = h_{\alpha}^0 + \varepsilon \sum_j p_{\alpha, j}^0 f_j^1 + \dots$$

► Make the obvious reduction of the polynomial $\tilde{h}_\alpha^\varepsilon$ (as polynomial in the x' 's), wrt. the *formal border basis*. Starting with the monomials closer to the boundary ∂B , and iterate the reduction by h_α^ε until all monomials of the reduced polynomial are in B .



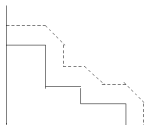
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We have

$$\tilde{h}_\alpha^\varepsilon - h_\alpha^{\varepsilon, \mathbf{v}} = -\varepsilon \mathbf{v}_\alpha(\underline{\mathbf{x}}) + \varepsilon \sum_j p_{\alpha j}^0 f_j^1 + \dots$$

► Make the obvious reduction of the polynomial $\tilde{h}_\alpha^\varepsilon$ (as polynomial in the x 's), wrt. the *formal border basis*. Starting with the monomials closer to the boundary ∂B , and iterate the reduction by h_α^ε until all monomials of the reduced polynomial are in B .

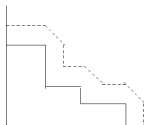


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N.B. $-\varepsilon \mathbf{v}_\alpha(\underline{\mathbf{x}}) = -\varepsilon \sum_{\beta \in B} v_{\alpha, \beta} \underline{\mathbf{x}}^\beta$ has its support in B and will not be reduced by the border relations $\{h_\alpha^{\varepsilon, \mathbf{v}} : \alpha \in \partial B\}$

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► Hence we get

$$\tilde{h}_\alpha^\varepsilon - h_\alpha^{\varepsilon, \mathbf{v}} = -\varepsilon \mathbf{v}_\alpha(\underline{\mathbf{x}}^\beta) + \sum_{\alpha' \in \partial B} Q_{\alpha, \alpha'}(\varepsilon, \mathbf{v}, \underline{\mathbf{x}}) h_{\alpha'}^\varepsilon + \varepsilon \sum_{\beta \in B} R_{\alpha, \beta}(\varepsilon, \mathbf{v}) \underline{\mathbf{x}}^\beta$$

where $Q_{\alpha, \alpha'}$ and $R_{\alpha, \beta}$ are polynomial functions in \mathbf{v} and ε , and degree ≥ 1 in ε .

Setting the remainder equals to zero

► By the **IFT**, there is an analytic solution \mathbf{u} , to the equation in \mathbf{v} :

$$\Phi_{\alpha,\beta}(\varepsilon, \mathbf{v}) := \mathbf{v}_{\alpha,\beta} - \mathbf{R}_{\alpha,\beta}(\varepsilon, \mathbf{v}) = \mathbf{0}, \alpha \in \partial\mathbf{B}, \beta \in \mathbf{B}; [*] \quad (1)$$

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- ▶ Hence, the ideals $H^{\varepsilon} := (h_{\alpha}^{\varepsilon})$, and $\widetilde{H}^{\varepsilon} := (\tilde{h}_{\alpha}^{\varepsilon})$, verify $S^{-1}\widetilde{H}^{\varepsilon} = S^{-1}H^{\varepsilon}$

Proof of 2.

Let B be a basis of $\mathcal{A}^0 = R/(f_k^0)$, and $(h_\alpha^0)_{\alpha \in \partial B}$ a border basis of B for (f_k^0) and $f_k^\varepsilon \in \mathbb{K}[\mathbf{x}, \varepsilon]$ a deformation of f_k^0

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▶ **Reduce the f_k^ε with the formal border relations h_α^ε ,**
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Claim

Then $\mathcal{A}_a^\varepsilon = R^\varepsilon / I_a^\varepsilon$ is a flat $\mathbb{K}[[\varepsilon]]$ -module with basis B iff

- the coefficients of the commutation expression with the formal matrices: $M_{x_i}^{\mathbf{v}} \circ M_{x_j}^{\mathbf{v}} - M_{x_j}^{\mathbf{v}} \circ M_{x_i}^{\mathbf{v}}$, $1 \leq i < j \leq n$, and
- the polynomials $\rho_{i, \beta}(\mathbf{v})$, $\beta \in B, i = 1, \dots, k$ in [$**$],

reduces to 0 by the relations $\Phi_{\alpha, \beta}(\varepsilon, \mathbf{v})$ ([$*$]), in the local ring $\mathbb{K}[\varepsilon, \mathbf{v}]_{(\varepsilon, \mathbf{v})}$.

Examples

The two above conditions are independent

Example 1. The perturbed system $f_1^\varepsilon = x^2 - \varepsilon x$, $f_2^\varepsilon = xy - \varepsilon x$,
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▶ The set $B = \{1, x, y\}$ is a basis of R/I^0 , and the border relations are $h_{x^2}^0 = x^2$, $h_{xy}^0 = xy$, $h_{y^2}^0 = y^2$. As $h_{x^2}^0 = f_1^0$, $h_{xy}^0 = f_2^0$, $h_{y^2}^0 = f_4^0$, these border relations lift to

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$$\tilde{h}_{x^2}^\varepsilon = f_1^\varepsilon = x^2 - \varepsilon x, \quad \tilde{h}_{xy}^\varepsilon = f_2^\varepsilon = xy - \varepsilon x, \quad \tilde{h}_{y^2}^\varepsilon = f_4^\varepsilon = y^2 - \varepsilon y$$

After reduction by the formal border relations and resolution of the corresponding (linear) system, we have

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- ▶ $R^\varepsilon/H^\varepsilon$ is a free $\mathbb{K}[[\varepsilon]]$ -module with basis B . In this example, we have $H^\varepsilon \subsetneq I^\varepsilon$.
- ▶ **The $[**]$ equations do not reduce to zero** : $f_3^\varepsilon, f_5^\varepsilon, f_6^\varepsilon$ do not reduce to zero, so they are not border basis for $\mathcal{A}_\varepsilon = R^\varepsilon/I_a^\varepsilon$

Example 2. We consider almost the same deformation, but we rewrite $x^2 = f_1^0 + f_3^0 - f_2^0$ and $y^2 = f_4^0 + f_2^0 - f_3^0$ which lift in $\tilde{h}_{x^2}^\varepsilon = f_1^\varepsilon + f_3^\varepsilon - f_2^\varepsilon$ and $\tilde{h}_{y^2}^\varepsilon = f_4^\varepsilon + f_2^\varepsilon - f_3^\varepsilon$. The other relation h_{xy}^0 is lift as in the previous example. After reduction by the formal border relations and resolution of the corresponding (linear) system, we obtain the following rewriting relations:

- $h_{x^2}^\varepsilon = x^2 - \varepsilon y$,
- $h_{xy}^\varepsilon = xy - \varepsilon x$,
- $h_{y^2}^\varepsilon = y^2 - \varepsilon x$.

We easily check that the matrix of multiplication M_x, M_y are not commuting, since $M_x \circ M_y(x) = \varepsilon^2 y$ and $M_y \circ M_x(x) = \varepsilon^2 x$. The polynomials $h_{x^2}^\varepsilon, h_{xy}^\varepsilon, h_{y^2}^\varepsilon$ **are not a border basis for B** .

Tangent space to a deformation

► *Assume now we have a perturbation that is known to be flat
We describe a Newton-like iteration which allows us to moved
tangentially to the Hilbert scheme and to improve the numerical
quality of our quotient representation.*

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 Determine the linear system satisfied by $\mathbf{h}^1 := (h_{\alpha,\beta}^1)_{\alpha \in \partial B, \beta \in B}$.

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► Operator *multiplication by x_i* : $M_{x_i}^\varepsilon$ decomposes:
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The commutation implies

$$\begin{aligned} M_{x_i}^\varepsilon \circ M_{x_j}^\varepsilon - M_{x_j}^\varepsilon \circ M_{x_i}^\varepsilon &= (M_{x_i}^0 \circ M_{x_j}^0 - M_{x_j}^0 \circ M_{x_i}^0) + \\ &+ \varepsilon (M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1) + \mathcal{O}(\varepsilon^2) \\ &= \varepsilon (M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1) + \mathcal{O}(\varepsilon^2) \end{aligned}$$

► We deduce the linear equations in \mathbf{h}^1

$$M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1 = 0 (1 \leq i < j \leq n) [***]$$

The above is the equation of the Tangent space T_{l_0} to the variety $\mathcal{H}_{\mathcal{B}}$ at the point l_0 whose border relations are $(h_{\alpha}^0)_{\alpha}$

Iterative method

Theorem

Assume $\mathcal{A}^0 = R/(f_0^0, \dots, f_m^0)$ admits B as a basis. Let $f_k^\varepsilon = f_k^0 + \varepsilon f_k^1 + \dots$ ($k = 1 \dots m$) be a flat deformation $\mathcal{A}^\varepsilon = R^\varepsilon/(f_k^\varepsilon)$ of \mathcal{A}^0 . Then the border relations of \mathcal{A}^ε are of the form $h_\alpha^\varepsilon = h_\alpha^0 + \varepsilon h_\alpha^1 \sum_{\beta \in B} h_{\alpha,\beta}^1 \mathbf{x}^\beta + \mathcal{O}(\varepsilon^2)$ where $\mathbf{h}^0 = (h_\alpha^0)$ are the border relations of \mathcal{A}^0 for B , $h_\alpha^1 := \sum_{\beta \in B} h_{\alpha,\beta}^1 \mathbf{x}^\beta$ and $\mathbf{h}^1 = (h_{\alpha,\beta}^1)_{\alpha \in \partial B, \beta \in B}$ is the unique solution of the linear equations below (***) and (***)

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► Taking normalforms ("N") wrt $(h_\alpha^0 : \alpha)$:

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$$N^0(f_k^1) - \sum N^0(q_{k, \alpha}^0 h_\alpha^1) = 0[***]$$

Procedure for following a path: Predictor step

Given $f_0^t, \dots, f_k^t \in R[t]$ such that $\mathfrak{J}^t := (\mathbf{f}^t) = (f_0^t, \dots, f_k^t)$ stays on $\mathbf{Hilb}^\mu(\mathbb{P}^n)$. **Predictor step: moving in the tangent direction**, as follows:

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- Compute the border basis \mathbf{h}^0 of \mathbf{f}^{t_0} for a set B connected to 1 and let N^0 be the projection on $\langle B \rangle$ along \mathcal{J}^{t_0} ,
- Compute $f_i^1 := \frac{\partial f_i^t}{\partial t}(t_0)$, $i = 1, \dots, k$,
- Take **variables** $\mathbf{h}^1 = (h_{\alpha,\beta}^1)_{\alpha \in \partial B, \beta \in B}$ and define the formal polynomials $h_\alpha^1(\underline{\mathbf{x}}) := \sum_{\beta \in B} h_{\alpha,\beta}^1 \underline{\mathbf{x}}^\beta$ for $\alpha \in \partial B$ and $N^1 : \langle B^+ \rangle \rightarrow \langle B \rangle$ such that $N^1(\underline{\mathbf{x}}^\alpha) = h_\alpha^1(\underline{\mathbf{x}})$ for $\alpha \in \partial B$ and $N^1(\underline{\mathbf{x}}^\beta) = 0$ for $\beta \in B$.

Procedure for following a path: Predictor step

Given $f_0^t, \dots, f_k^t \in R[t]$ such that $\mathcal{J}^t := (\mathbf{f}^t) = (f_0^t, \dots, f_k^t)$ stays on $\mathbf{Hilb}^\mu(\mathbb{P}^n)$. **Predictor step: moving in the tangent direction**, as follows:

- Compute the border basis \mathbf{h}^0 of \mathbf{f}^{t_0} for a set B connected to 1 and let N^0 be the projection on $\langle B \rangle$ along \mathcal{J}^{t_0} ,
- Compute $f_i^1 := \frac{\partial f_i^t}{\partial t}(t_0)$, $i = 1, \dots, k$,
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- Compute the linear equations in \mathbf{h}^1 :

$$N^0(f_k^1) - \sum_{\alpha \in \partial B} N^0(q_{k, \alpha}^0 h_\alpha^1) = 0,$$

obtained by reduction with respect to the border basis \mathbf{h}^0 ;

- For each $\beta \in B$, $1 \leq i < j \leq n$, compute the linear equations in \mathbf{h}^1

$$\begin{aligned} N^1(x_i N^0(x_j \underline{\mathbf{x}}^\beta)) + N^0(x_i N^1(x_j \underline{\mathbf{x}}^\beta)) \\ - N^1(x_j N^0(x_i \underline{\mathbf{x}}^\beta)) - N^0(x_j N^1(x_i \underline{\mathbf{x}}^\beta)) = 0 \end{aligned}$$

- For each $\beta \in B$, $1 \leq i < j \leq n$, compute the linear equations in \mathbf{h}^1

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- Solve all these linear equations in \mathbf{h}^1 and replace \mathbf{h}^0 by $\tilde{\mathbf{h}} := \mathbf{h}^0 + s \mathbf{h}^1$ where s is the step size from t_0 to t_1 .

Corrector step

Projecting back onto the variety $H_{x_0}^B$:

Corrector step

Projecting back onto the variety $H_{x_0}^B$:

- Compute the projection operator $\tilde{N} : \langle B^+ \rangle \rightarrow \langle B \rangle$ associated to the border prebasis $\tilde{\mathbf{h}} = \mathbf{h}^0 + s \mathbf{h}^1$ such that $\tilde{N}(\underline{\mathbf{x}}^\alpha) = -h_\alpha(\underline{\mathbf{x}})$ for $\alpha \in \partial B$ and $\tilde{N}(\underline{\mathbf{x}}^\beta) = \underline{\mathbf{x}}^\beta$ for $\beta \in B$.

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- Take **variables** $\mathbf{h}^1 = (h_{\alpha,\beta}^1)_{\alpha \in \partial B, \beta \in B}$ and define the **formal polynomials** $h_\alpha^1(\underline{\mathbf{x}}) := \sum_{\beta \in B} h_{\alpha,\beta}^1 \underline{\mathbf{x}}^\beta$ for $\alpha \in \partial B$ and $N^1 : \langle B^+ \rangle \rightarrow \langle B \rangle$ such that $N^1(\underline{\mathbf{x}}^\alpha) = h_\alpha^1(\underline{\mathbf{x}})$ for $\alpha \in \partial B$ and $N^1(\underline{\mathbf{x}}^\beta) = 0$ for $\beta \in B$.

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- For each $\beta \in B, 1 \leq i < j \leq n$, compute the linear equations in \mathbf{h}^1 **Trying to force the commutativity relations for**

Corrector step

Projecting back onto the variety $H_{x_0}^B$:

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- For each $\beta \in B$, $1 \leq i < j \leq n$, compute the linear equations in \mathbf{h}^1

$$\begin{aligned} & N^1(x_i \tilde{N}(x_j \underline{\mathbf{x}}^\beta)) + \tilde{N}(x_i N^1(x_j \underline{\mathbf{x}}^\beta)) \\ & - N^1(x_j \tilde{N}(x_i \underline{\mathbf{x}}^\beta)) - \tilde{N}(x_j N^1(x_i \underline{\mathbf{x}}^\beta)) \\ & =: -\tilde{N}(x_i \tilde{N}(x_j \underline{\mathbf{x}}^\beta)) + \tilde{N}(x_j \tilde{N}(x_i \underline{\mathbf{x}}^\beta)) \end{aligned}$$

Corrector step

Projecting back onto the variety $H_{x_0}^B$:

- Compute the projection operator $\tilde{N} : \langle B^+ \rangle \rightarrow \langle B \rangle$ associated to the border prebasis $\tilde{\mathbf{h}} = \mathbf{h}^0 + s \mathbf{h}^1$ such that $\tilde{N}(\underline{\mathbf{x}}^\alpha) = -h_\alpha(\underline{\mathbf{x}})$ for $\alpha \in \partial B$ and $\tilde{N}(\underline{\mathbf{x}}^\beta) = \underline{\mathbf{x}}^\beta$ for $\beta \in B$.
- Take **variables** $\mathbf{h}^1 = (h_{\alpha,\beta}^1)_{\alpha \in \partial B, \beta \in B}$ and define the **formal polynomials** $h_\alpha^1(\underline{\mathbf{x}}) := \sum_{\beta \in B} h_{\alpha,\beta}^1 \underline{\mathbf{x}}^\beta$ for $\alpha \in \partial B$ and $N^1 : \langle B^+ \rangle \rightarrow \langle B \rangle$ such that $N^1(\underline{\mathbf{x}}^\alpha) = h_\alpha^1(\underline{\mathbf{x}})$ for $\alpha \in \partial B$ and $N^1(\underline{\mathbf{x}}^\beta) = 0$ for $\beta \in B$.
- For each $\beta \in B, 1 \leq i < j \leq n$, compute the linear equations in \mathbf{h}^1
- Solve this system in \mathbf{h}^1 , replace $\tilde{\mathbf{h}}$ by $\tilde{\mathbf{h}} := \tilde{\mathbf{h}} + \mathbf{h}^1$ and iterate this loop until \mathbf{h}^1 is small enough.

THANK YOU !