

### Exercise 1.10

(1)  $\sup [0, 1] = 1$ . Indeed, 1 is an upper bound, and moreover, if  $u$  is also an upper bound, then  $1 \leq u$  (since  $1 \in S$ ).

$$\sup [0, 1] = 1 \in [0, 1], \text{ and so } \max [0, 1] = \sup [0, 1] = 1.$$

$$\inf [0, 1] = 0. \text{ First of all, } 0 \text{ is a lower bound.}$$

Let  $l$  be a lower bound of  $[0, 1]$ . We prove

that  $l \leq 0$ . (We do this by supposing that  $l > 0$ , and arriving at a contradiction. The contradiction is

obtained as follows: if  $l > 0$ , then we will see that the average of 0 and  $l$ , namely  $\frac{l}{2}$ , is an element in  $[0, 1]$  that is less than the lower bound  $l$ , which

is a contradiction.) If  $l > 0$ , then  $0 < \frac{l}{2}$ . Moreover, since  $l \leq 1$  ( $l$  is a lower bound of  $[0, 1]$  and  $1 \in S$ ) it follows that  $\frac{l}{2} \leq \frac{1}{2} \leq 1$ . Thus  $\frac{l}{2} \in [0, 1]$ . But since  $l > 0$ , it follows that  $\frac{l}{2} < l$ , a contradiction. Hence

$$l \leq 0.$$

Since  $\inf [0, 1] = 0 \notin [0, 1]$ ,  $\min [0, 1]$  does not exist.

(For the other parts, we will not give these detailed arguments.)

$$(2) \sup [0, 1] = 1 \in [0, 1], \text{ and so } \max [0, 1] = \sup [0, 1] = 1.$$

$$\inf [0, 1] = 0 \in [0, 1], \text{ and so } \min [0, 1] = \inf [0, 1] = 0.$$

$$(3) \sup [0, 1] = 1 \notin [0, 1] \text{ and so } \max [0, 1] \text{ does not exist.}$$

$$\inf [0, 1] = 0 \notin [0, 1] \text{ and so } \min [0, 1] \text{ does not exist.}$$

$$(4) \sup \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\} = 1 \in \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\},$$

$$\text{and so } \max \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\} = 1.$$

$$\inf \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\} = -1 \in \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\},$$

$$\text{and so } \min \left\{ \frac{1}{n} : n \in \mathbb{Z} \setminus \{0\} \right\} = -1.$$

$$(5) \sup \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\} = 0 \notin \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\} \text{ and so}$$

$\max \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\}$  does not exist.

$$\inf \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\} = -1 \in \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\} \text{ and so}$$

$$\min \left\{ -\frac{1}{n} : n \in \mathbb{N} \right\} = -1.$$

$$(6) \sup \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\} = 1 \notin \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\} \text{ and so}$$

$\max \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\}$  does not exist.

$$\inf \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\} = \frac{1}{2} \in \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\} \text{ and so}$$

$$\min \left\{ \frac{n}{n+1} : n \in \mathbb{N} \right\} = \frac{1}{2}.$$

$$(7) \sup \{ x \in \mathbb{R} : x^2 \leq 2 \} = \sqrt{2} \in \{ x \in \mathbb{R} : x^2 \leq 2 \} \text{ and so}$$

$$\max \{ x \in \mathbb{R} : x^2 \leq 2 \} = \sqrt{2}.$$

$$\inf \{ x \in \mathbb{R} : x^2 \leq 2 \} = -\sqrt{2} \in \{ x \in \mathbb{R} : x^2 \leq 2 \} \text{ and so}$$

$$\min \{ x \in \mathbb{R} : x^2 \leq 2 \} = -\sqrt{2}.$$

$$(8) \sup \{ 0, 2, 10, 2010 \} = 2010 \in \{ 0, 2, 10, 2010 \} \text{ and so}$$

$$\max \{ 0, 2, 10, 2010 \} = 2010.$$

$$\inf \{ 0, 2, 10, 2010 \} = 0 \in \{ 0, 2, 10, 2010 \} \text{ and so}$$

$$\min \{ 0, 2, 10, 2010 \} = 0,$$

(9) This set has elements  $-\frac{2}{1}, \frac{3}{2}, -\frac{4}{3}, \frac{5}{4}, \dots$

$$\sup \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{N} \} = \frac{3}{2} \in \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{Z} \} \text{ and so}$$

$$\max \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{N} \} = \frac{3}{2}.$$

$$\inf \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{N} \} = -2 \in \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{Z} \}, \text{ and so}$$

$$\min \{ (-1)^n (1 + \frac{1}{n}) : n \in \mathbb{N} \} = -2.$$

(10)  $\sup \{x^2 : x \in \mathbb{R}\} = +\infty$ , and  $\max \{x^2 : x \in \mathbb{R}\}$  does not exist.

$\inf \{x^2 : x \in \mathbb{R}\} = 0 \notin \{x^2 : x \in \mathbb{R}\}$  and so  $\min \{x^2 : x \in \mathbb{R}\} = 0$ .

(11)  $\sup \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\} = 1 \notin \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\}$  and so

$\max \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\}$  does not exist.

$\inf \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\} = 0 \in \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\}$  and so

$\min \left\{ \frac{x^2}{1+x^2} : x \in \mathbb{R} \right\} = 0$ .

Exercise 1.11:

- (1) FALSE. (Take  $S = \{1\}$ . Then  $u=3$  is an upper bound of  $S$ , and although  $u'=2 < 3 = u$ ,  $u' (= 2)$  is an upper bound of  $\{1\} = S$ .)
- (2) TRUE. (If  $\epsilon > 0$ , then  $u_* - \epsilon < u_*$ , and so  $u_* - \epsilon$  cannot be an upper bound of  $S$ .)
- (3) FALSE. ( $\mathbb{N}$  has no maximum.)
- (4) FALSE. ( $\sup \mathbb{N} = +\infty \notin \mathbb{R}$ .)
- (5) TRUE. (Definition of maximum.)

Exercise 1.12

Since  $\sup B$  is an upper bound of  $B$ , we have  $b \leq \sup B$  for all  $b \in B$ , and also for all  $a \in A$ , since  $A \subset B$ . So  $\sup B$  is an upper bound of  $A$ , and so by the definition of the least upper bound of  $A$ , we obtain  $\sup A \leq \sup B$ .

Exercise 1.13

Let  $z \in A + B$ . Then  $z = x + y$  for some  $x \in A$  and  $y \in B$ .

Since  $\sup A$ ,  $\sup B$  are upper bounds for  $A, B$ , respectively, we have  $x \leq \sup A$  and  $y \leq \sup B$ . Thus

$z = x + y \leq \sup A + \sup B$ . So  $\sup A + \sup B$  is an upper bound for  $A + B$ . Hence  $\sup(A + B) \leq \sup A + \sup B$ .

(If either  $\sup A$  or  $\sup B$  is  $+\infty$ , then the inequality is trivial.)

Exercise 1.14

Let  $l$  be a lower bound of  $S$ :  $\forall x \in S, l \leq x$ .

So  $\forall x \in S, -x \geq -l$ , in other words,

$$\forall y \in -S, y \leq -l.$$

Hence  $-S$  is bounded above because  $-l$  is an upper bound of  $-S$ .

Since  $S$  is nonempty, it follows that  $\exists x \in S$ , and so we obtain that  $-x \in -S$ . Hence  $-S$  is nonempty.

As  $-S$  is nonempty and bounded above, it follows that  $\sup(-S)$  exists, by the least upper bound property of  $\mathbb{R}$ .

Since  $\sup(-S)$  is an upper bound of  $-S$ , we have:

$$\forall y \in -S, y \leq \sup(-S)$$

that is,  $\forall x \in S, -x \leq \sup(-S)$ ,

that is,  $\forall x \in S, -\sup(-S) \leq x$ .

So  $-\sup(-S)$  is a lower bound of  $S$ .

Next we prove that  $-\sup(-S)$  is the greatest lower bound of  $S$ . Suppose that  $l'$  is a lower bound of  $S$  such that  $-\sup(-S) < l'$ . Then we have

$$\forall x \in S, -\sup(-S) < l' \leq x,$$

that is,  $\forall x \in S, -x \leq -l' < \sup(-S)$ ,

that is,  $\forall y \in -S, y \leq -l' < \sup(-S)$ .

So  $-l'$  is an upper bound of  $-S$ , and  $-l' < \sup(-S)$ , which contradicts the fact that  $\sup(-S)$  is the least upper bound of  $-S$ . Hence  $l' \leq -\sup(-S)$ .

Consequently,  $\inf S$  exists and  $\inf S = -\sup(-S)$ .

### Exercise 1.16.

It is easy to show that  $\{f(x) : x \in \mathbb{R}\}$  is bounded.

1°  $\epsilon := \sup_{x \in \mathbb{R}} f(x) > 0$ .

Choose  $R$  such that if  $|x| > R$ , then  $|f(x)| < \frac{\epsilon}{2}$ .

By the extreme value theorem,  $f$  assumes a maximum in  $[-R, R]$ , at say,  $x_0 \in [-R, R]$ .

But then  $f(x_0) \geq \frac{\epsilon}{2}$  (otherwise  $f(x) < \frac{\epsilon}{2}$  for all  $x$ , and so  $\epsilon = \sup_{x \in \mathbb{R}} f(x) \leq \frac{\epsilon}{2}$  a contradiction).

So  $f(x_0) \geq f(x) \quad \forall x \in \mathbb{R}$

and so  $x_0$  is a maximizer.

2°  $\inf_{x \in \mathbb{R}} f(x) < 0$ .

Then  $-\sup_{x \in \mathbb{R}} (-f(x)) < 0$  i.e.,  $\sup_{x \in \mathbb{R}} (-f(x)) > 0$ , and by

the result in 1°,  $-f$  has a global maximizer.

Consequently  $f$  has a global minimizer.

3°  $\neg [\sup_{x \in \mathbb{R}} f(x) > 0]$  and  $\neg [\inf_{x \in \mathbb{R}} f(x) < 0]$ .

Then

$$0 \leq \inf_{x \in \mathbb{R}} f(x) \leq f(x) \leq \sup_{x \in \mathbb{R}} f(x) \leq 0$$

and so  $f(x) \equiv 0$ .

so every point serves as a maximizer and a minimizer.

Examples:

$$f(x) = \frac{1}{1+x^2} \quad (x \in \mathbb{R}) \quad \text{has a maximum at } x=0,$$

and  $\inf_{x \in \mathbb{R}} f(x) = 0$ , but clearly  $f(x) \neq 0 \quad \forall x$ . So  $f$  has no minimizer.

$-f$  has a minimizer, but no maximizer.

### Exercise 1.17

The ball  $B_i = \{x \in \mathbb{R}^n : \|x\| \leq 1\}$  is open.

(If  $x \in B_i$ , then  $\|x\| < 1$ . Define  $r = 1 - \|x\|$ . Then

$B(x, r) \subset B_i$  since if  $y \in B(x, r)$ , then we have  $\|x - y\| < r$  and so  $\|y\| = \|y - x + x\| \leq \|y - x\| + \|x\| < r + \|x\| = 1 - \|x\| + \|x\| = 1$ .

Also the ball  $B_e = \{x \in \mathbb{R}^n : \|x\| \geq 1\}$  is open.

(If  $x \in B_e$ , then  $\|x\| \geq 1$ . Define  $r = \|x\| - 1$ . Then

$B(x, r) \subset B_e$  since if  $y \in B(x, r)$ , then we have  $\|x - y\| < r$  and so  $\|y\| = \|x - (x - y)\| \geq \|x\| - \|x - y\| \geq \|x\| - r = \|x\| - (\|x\| - 1) = 1$ .

Thus  $B_e \cup B_i$  is open as well.

Hence  $S^{n-1} = \mathbb{R}^n \setminus (B_e \cup B_i)$  is closed.

Clearly  $S^{n-1}$  is bounded. ( $\forall x \in S^{n-1}, \|x\| \leq 1$ )

Since  $S^{n-1}$  is closed and bounded, it is compact.

Exercise 1.18.

The set of polynomials of degree  $\leq 2010$  is contained in the vector space of all polynomials of degree  $\leq 2010$ , which we identify with a  $2011$ -dimensional real vector space with the usual Euclidean norm. On the Euclidean unit sphere  $S_{2011}$ , consider the two continuous functions  $f(p) = |p(0)|$  and  $g(p) = \int_1^1 |p(x)| dx$ . Since  $g(p) \neq 0$  for all  $p \in S_{2011}$ , the ratio  $\frac{f(p)}{g(p)}$  is a continuous function on the compact set  $S_{2011}$ , and hence achieves a maximum value  $C$  there. This is the required constant since  $f(\alpha p) = \alpha f(p)$  and  $g(\alpha p) = \alpha g(p)$  for all  $\alpha > 0$  and all polynomials  $p$ .

